

# Evaluation of the Unique and Specific Contributions of Dimensions of the Triple Vulnerability Model to the Prediction of *DSM-IV* Anxiety and Mood Disorder Constructs

Timothy A. Brown  
Kristin Naragon-Gainey

Center for Anxiety and Related Disorders, Boston University

The triple vulnerability model (Barlow, 2000, 2002) posits that three vulnerabilities contribute to the etiology of emotional disorders: (1) general biological vulnerability (i.e., dimensions of temperament such as neuroticism and extraversion); (2) general psychological vulnerability (i.e., perceived control over life stress and emotional states); (3) disorder-specific psychological vulnerability (e.g., thought-action fusion for OCD). Despite the prominence of this model, a comprehensive empirical evaluation has not yet been undertaken. The current study used structural equation modeling to test the triple vulnerability model in a large clinical sample ( $N=700$ ), focusing on vulnerabilities for depression, social phobia, generalized anxiety disorder (GAD), and OCD. Specifically, we examined the incremental prediction of each level of the triple vulnerability model for each disorder, with the following putative disorder-specific psychological vulnerabilities: thought-action fusion (TAF) for OCD, the dysfunctional attitudes (DAS) for depression, and intolerance of uncertainty (IoU) for GAD. In the final model that included all three levels of vulnerabilities, neuroticism had significant direct effects on all four disorder constructs, and extraversion was inversely associated with depression and social phobia. However, perceived control was significantly associated with GAD and OCD only. Of the disorder-specific psychological vulnerabilities, TAF was significantly and specifically related to OCD. In contrast, DAS and IoU were not significant predictors of depression and GAD respectively, instead contributing to other disorders. The results are discussed in

regard to structural models of the emotional disorders and the various roles of general and specific vulnerability dimensions in the onset, severity, and temporal course of psychopathology.

*Keywords:* temperament and psychopathology of emotional disorders; vulnerability for anxiety and depression; classification of emotional disorders; structural equation modeling

A SIZEABLE LITERATURE HAS accrued on the dimensions that act as risk factors for the anxiety and mood disorders. Although a number of vulnerability constructs has been identified, a considerable portion of this research has focused on two genetically based core dimensions of temperament: neuroticism and extraversion. Indeed, a wealth of evidence attests to the heritability of these constructs (e.g., Fanous, Gardner, Prescott, Cancro, & Kendler, 2002; Hettema, Prescott, & Kendler, 2004; Viken, Rose, Kaprio, & Koskenvuo, 1994) and their roles in accounting for the severity, overlap, and maintenance of depression and anxiety (e.g., Brown, 2007; Gershuny & Sher, 1998). However, leading conceptual models assert that dimensions of temperament (e.g., neuroticism, extraversion) do not act alone in determining the etiology, course, and complications of emotional disorders (e.g., Barlow, 2002; Carver, Johnson, & Joormann, 2008; Clark, Watson, & Mineka, 1994; Mineka, Watson, & Clark, 1998). For instance, Barlow (2000, 2002; Suárez, Bennett, Goldstein, & Barlow, 2009) formulated a triple vulnerability model of emotional disorders, which draws from and integrates the literatures of genetics, personality, cognitive and neuroscience, and emotion and learning theories. This model specifies the existence of a *general biological vulnerability* that

Address correspondence to Timothy A. Brown, Ph.D., Center for Anxiety & Related Disorders, Department of Psychology, Boston University, 648 Beacon Street, 6th floor, Boston, MA 02215-2013; e-mail: tabrown@bu.edu.

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consists of genetically based, stable dispositions to experience to negative emotions that corresponds to core dimensions of temperament (e.g., neuroticism). In addition, a temporally stable *general psychological vulnerability* emerges from early childhood experience characterized by a stressful, unpredictable environment and/or the influence of specific parenting styles (described in detail in the attachment theory literature; cf. Shear, 1991) that inhibit the development of effective coping strategies and self-efficacy. These early experiences lead to a general sense of unpredictability and uncontrollability over life events and emotions that, along with elevated sympathetic nervous system arousal, forms the core of the process of anxiety (Barlow, 2002). If the two general vulnerabilities are present, the individual is at increased risk for experiencing generalized anxiety and/or depression, in the context of triggering stressful events (Chorpita & Barlow, 1998; Suárez et al., 2009). However, a third diathesis, referred to as a *disorder-specific psychological vulnerability*, comes into play in the form of learning a particular focus of distress, or learning that some situations, objects, or internal states (e.g., thoughts, somatic sensations) are potentially dangerous even if objectively they are not. These learning experiences can be as straightforward as watching parents model severe fears of specific objects or situations such as small animals (e.g., as in specific phobia), or more subtle such as experiencing heightened attention from caregivers to the potential danger of unexplained somatic sensations (e.g., as in panic disorder or hypochondriasis). Thus, this third vulnerability is activated when the two general vulnerabilities, through the process of learning, become specifically associated with an object, situational context, or internal state. This process results in one or more key diagnostic features that become the principal focus or foci of distress. In the next sections, the three types of risk factors are discussed in more detail, along with extant research that bears on their relevance to the anxiety and mood disorders.

### General Biological Vulnerability (Temperament)

As noted earlier, general biological vulnerability refers to genetically based dimensions of temperament such as neuroticism and extraversion that are associated with heightened levels of anxiety and related negative emotions.<sup>1</sup> Indeed, research has

<sup>1</sup> Although the terminology used by Barlow (2000, 2002) in the triple vulnerability model emphasizes certain etiologies for the different vulnerability dimensions (e.g., the term “general biological vulnerability” is used in reference to neuroticism and extraversion given evidence for the strong genetic basis of these temperaments), the extant literature indicates that each vulnerability dimension would be best viewed as multiply determined by some combination of genetic diatheses and learned experiences.

shown that neuroticism and extraversion are genetically linked to the anxiety and mood disorders, accounting for about 30% to 50% of the liability for individual disorders, as well as substantial shared variance among these disorders (Bienvenu, Hettema, Neale, Prescott, & Kendler, 2007; Hettema, Neale, Myers, Prescott, & Kendler, 2006). Neuroticism is robustly associated with the mood and anxiety disorders, although to varying degrees, ranging from the strongest relationships with generalized anxiety disorder (GAD) and depression, and the weakest with specific phobia (e.g., Brown, 2007; Brown, Chorpita, & Barlow, 1998; Mineka et al., 1998; Watson, Gamez, & Simms, 2005). Whereas neuroticism is considered to be etiologically relevant to the full range of emotional disorders (i.e., both mood and anxiety disorders), the influence of extraversion is specific to depression and social phobia (e.g., Brown & Barlow, 2009; Clark et al., 1994; Mineka et al., 1998). In fact, low extraversion is most closely linked to social phobia, whereas depression has a weaker association that is primarily due to extraversion's positive emotionality component (Brown et al., 1998; Mineka et al., 1998; Naragon-Gainey, Watson, & Markon, 2009). Although most of the empirical support for neuroticism and extraversion has emanated from cross-sectional research, longitudinal studies have also demonstrated the relevance of these constructs to the development and maintenance of depression and anxiety (e.g., Brown, 2007; Brown & Rosellini, 2011; Gershuny & Sher, 1998).

### GENERAL PSYCHOLOGICAL VULNERABILITY

The triple vulnerability model asserts that a sense of unpredictability and uncontrollability over life events and emotional states is a psychological vulnerability to all anxiety and mood disorders. Indeed, perceived control has long been a central construct in etiological models of depression and anxiety, dating back to Rotter's (1954) locus of control theory and Seligman's (1975) learned helplessness theory. Despite the importance of this construct in conceptual models of emotional disorders, there is a paucity of research addressing the relationship of perceived control to the various anxiety and mood disorders. However, studies have found low levels of perceived control among smokers with panic disorder and post-traumatic stress disorder (Vujanovic, Marshall, Gibson, & Zvolensky, 2010), as well as those with elevated obsessive-compulsive symptoms/beliefs (Moulding, Kyrios, Doron, & Nedeljkovic, 2009) and GAD symptoms or worry (e.g., Chapman, Kertz, & Woodruff-Borden, 2009; Gould & Edelstein, 2010; Stapinski, Abbott, & Rapee, 2010). In addition, perceived control moderates the effect of anxiety

sensitivity on agoraphobic avoidance in patients with panic disorder (White, Brown, Somers, & Barlow, 2006). In laboratory studies, participants report less anxiety in response to panic provocations when the biological challenge procedure is perceived as controllable (e.g., Sanderson, Rapee, & Barlow, 1989; Telch, Silverman, & Schmidt, 1996). Perceived control is sensitive to treatment response, increasing after treatment of GAD (Treanor, Erisman, Salters-Pedneault, Roemer, & Orsillo, 2011) and panic disorder (Meuret, Rosenfield, Seidel, Bhaskara, & Hofmann, 2010; Rapee, Craske, Brown, & Barlow, 1996). Thus, although a few studies have provided evidence for the link between perceived control and anxiety disorders, research is needed to examine if perceived control has a unique role in the onset, severity, and maintenance of the full array of anxiety and mood disorders.

#### DISORDER-SPECIFIC PSYCHOLOGICAL VULNERABILITIES

In addition to two generalized diatheses (one biological, one psychological), the triple vulnerability model posits that a specific psychological vulnerability influences the origin and expression of the anxiety and mood disorders through learning experiences that dictate a specific focus of distress; e.g., beliefs that certain thoughts, images, and impulses are dangerous as in obsessive-compulsive disorder (OCD), or beliefs that certain physical sensations are dangerous as in panic disorder. Specific psychological vulnerabilities have been proposed for most of the major anxiety and mood disorders. Although other realizations of the triple vulnerability model are possible (e.g., anxiety sensitivity in relation to panic disorder), we focus here on three specific vulnerability dimensions for the *DSM-IV* (American Psychiatric Association, 1994) disorder constructs examined in the present investigation (dysfunctional attitudes in depression, thought-action fusion in OCD, intolerance of uncertainty in GAD). It is important to note that specificity is likely to be relative rather than absolute, because “specific” vulnerabilities should be significantly associated with most mood and anxiety disorders due to comorbidity and general distress (see Mineka et al., 1998). However, the magnitudes of the associations should show a pattern of relative specificity for each dimension and its corresponding disorder (particularly in multivariate analyses controlling for comorbidity). As is evident below, few studies have evaluated this issue thus far.

Dysfunctional attitudes are defined as underlying negative assumptions about the self, world, and future (Weissman & Beck, 1978). This construct was originally posited to be a specific risk factor for depression, although it is also moderately (and

sometimes comparably) associated with general anxiety (e.g., Hamilton & Abramson, 1983; Hankin, Abramson, Miller, & Haeffel, 2004; Hollon, Kendall, & Lumry, 1986; Sutton et al., 2011) and social phobia (Reiter, Otto, Pollack, & Rosenbaum, 1991; Sanz & Avia, 1994; Sutton et al., 2011). However, there is evidence for specificity to depression relative to panic, agoraphobia, and specific phobia (Ohrt, Sjödin, & Thorell, 1999; Sutton et al., 2011).

Thought-action fusion (TAF)—hypothesized to be a specific risk factor to OCD—describes a tendency to believe that: (a) thinking about a disturbing event increases its likelihood of occurrence, and (b) thinking about a disturbing action is morally equivalent to carrying it out (Shafran, Thordarson, & Rachman, 1996). High TAF is consistently associated with OCD (e.g., Amir, Freshman, Ramsey, Neary, & Brigidi, 2001; Meyer & Brown, in press; Shafran et al., 1996), but is also associated with other anxiety disorders (Hazlett-Stevens, Zucker, & Craske, 2002; Rassin, Diepstraten, Merckelbach, & Muris, 2001; Rassin, Merckelbach, Muris, & Schmidt, 2001) and depression (Abramowitz, Whiteside, Lynam, & Kalsy, 2003; Rassin, Merckelbach, et al., 2001; Shafran et al., 1996; for a review, see Berle & Starcevic, 2005). However, some multivariate analyses provide support for relative specificity between OCD and TAF, in that OCD symptoms were largely responsible for the association between TAF and other symptoms (e.g., worry; Coles, Mennin, & Heimberg, 2001).

Finally, intolerance of uncertainty (IoU) has been defined as a “dispositional characteristic that results from a set of negative beliefs about uncertainty and its implications” (Dugas & Robichaud, 2007). IoU is theorized to be a specific cognitive vulnerability factor for chronic worry and GAD. A recent meta-analysis that examined the associations of IoU with GAD, OCD, and depression found that IoU was similarly and moderately related to all three disorder constructs. Other studies have indicated that IoU is related to social anxiety (e.g., Boelen & Reijntjes, 2009; Boelen, Vrinssen, & van Tulder, 2010; Carleton, Collimore, & Asmundson, 2010). However, the majority of studies on the relationship between IoU and anxiety and mood disorder constructs has been conducted in nonclinical samples. Evidence from clinical studies indicates that GAD can be distinguished from other anxiety disorder diagnoses on the basis of higher levels of IoU. Moreover, a path analytic study found that IoU had significant direct effects on symptoms of worry and depression (but not symptoms of autonomic arousal, OCD, and health anxiety), even after holding a measure of negative affectivity constant (Norton, Sexton, Walker, & Norton, 2005). These data, along with findings suggesting IoU possesses

temporal stability and antecedence to worry, have been taken in support of the role of IoU as a cognitive vulnerability to GAD (e.g., Buhr & Dugas, 2002; Dugas & Ladouceur, 2000; Freeston, Rhéaume, Letarte, Dugas, & Ladouceur, 1994; cf. Koerner & Dugas, 2006).

#### CURRENT STUDY

Despite the prominence of the triple vulnerability model as a conceptual framework for understanding the shared and unique etiological features of the emotional disorders (Barlow, 2002), a comprehensive empirical evaluation of this model has yet to be undertaken. As the preceding literature review attests, previous studies relevant to the triple vulnerability model have tended to focus on one vulnerability dimension at a time, often in the context of only one or two emotional disorders. A compelling test of the Barlow model necessitates a multivariate approach to evaluate the unique contribution of the various vulnerability dimensions to the prediction of emotional disorder psychopathology (e.g., do putative psychological vulnerabilities explain significant additional variance in the *DSM-IV* constructs beyond core dimensions of temperament?). Moreover, the simultaneous evaluation of multiple *DSM-IV* constructs is essential for ascertaining the specificity of the posited relationships between the dimensions of vulnerability and the anxiety and mood disorders (e.g., is perceived control pertinent to all emotional disorders? are disorder-specific vulnerability dimensions differentially related to the emotional disorders in the predicted fashion?).

The present study evaluated one possible application of the triple vulnerability model where the unique and specific contributions of various vulnerability dimensions to the prediction of selected *DSM-IV* anxiety and mood disorder constructs (unipolar depression, GAD, OCD, social phobia) were examined in a large and diagnostically diverse sample of treatment-seeking outpatients. The four *DSM-IV* disorder constructs were selected based on prior evidence of the substantively salient differential relationships with one another (e.g., potentially problematic phenotypic overlap between GAD and depression, and GAD and OCD) and with the various dimensions of vulnerability (e.g., differential association of extraversion with social phobia and depression; possible relevance of IoU to both GAD and OCD). Based on a series of structural equation models specified in accord with the triple vulnerability model, it was predicted that: (a) neuroticism would have significant direct effects on all *DSM-IV* disorders, whereas extraversion would have significant effects only on social phobia and depression (general biological vulnerability); (b) perceived

control would contribute to the prediction of all disorders, holding neuroticism and extraversion constant (general psychological vulnerability); and (c) the disorder-specific psychological vulnerability dimensions would contribute significantly to the prediction of the anxiety and mood disorders, holding the general vulnerabilities constant and in a differential fashion consistent with their posited specificity (i.e., TAF, IoU, and dysfunctional attitudes would be differentially related to OCD, GAD, and depression, respectively). With regard to the third prediction, while the extant literature has not provided uniformly strong support for the specificity of the selected disorder-specific vulnerabilities, previous studies have rarely examined the differential relationships among these dimensions and emotional disorders in multivariate models (perhaps masking patterns of relative specificity). Moreover, particularly for some constructs (e.g., IoU), most studies have used nonclinical samples. Thus, predictions regarding the nature of the relationships between the disorder-specific vulnerabilities and the *DSM-IV* disorder constructs were guided by the originating conceptual models.

#### Method

##### PARTICIPANTS

The sample was 700 patients who presented to the Center for Anxiety and Related Disorders at Boston University, an outpatient clinic specializing in the assessment and treatment of anxiety and mood disorders. Women constituted the larger portion of the sample (60.6%); average age was 32.38 ( $SD = 11.62$ , range = 18 to 74). The sample was predominantly Caucasian (90.9%; African-American = 3.7%, Asian = 4.7%, Latino/Hispanic = 4.4%). Diagnoses were established with the Anxiety Disorders Interview Schedule for *DSM-IV*: Lifetime version (ADIS-IV-L; Di Nardo, Brown, & Barlow, 1994), a semistructured interview designed to ascertain reliable diagnosis of the *DSM-IV* anxiety, mood, somatoform, and substance use disorders, and to screen for the presence of other conditions (e.g., psychotic disorders). The ADIS-IV-L provides dimensional assessment of the key and associated features of disorders (0–8 ratings); these features are dimensionally rated regardless of whether a formal *DSM-IV* diagnosis is under consideration. A previous reliability study of the ADIS-IV-L indicated good-to-excellent interrater agreement for current disorders (range of  $\kappa$ s = .67 to .86) except dysthymia ( $\kappa = .31$ ; Brown, Di Nardo, Lehman, & Campbell, 2001). For the dimensional ADIS-IV-L ratings used as indicators of the *DSM-IV* disorder factors in this study, interrater reliability estimates (intraclass correlations, ICC) are provided below, based on a subsample of 74 cases who underwent two independent administrations of

the ADIS-IV-L during the course of this study. The rates of current clinical disorders occurring frequently in the sample were: social phobia (48.6%), mood disorders (i.e., major depression, dysthymic disorder, depressive disorder NOS; 35.7%), GAD (33.0%), panic disorder with or without agoraphobia (25.3%), OCD (16.0%), and specific phobia (14.6%). The majority (69.6%) of patients had more than one current disorder: 1 disorder=30.4%, 2 disorders=32.3%, 3 disorders=20.0%, 4+ disorders=17.3%.

#### LATENT VARIABLES AND INDICATORS IN THE MEASUREMENT AND STRUCTURAL MODELS

In addition to various dimensions of the triple vulnerability model (i.e., genetic, general psychological, and disorder-specific vulnerabilities), four *DSM-IV* disorder constructs were examined in the measurement and structural models: Depression (DEP), Generalized Anxiety Disorder (GAD), Obsessive-Compulsive Disorder (OCD), and Social Phobia (SOC).

##### *General Biological Vulnerabilities*

Latent variables corresponding to the general biological vulnerabilities of Neuroticism and Extraversion were defined by subscales from the NEO-Five Factor Inventory (NFFI; Costa & McCrae, 1992) and the short form version of Eysenck Personality Questionnaire (EPQ; Eysenck & Eysenck, 1975).

##### *General Psychological Vulnerability*

The general psychological vulnerability dimension was assessed by the revised Anxiety Control Questionnaire (ACQ-R; Brown, White, Forsyth, & Barlow, 2004). The ACQ-R is the only extant measure of perceived (un)controllability over emotional states and situational threat, a key construct in Barlow's (2000, 2002) triple vulnerability theory of emotional disorders. Although results of Brown et al. (2004) indicated that the latent structure of the ACQ-R is comprised of three well-defined subdomains (Emotion Control, Threat Control, Stress Control), hierarchical factor analysis supported the existence of a single higher-order dimension of Perceived Control. In fact, this higher-order dimension possessed more favorable scale reliability ( $\rho = .85$ ) than the ACQ-R subdomains (range of  $\rho$ s = .73 to .76). Thus, the broader dimension of Perceived Control was used as the indicator of general psychological vulnerability in the measurement and structural models (higher scores reflect greater levels of perceived emotional control).

##### *Disorder-Specific Vulnerabilities*

The following questionnaires were used as indicators of putative disorder-specific vulnerabilities for OCD,

GAD, and DEP, respectively: (a) Thought-Action Fusion Scale (TAF; Shafran et al., 1996), a measure of beliefs that thinking about unwanted events is immoral or increases their likelihood of occurrence; (b) Intolerance of Uncertainty Scale (IUS; Buhr & Dugas, 2002), a measure of the excessive tendency to consider it unacceptable that a negative event may occur, however small the probability of its occurrence, and (c) Dysfunctional Attitudes Scale (DAS, Form A; Weissman & Beck, 1978), a measure of cognitions conjectured to predispose or maintain depression. Although evidence indicates the TAF is multifactorial, a broader dimension of thought-action fusion (TAF total score) was used in the present analyses based on findings from a recent psychometric investigation in a large clinical sample attesting to the existence of a broader factor that has more favorable scale reliability ( $\rho = .97$ ) and concurrent validity than the TAF subdomains (Meyer & Brown, in press). Results from the most recent and largest scale psychometric investigation of the IUS (Sexton & Dugas, 2009) indicated that the latent structure of this measure is comprised of two factors (Factor 1 = 15 items, Factor 2 = 12 items). The first factor was used as the indicator of the intolerance of uncertainty construct in the current study based on findings from Sexton and Dugas that this dimension evidenced somewhat better concurrent validity than the second factor. The scale reliability estimate (Cronbach's  $\alpha$ ) of the first factor was .92 (Sexton & Dugas). In accord with prior research (e.g., Alloy et al., 2006; Craighead, Sheets, Craighead, & Madsen, 2011; Rogers et al., 2009), the DAS total score was used as the indicator of depressogenic cognitions and maladaptive beliefs (average  $\alpha$  across studies = .90).

##### *DEP*

A latent variable of unipolar depression was formed using two questionnaire indicators and an ADIS-IV-L clinical rating composite: (a) Beck Depression Inventory-II (BDI-II; Beck, Steer, & Brown, 1996); (b) Depression scale of the 21-item version of the Depression Anxiety Stress Scales (DASS-D; Lovibond & Lovibond, 1995; cf. Antony, Bieling, Cox, Enns, & Swinson, 1998); and (c) the ADIS-IV dimensional ratings of the 9 symptom criteria of *DSM-IV* major depression (0 = none to 8 = very severe; ICC = .84). In accord with procedures from previous studies (e.g., Brown, 2007; Brown & Rosellini, 2011), the BDI-II was scored using the 10 items that load on a Cognitive/Affective factor (items 1–9, 13) that are more specific to the unipolar mood disorders.

##### *GAD*

A factor of *DSM-IV* GAD was created in part by using two ADIS-IV-L dimensional rating measures

(all 0-8 scales): excessiveness of worry in 8 areas (e.g., finances, minor matters); and a single clinical rating of patients' difficulty controlling worry (ICCs = .68 and .62, respectively). In addition, the GAD factor was defined by the Penn State Worry Questionnaire (Meyer, Miller, Metzger, & Borkovec, 1990; cf. Brown, Antony, & Barlow, 1992), a 16-item self-report measure of chronic worry.

### SOC

One questionnaire and two ADIS-IV-L clinical rating measures were used as indicators of the SOC latent variable: (a) Social Phobia scale of the Albany Panic and Phobia Questionnaire (APPQ-S; Rapee, Craske, & Barlow, 1994/1995; cf. Brown, White, & Barlow, 2005); (b) sum composite of patients' fear of 13 social situations (e.g., initiating a conversation, participating at meetings/classes) rated by the clinician during the ADIS-IV-L (0 = *no fear* to 8 = *very severe fear*; ICC = .89); and (c) single clinical rating (0-8 scale) of interference and distress associated with patients' social anxiety (ICC = .81).

### OCD

The OCD latent variable was represented by two clinical ratings and two questionnaires. The clinical rating indicators were: (a) a sum composite of ADIS-IV-L dimensional ratings of persistence/distress associated with 9 common obsessions (e.g., doubting, contamination; ICC = .75); and (b) a sum composite of ADIS-IV-L dimensional ratings of the frequency of 6 compulsions (e.g., washing, checking; ICC = .79). The self-report indicators were two subscales from the Obsessive-Compulsive Inventory-Revised (OCI-R; Foa et al., 2002): Obsessions and Neutralizing. These subscales were selected from the 6 possible OCI-R subscales based on their relevance to the broader construct of OCD (e.g., other OCI-R subscales, such as Hoarding, assess more specific facets of OCD).

### DATA ANALYSIS

The raw data were analyzed using a latent variable software program and robust maximum-likelihood (MLR) minimization functions (Mplus 6.11, Muthén & Muthén, 1998-2011). Although negligible (average covariance coverage = .985), missing data were accommodated by MLR (cf. Allison, 2003). Goodness of fit of the models was evaluated using the root mean square error of approximation (RMSEA), and its 90% confidence interval, the Tucker-Lewis index (TLI), the comparative fit index (CFI), and the standardized root mean square residual (SRMR). Acceptable model fit was defined in part by the criteria forwarded by Hu and Bentler (1999): RMSEA values close to .06 or below (90% CI upper limit close to  $\leq .06$ , nonsignificant CFI), CFI and TLI values

close to .95 or above, and SRMR values close to .08 or below. The acceptability of the models was further evaluated by the presence/absence of salient localized areas of strains in the solutions (e.g., modification indices), and the strength and interpretability of the parameter estimates. Although widely accepted remedial strategies have not been developed, Type I error inflation is an issue in latent variable models where the statistical significance of multiple parameter estimates is evaluated (cf. Cribbie, 2007). Thus, the substantive interpretation of structural parameter estimates (e.g., regression paths) in the models relied more heavily on the magnitude (effect size,  $f^2$ ), consistency, and specificity of the effects than on statistical significance (especially given the study's considerable power to detect small effects as statistically significant).

## Results

### MEASUREMENT MODEL

A confirmatory factor analysis (CFA) was conducted to evaluate a measurement model comprised of latent variables corresponding to the four *DSM-IV* disorder constructs (DEP, GAD, SOC, OCD), Neuroticism, Extraversion, and the general psychological and disorder-specific vulnerability dimensions. Because the general psychological and disorder-specific vulnerability dimensions were defined by single indicators, measurement error in these variables was adjusted for by fixing error variances to predetermined values on the basis of the indicators' sample variances and the scale reliability estimates reported in the Method section (cf. Brown, 2006; see Table 1 for error variances). With the exception of DEP, each *DSM-IV* disorder latent variable was defined by two clinical rating indicators (in addition to at least one self-report measure). Accordingly, within the GAD, OCD, and SOC latent variables, the error covariance for the clinical rating indicators was freely estimated based on the expectation of source effects (e.g., due to differential method covariance, the clinical ratings of excessive worry and difficulty controlling worry would be more strongly correlated with each other than with the Penn State Worry Questionnaire). All factor cross-loadings were fixed to zero. The global goodness of fit of this solution was satisfactory,  $\chi^2(144) = 527.29$ ,  $p < .001$ , SRMR = .04, RMSEA = 0.06 (90% CI = .06 to .07), TLI = 0.93, CFI = .95. The completely standardized factor loading estimates from this solution are presented in Table 1 (range of  $\lambda$ s = .51 to .99; all  $ps < .001$ ).

### *Tests of the Differential Magnitude of Disorder-Specific Vulnerability Correlations*

The correlations among the dimensions from the measurement model of the *DSM-IV* disorder and

Table 1  
Factor Loadings (Completely Standardized) for Measurement Model of *DSM-IV* Disorder and Triple Vulnerability Constructs ( $N=700$ )

	Factor Loading
Depression	
DASS-Depression	.86
BDI	.91
ADIS-IV-L Depression	.78
Generalized Anxiety Disorder	
PSWQ	.88
ADIS-IV-L excessive worry	.56
ADIS-IV-L uncontrollability of worry	.55
Obsessive-Compulsive Disorder	
OCI-R Obsessions	.79
OCI-R Neutralizing	.51
ADIS-IV-L obsessions	.57
ADIS-IV-L compulsions	.51
Social Phobia	
APPQ Social Phobia	.88
ADIS-IV-L fear of social situations	.88
ADIS-IV-L Social Phobia interference/distress	.79
Neuroticism	
NFFI Neuroticism	.92
EPQ Neuroticism	.82
Extraversion	
NFFI Extraversion	.86
EPQ Extraversion	.85
Perceived Control	
ACQ-R	.92 <sup>a</sup>
Thought-Action Fusion	
TAFS	.99 <sup>a</sup>
Intolerance of Uncertainty	
IUS	.96 <sup>a</sup>
Dysfunctional Attitudes	
DAS	.95 <sup>a</sup>

Note. DASS=Depression Anxiety Stress Scales; BDI=Beck Depression Inventory; ADIS-IV-L=Anxiety Disorders Interview Schedule for *DSM-IV*: Lifetime version; PSWQ=Penn State Worry Questionnaire; OCI-R=Obsession-Compulsions Inventory – Revised; APPQ=Albany Panic and Phobia Questionnaire; NFFI=NEO Five-Factor Inventory; EPQ=Eysenck Personality Questionnaire; ACQ-R=Anxiety Control Questionnaire-Revised; DAS=Dysfunctional Attitudes Scale; IUS=Intolerance of Uncertainty Scale; TAFS=Thought-Action Fusion Scale. All factor loadings significant at  $p < .001$ ; <sup>a</sup>Error variances of single indicators constrained to unstandardized theta values of 18.41, 124.45, 13.69, and 4.50, for the ACQ-R, DAS, IUS, and TAFS indicators, respectively.

triple vulnerability constructs are provided in Table 2. In accord with the conceptualization of disorder-specific vulnerability, it was posited that the dimensions of TAF, IoU, and DAS would be differentially related to the latent variables of OCD, GAD, and DEP, respectively. The differential magnitude of the correlations of each disorder-specific vulnerability dimension was evaluated using the Steiger  $z$ -test

procedure (cf. Meng, Rosenthal, & Rubin, 1992). These results are presented in Table 3. Consistent with prediction, the TAF dimension was more strongly related to OCD ( $r=.48$ ) than the other three *DSM-IV* disorder constructs (range of remaining  $r$ s = .18 to .28). However, the expected pattern of differential relationships was not obtained for IoU and DAS. IoU had its strongest zero-order relationship with DEP ( $r=.62$ ). Although the relationship between IoU and GAD was the second largest in magnitude ( $r=.54$ ), the strength of this association differed only from OCD. DAS evidenced comparable relationships with DEP, GAD, and SOC (range of  $r$ s = .50 to .55), and was more weakly associated with OCD ( $r=.34$ ).

#### STRUCTURAL MODELS

A series of structural regression models was conducted to evaluate the specificity and unique contribution of the triple vulnerability dimensions in the prediction of the *DSM-IV* disorder constructs. The structural models were specified in a hierarchical fashion. In the first model, the *DSM-IV* disorder factors were regressed on the dimensions of general biological vulnerability (Neuroticism, Extraversion). The dimension of general psychological vulnerability (Perceived Control) was added as a predictor in the second model. The incremental predictive utility of each disorder-specific vulnerability was then evaluated in the last three models. In all models, the residuals of the *DSM-IV* endogenous variables were permitted to freely covary. The global goodness of fit and structural parameter estimates of interest (completely standardized) of the models are presented in Table 4.

#### General Biological Vulnerability Model

Consistent with prediction and prior research (e.g., Brown, 2007), each *DSM-IV* disorder construct was significantly predicted by Neuroticism (all  $p$ s < .001), with the strongest direct effects found for GAD and DEP ( $\gamma$ s = .94 and .73, respectively). As expected, the direct effects of Extraversion on DEP and SOC were negatively signed ( $\gamma$ s = -.55 and -.08, respectively), indicating that extraverted temperament was inversely associated with severity of social phobia and depression. However, whereas Extraversion was strongly associated with SOC ( $p < .001$ ), the path from Extraversion to DEP approached statistical significance ( $p = .052$ ). In addition, although GAD and OCD evidenced negligible zero-order relationships with Extraversion ( $r$ s = -.16 and .02, respectively; cf. Table 2), suppressor effects were obtained in the structural regression model. Specifically, holding Neuroticism constant, Extraversion had a positive direct effect on

Table 2  
Correlations Among Dimensions from the Measurement Model of *DSM-IV* Disorder and Triple Vulnerability Constructs (*N*=700)

	DEP	GAD	OCD	SOC	N	E	PC	TAF	IoU	DAS
DEP	1.00									
GAD	.48	1.00								
OCD	.41	.49	1.00							
SOC	.49	.29	.17	1.00						
N	.77	.78	.41	.61	1.00					
E	-.44	-.16	.02	-.72	-.49	1.00				
PC	-.54	-.64	-.43	-.40	-.66	.30	1.00			
TAF	.24	.28	.48	.18	.25	-.07	-.30	1.00		
IoU	.62	.54	.36	.47	.66	-.31	-.60	.28	1.00	
DAS	.50	.51	.34	.55	.68	-.34	-.53	.31	.64	1.00

*Note.* DEP=depression; GAD=generalized anxiety disorder; OCD=obsessive-compulsive disorder; SOC=social phobia; N=neuroticism; E=extraversion; PC=perceived control; TAF=thought-action fusion; IoU=intolerance of uncertainty; DAS=dysfunctional attitudes. All correlations  $\geq .161$  significant at  $p=.001$ .

both GAD and OCD ( $\gamma$ s=.30 and .29, respectively;  $p$ s<.001).<sup>2</sup>

#### General Psychological Vulnerability Model

The second structural regression model examined whether Perceived Control uniquely contributed to the prediction of the four *DSM-IV* disorder constructs holding Neuroticism and Extraversion constant. As seen in Table 4, significant paths from Perceived Control were obtained for GAD and OCD ( $\gamma$ s=-.20 and -.28, respectively;  $p$ s<.001), but not for DEP and SOC. Per the standards of Cohen (1988), Perceived Control exerted a weak effect on GAD and a small effect on OCD ( $f^2$ s=.003 and .06, respectively). All paths from Neuroticism and Extraversion to the *DSM-IV* disorder factors that were statistically significant in the genetic vulnerability model remained significant when Perceived Control was included as an exogenous variable.

#### Disorder-Specific Vulnerability Models

The final set of structural regression models addressed whether the putative disorder-specific vulnerabilities (TAF, IoU, DAS) contributed significantly to the prediction of the *DSM-IV* disorder latent variables holding the general vulnerability dimensions constant. A path diagram depicting the specification of these final structural models is presented in Figure 1, using TAF as an example (the other two structural models were specified in the same fashion, replacing TAF with a different

disorder-specific vulnerability). In each of these three models, the paths from Neuroticism, Extraversion, and Perceived Control to the *DSM-IV* disorder factors that were statistically significant in the previous models remained significant with the inclusion of the disorder-specific vulnerability predictor. In fact, the path from Extraversion to DEP that had approached significance in the previous models ( $p$ s=.052) was statistically significant in each of the disorder-specific vulnerability models (all  $p$ s<.05), although this effect continued to be small.

Consistent with the conceptualization of thought-action fusion as a dimension germane to the etiology and maintenance of OCD, the TAF→OCD path was statistically significant ( $p$ <.001) and resulted in an  $R^2$  change of .11 ( $f^2$ =.19, a medium effect per Cohen, 1988). TAF did not contribute significantly to the prediction of any other *DSM-IV* disorder

Table 3  
Tests of the Differential Magnitude of Correlations Between *DSM-IV* Disorder Constructs and Dimensions of Disorder-Specific Vulnerability

Disorder-Specific Vulnerability	<i>DSM-IV</i> Disorder Construct			
	DEP	GAD	OCD	SOC
TAF	.24 <sup>b,c</sup>	.28 <sup>b</sup>	.48 <sup>a</sup>	.18 <sup>c</sup>
IoU	.62 <sup>a</sup>	.54 <sup>b</sup>	.36 <sup>c</sup>	.47 <sup>b</sup>
DAS	.50 <sup>a</sup>	.51 <sup>a</sup>	.34 <sup>b</sup>	.55 <sup>a</sup>

*Note.* DEP=depression; GAD=generalized anxiety disorder; OCD=obsessive-compulsive disorder; SOC=social phobia; TAF=thought-action fusion; IoU=intolerance of uncertainty; DAS=dysfunctional attitudes. Correlations within the same row that share a superscript letter do not differ in their relative magnitude as determined by the Steiger z-test procedure ( $p$ s<.05); e.g., although significantly different from OCD, the latent dimensions of DEP, GAD, and SOC do not differ in their strength of association with DAS.

<sup>2</sup> Follow-up analyses were conducted to address the robustness of this unexpected finding. Specifically, the general biological vulnerability structural model was re-analyzed several times using: (a) split-halved samples ( $n$ s=350), and (b) single indicators (NFFI, EPQ) to represent the Extraversion construct. In each instance, Extraversion continued to have a positive direct effect on GAD and OCD (range of  $\gamma$ s=.20 to .36, all  $p$ s<.001).

Table 4

Specificity and Strength of the Contribution of the Triple Vulnerability Dimensions in the Prediction of the *DSM-IV* Disorder Constructs

	DEP		GAD		OCD		SOC	
	$\gamma^*$	$SE_{\gamma^*}$	$\gamma^*$	$SE_{\gamma^*}$	$\gamma^*$	$SE_{\gamma^*}$	$\gamma^*$	$SE_{\gamma^*}$
1. General Biological Vulnerability Dimensions								
Neuroticism	.73***	.03	.94***	.04	.56***	.05	.34***	.04
Extraversion	-.08 <sup>†</sup>	.04	.30***	.04	.29***	.05	-.55***	.04
$R^2$	.60***	.03	.70***	.05	.24***	.04	.61***	.03
2. General Psychological Vulnerability								
Neuroticism	.70***	.05	.79***	.06	.35***	.07	.34***	.05
Extraversion	-.08 <sup>†</sup>	.04	.28***	.04	.27***	.05	-.55***	.04
Perceived Control	-.06	.05	-.20***	.06	-.28***	.06	-.01	.05
$R^2$	.61***	.03	.70***	.05	.28***	.04	.61***	.03
3. Disorder-Specific Vulnerability: Thought-Action Fusion								
Neuroticism	.69***	.05	.79***	.06	.33***	.07	.33***	.05
Extraversion	-.09*	.04	.28***	.04	.26***	.05	-.55***	.04
Perceived Control	-.05	.05	-.18***	.06	-.18***	.07	-.00	.05
Thought-Action Fusion	.05	.03	.05	.03	.36***	.05	.06	.03
$R^2$	.61***	.03	.70***	.05	.39***	.04	.61***	.03
4. Disorder-Specific Vulnerability: Intolerance of Uncertainty								
Neuroticism	.61***	.05	.79***	.07	.32***	.08	.27***	.06
Extraversion	-.08*	.04	.28***	.04	.27***	.05	-.55***	.04
Perceived Control	-.00	.05	-.20***	.06	-.26***	.07	.03	.05
Intolerance of Uncertainty	.19***	.04	-.02	.05	.08	.06	.14**	.05
$R^2$	.63***	.03	.70***	.05	.28***	.04	.62***	.03
5. Disorder-Specific Vulnerability: Dysfunctional Attitudes								
Neuroticism	.72***	.06	.81***	.07	.32***	.08	.22***	.06
Extraversion	-.09*	.04	.28***	.04	.27***	.05	-.55***	.04
Perceived Control	-.06	.05	-.21***	.06	-.28***	.07	.01	.05
Dysfunctional Attitudes	-.05	.04	-.05	.05	.06	.05	.21***	.04
$R^2$	.61***	.03	.70***	.05	.28***	.04	.63***	.03

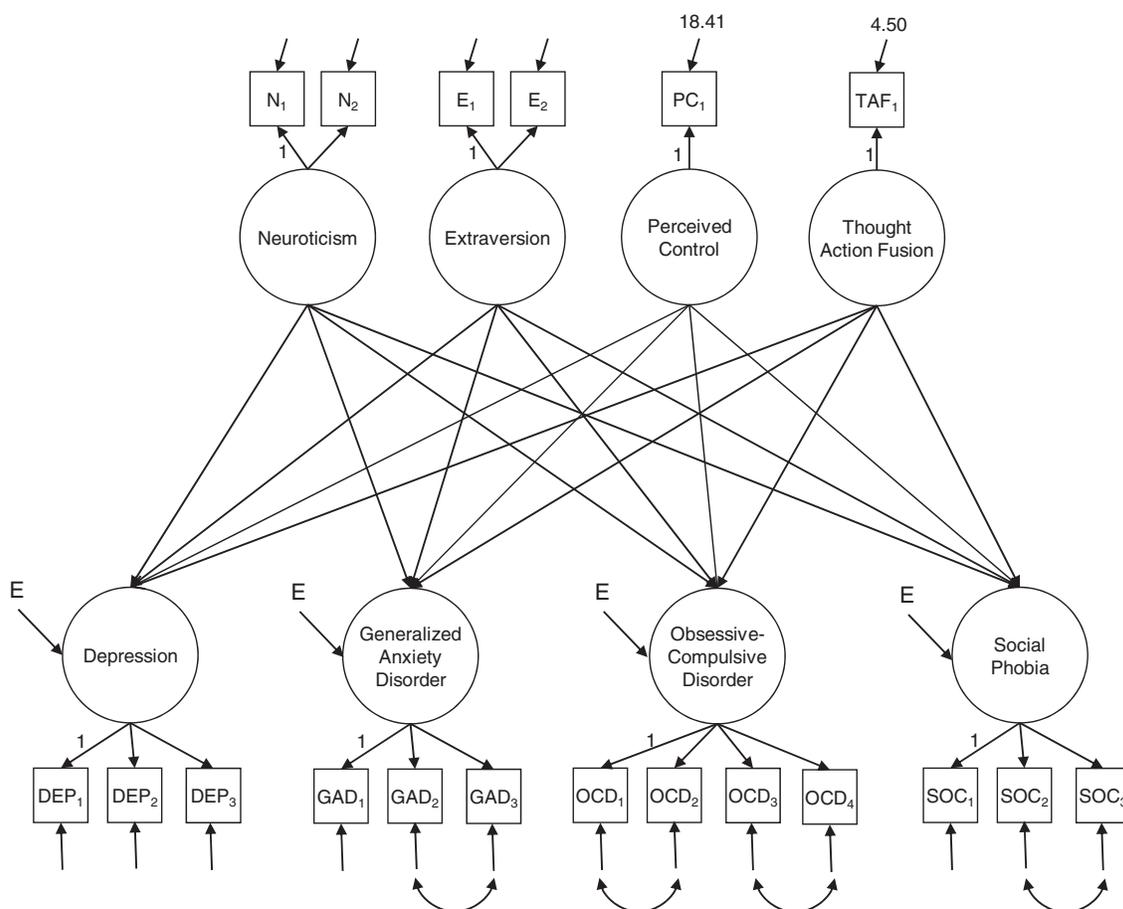
Note. DEP=depression; GAD=generalized anxiety disorder; OCD=obsessive-compulsive disorder; SOC=social phobia;  $\gamma^*$ =completely standardized path coefficient. Overall fit of models, Model 1:  $\chi^2(100)=397.61$ ,  $p<.001$ , SRMR=.04, RMSEA=0.06 (90% CI=0.06 to 0.07), TLI=0.94, CFI=.96; Model 2:  $\chi^2(111)=463.70$ ,  $p<.001$ , SRMR=.04, RMSEA=0.07 (90% CI=0.06 to 0.07), TLI=0.93, CFI=.95; Model 3:  $\chi^2(122)=488.50$ ,  $p<.001$ , SRMR=.04, RMSEA=0.07 (90% CI=0.06 to 0.07), TLI=0.93, CFI=.95; Model 4:  $\chi^2(122)=470.83$ ,  $p<.001$ , SRMR=.04, RMSEA=0.06 (90% CI=0.06 to 0.07), TLI=0.94, CFI=.95; Model 5:  $\chi^2(122)=497.38$ ,  $p<.001$ , SRMR=.04, RMSEA=0.07 (90% CI=0.06 to 0.07), TLI=0.93, CFI=.95. <sup>†</sup> $p=.052$  \* $p<.05$  \*\* $p<.01$  \*\*\* $p<.001$ .

latent variable (Table 4). Counter to conceptualization, IoU did not explain significant unique variance in the GAD latent variable ( $p=.72$ ). However, IoU exerted small, yet statistically significant, direct effects on DEP and SOC ( $\gamma$ s=.19 and .14, respectively;  $f^2$ s=.05 and .03). Similarly, the posited direct effect of DAS on DEP was nonsignificant ( $p=.28$ ). Although DAS also did not account for significant unique variance in GAD and OCD, the DAS→SOC path was statistically significant ( $\gamma=.21$ ,  $p<.001$ ,  $f^2=.06$ ).

### Discussion

The present study is the first to undertake a comprehensive evaluation of the contributions of various dimensions derived from the triple vulnerability model (Barlow, 2002) to the prediction of multiple *DSM-IV* anxiety and mood disorder constructs.

Indeed, whereas a multitude of vulnerability dimensions has been proposed and studied in the clinical literature, rarely have such constructs been studied together to examine their unique and specific contributions to emotional disorder psychopathology. Among other limitations, studies that examine vulnerability dimensions in isolation do not address the discriminant validity of these constructs in relation to one another and to dimensions of psychopathology. For instance, the distinctiveness of some purported lower-order vulnerabilities could be questioned based on the concern that the dimensions are actually specific features of a broader diathesis (e.g., is intolerance of uncertainty merely a more elaborated facet of neuroticism?). Similar concerns may arise with regard to the distinction between dimensions of vulnerability and emotional disorders (e.g., do thought-action fusion and OCD reflect the same



**FIGURE 1** Structural and Measurement Model of Triple Vulnerability Model Using Thought-Action Fusion as Disorder-Specific Vulnerability.  $N_1$  = NEO Five-Factor Inventory (NFFI) Neuroticism,  $N_2$  = Eysenck Personality Questionnaire (EPQ) Neuroticism,  $E_1$  = NFFI Extraversion,  $E_2$  = EPQ Extraversion,  $PC_1$  = Anxiety Control Questionnaire-Revised Total,  $TAF_1$  = Thought-Action Fusion Total,  $DEP$  = Depression,  $GAD$  = Generalized Anxiety Disorder,  $OCD$  = Obsessive-Compulsive Disorder,  $SOC$  = Social Phobia,  $DEP_1$  = Depression from Depression Anxiety Stress Scales;  $DEP_2$  = Beck Depression Inventory,  $DEP_3$  = Anxiety Disorders Interview Schedule for *DSM-IV*: Lifetime version (ADIS-IV-L) major depression;  $GAD_1$  = Penn State Worry Questionnaire,  $GAD_2$  = ADIS-IV-L excessive worry,  $GAD_3$  = ADIS-IV-L uncontrollability of worry,  $OCD_1$  = Obsession-Compulsions Inventory - Revised (OCI-R) Obsessions,  $OCD_2$  = OCI-R Neutralizing,  $OCD_3$  = ADIS-IV-L obsessions,  $OCD_4$  = ADIS-IV-L compulsions,  $SOC_1$  = Albany Panic and Phobia Questionnaire Social Fears,  $SOC_2$  = ADIS-IV-L fear of social situations,  $SOC_3$  = ADIS-IV-L social phobia interference/distress. Although not shown in the path diagram for presentational clarity, all covariances among exogenous variables and all covariances among endogenous variable disturbances were freely estimated.

underlying process? cf. the continuity/spectrum explanation for the nature of relationship between vulnerability and psychopathology; Widiger & Smith, 2008).

As researchers have previously noted (e.g., Brown, 2007), discriminant validation may be complicated in studies of clinical participants, because the associations among measures of vulnerability and psychopathology can be enhanced by general distress (i.e., mood-state distortion; cf. Clark, Vittengl, Kraft, & Jarrett, 2003; Widiger & Smith, 2008). Nevertheless, the current results indicated that the selected dimensions of vulnerability did not evidence

excessive overlap with one another or with the *DSM-IV* disorder constructs (e.g., range of intercorrelations among vulnerability dimensions was  $-.07$  to  $.68$ ; cf. Table 2). Although these relationships were estimated adjusting for measurement error in each construct, a limitation of these analyses was that several vulnerability dimensions were represented by one indicator. A more compelling evaluation of the convergent and discriminant validity of the triple vulnerability dimensions would entail a latent variable measurement model where every construct was assessed by multiple measures from different sources (e.g., a multitrait-multimethod analysis;

cf. Kollman, Brown, & Barlow, 2009). Whereas a variety of instruments have been developed to assess temperament, this is not the case for the putative lower-order vulnerabilities that are almost without exception represented in the extant literature by a single measurement scale (e.g., intolerance of uncertainty, thought-action fusion). Although most measures of lower-order vulnerability have been shown to be multifactorial, we opted to model these vulnerabilities as single indicators, using the broadest and psychometrically strongest dimension from each instrument (e.g., higher-order factors of Perceived Control and Thought-Action Fusion rather than the subdomains of these scales). However, this approach should be considered with the caveats that evidence for the latent structure of some measures is not unequivocal (e.g., DAS), and that it does not address the possibility that the vulnerability subdomains are differentially related to the *DSM-IV* disorder constructs (cf. Footnote 3).

In addition to discriminant validity, a key question is to what extent do the triple vulnerability dimensions contribute to the prediction of the emotional disorders. In this regard, it is important to underscore the finding that the general biological vulnerability dimensions (neuroticism, extraversion) exerted the strongest effects on the *DSM-IV* disorder constructs (e.g., range of  $R^2 = .24$  to  $.70$ ; cf. Table 4). In accord with prediction and prior research evidence, Neuroticism had significant direct effects on each disorder construct but was most strongly associated with GAD and DEP (e.g., Brown, 2007; Watson et al., 2005). Extraversion had inverse relationships with only SOC and DEP, but was most strongly related to SOC (cf. Naragon-Gainey et al., 2009; Brown & Rosellini, 2011). Moreover, the posited structural relationships between dimensions of general biological vulnerability and psychopathology remained significant (and strong) after lower-order dimensions were added to the structural models. However, in addition to these predicted relationships, two unexpected suppressor effects were obtained. Specifically, Extraversion had significant direct effects in a *positive* direction on GAD and OCD in the structural models (despite negligible relationships at the zero-order level; cf. Table 2). There is no precedent for this result in similar multivariate models that examined directional paths between Extraversion and GAD or OCD when controlling for neuroticism (e.g., Brown & Rosellini, 2011; van der Heiden et al., 2010). Although these suppressor effects were consistent across subsamples and indicators in the current study (see Footnote 2), further research is needed to ascertain their replicability and substantive importance.

In contrast to temperament (general biological vulnerability), the lower-order dimensions of vulnerability, while in many cases statistically significant, often contributed weakly to the prediction of the *DSM-IV* disorder constructs. For instance, although the general psychological vulnerability construct of Perceived Control was distinct from Neuroticism ( $r = -.66$ ) and Extraversion ( $r = .30$ ), it contributed significantly to the prediction of just two of the four disorders (GAD, OCD). However, in both instances, the size of the direct effect was small ( $f^2 = 0.00$  and  $0.06$  for GAD and OCD, respectively). These findings do not provide strong support for the conceptual position that perceived control is germane to the full range of emotional disorders. Perceived Control evidenced moderate zero-order relationships with the *DSM-IV* disorder constructs (range of  $r_s = -.40$  to  $-.64$ ), but contributed negligibly to the prediction of the disorders in the structural regression models. Thus, although Perceived Control had considerable covariance with the *DSM-IV* disorder constructs, findings from the structural regression models indicate that virtually all of this explanatory variance is shared with dimensions of temperament, primarily Neuroticism. Although the effects were weak, it is noteworthy that Perceived Control was uniquely predictive of GAD and OCD, disorders that are phenotypically similar with regard to a lack of control over internal states (i.e., excessive worry, obsessions). Perhaps this finding indicates that the predictive role of the Perceived Control construct is strongest for this specific aspect of emotional disorder psychopathology (i.e., control over cognitive-emotional states).<sup>3</sup>

The disorder-specific vulnerability dimensions in the current study were selected based on their prominence in literature as putative risk factors for specific emotional disorders. Despite the fact that each disorder-specific vulnerability has received considerable research attention, very few studies have examined their contribution to the prediction of psychopathology over and beyond broader, well-established diatheses (e.g., dimensions of temperament such as neuroticism). As with Perceived Control, the current results indicate that, in most instances, the disorder-specific vulnerability dimensions did not exert appreciable, unique effects on the *DSM-IV*

<sup>3</sup>To further address this notion, the general psychological vulnerability models were reconducted using each of three ACQ-R subdomain factors instead of the higher-order dimension of Perceived Control (holding Neuroticism and Extraversion constant). In support of this suggestion, ACQ-R Emotional Control was more strongly predictive of GAD and OCD ( $\gamma_s = -.38$  and  $-.28$ , respectively) than the ACQ-R subdomains of perceived control over external situations (Threat Control, Stress Control; range of  $\gamma_s = -.002$  to  $-.23$ ).

disorder constructs and did not do so in a fashion that was in accord with their posited specificity (e.g., IoU contributed to the prediction of DEP and SOC, but not GAD or OCD).

The exception was TAF, which in addition to having a stronger zero-order relationship to OCD than the other *DSM-IV* disorder constructs at the zero-order level, had a moderate-sized ( $f^2 = .18$ ) directional effect on OCD holding dimensions of temperament and perceived control constant. In addition, this structural relationship was specific to OCD (i.e., TAF did not contribute to the prediction of the other three *DSM-IV* constructs). Although TAF is routinely associated with OCD (e.g., Amir et al., 2001; Meyer & Brown, in press; Shafran et al., 1996), previous findings have cast doubt on the specificity of this relationship (e.g., TAF does not differentiate OCD from other anxiety and mood disorders; Rassin, Diepstraten, et al., 2001; Rassin, Merckelback, et al., 2001). However, most previous clinical studies of TAF have entailed smaller, univariate analyses conducted at the diagnostic level. It could be argued that the current multivariate analyses rendered a more compelling evaluation of the relationship between TAF and *DSM-IV* emotional disorder psychopathology because both the vulnerability and disorder constructs were represented dimensionally (which captured individual differences in severity that were adjusted for measurement error) and were examined in context of a broader structural model (which explicated the unique and specific contributions of TAF to *DSM-IV* psychopathology after controlling for dimensions of shared vulnerability). Nevertheless, more research is needed to replicate this finding and further elucidate the nature of this relationship (e.g., does TAF have a unique direct effect on the temporal course of OCD?).

Despite IoU's original conceptualization as a specific vulnerability factor, the present findings are consistent with prior evidence that this construct is not differentially related to GAD (e.g., Gentes & Ruscio, 2011; Norton & Mehta, 2007). At the zero-order level, IoU was more strongly related to DEP than to GAD; although the relationship between IoU and GAD was the second largest in magnitude, the strength of this association differed only from OCD. Based on similar findings that IoU has moderate associations with a range of anxiety and mood disorders, it has been suggested that IoU might be better construed as a general diathesis (Gentes & Ruscio, 2011). However, this contention may be challenged by the results from the structural regression model indicating that IoU did not contribute substantially to the prediction of the *DSM-IV* disorder constructs after controlling for

broader dimensions of vulnerability (i.e., no direct effects on GAD and OCD; weak effects on DEP and SOC,  $f^2_s \leq .05$ ). Similarly, the current results did not support the conceptualization of dysfunctional attitudes as a specific risk factor for depression. DAS had comparable relationships with DEP, GAD, and SOC in the zero-order analyses, and contributed only to the prediction of SOC in the structural regression analyses. The differential (albeit weak) association with SOC might be accounted for by the fact that a large number of items on the Dysfunctional Attitudes Scale assess perfectionism and the need for social approval (cf. Cane, Olinger, Gotlib, & Kuiper, 1986; Imber et al., 1990; Oliver & Baumgart, 1985), dimensions salient to the psychopathology of social phobia (e.g., Juster et al., 1996; Rosser, Issakidis, & Peters, 2003; Shahar & Gilboa-Shechtman, 2007). Although perhaps further underscoring common features of social phobia and the unipolar mood disorders (in addition to sharing the risk factor of low extraversion, social phobia and depression are associated with similar dysfunctional thought content), the inability of DAS to distinguish DEP from other emotional disorder constructs may be due to the fact that the Dysfunctional Attitudes Scale does not sufficiently measure the third element of Beck's cognitive triad, hopelessness about the future. Given evidence that hopelessness is relatively specific to depression (e.g., Alloy, Kelly, Mineka, & Clements, 1990; Beck, Wenzel, Riskind, Brown, & Steer, 2006; Miranda, Fontes, & Marroquín, 2008), assessment of dysfunctional attitudes with more emphasis on assumptions about the future may foster a differential relationship with the mood disorders.

The current findings provide additional support for the relevance of neuroticism and extraversion in accounting for individual differences in the severity of the emotional disorders. These results also suggest that, with the exception of TAF, the lower-order constructs of vulnerability contribute modestly to the prediction of psychopathology over broader dimensions of temperament. This pattern of results could be interpreted in support of the current movement towards transdiagnostic treatments for emotional disorders that target the broader, common features of disorders over disorder-specific elements (e.g., Barlow et al., 2011). Nevertheless, it is important to underscore that the current study tested one possible realization of the triple vulnerability model. Although the measures used to represent the general biological and psychology vulnerabilities correspond directly to the constructs named in the triple vulnerability model (Barlow, 2002), there are a variety of other measures that could be selected to represent disorder-specific vulnerabilities (e.g., sociotropy/autonomy in depression). The selection of different disorder-

specific vulnerability constructs may lead to different conclusions about their incremental validity in the prediction of psychopathology. Thus, it would be informative to evaluate alternative specifications of the triple vulnerability model in future research.

In addition to the aforementioned limitations (e.g., some vulnerability dimensions represented by single indicators), these findings should be interpreted keeping in mind the study's use of a clinical sample and a cross-sectional design. These design aspects precluded a more compelling evaluation of the various explanations for the relationships between putative dimensions of vulnerability and emotional disorder psychopathology (e.g., does TAF have a predispositional influence on OCD or simply covary with OCD symptom severity?). Moreover, it is possible that the size of the relationships between dimensions of vulnerability and psychopathology is understated in clinical samples; i.e., if a dimension truly operates as a diathesis, it may have its strongest effect on psychopathology as a predispositional influence. Nevertheless, research has shown that neuroticism and extraversion predict the longitudinal course of emotional disorder constructs in clinical samples (e.g., Brown, 2007; Brown & Rosellini, 2011). In addition to studies of predispositional effects (e.g., longitudinal studies of the triple vulnerability dimensions in community or at-risk samples), it would be of interest to examine whether the temporal relationships found in clinical samples for neuroticism and extraversion are evident for dimensions of lower-order vulnerability; e.g., does TAF contribute to the maintenance of OCD, over and beyond the influence of neurotic temperament?

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