

# Welcome

Medicine Quality & Public Health Course at Boston University  
School of Public Health  
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# Medicines Regulation in Low and Middle Income Countries (LMICs)

Souly Phanouvong, BPharm, PharmD., 2PhDs.  
Promoting the Quality of Medicines Program  
Global Public Health Programs | United States Pharmacopeial Convention



## COURSE SESSION OUTLINE

1. Medicines quality - why it is important?
2. Medicines regulation development and evolution
3. Medicines regulation implementing agencies, key regulatory functions and challenges
4. What factors contribute to effective medicines regulation?



## COURSE SESSION OBJECTIVE

Provide participants some key information to increase understanding of Medicines Regulation and its evolution, and challenges in its implementation



The suitability of a medicinal product **for its intended use** is defined by:

- Its efficacy weighed against safety (health risks) according to a label claim or as promoted
- Its **conformity** to specifications regarding identity, strength, purity, and other characteristics, e.g., hardness, disintegration, dissolution property, impurity, sterility, etc.
- **Medicine = API(s) + excipient(s) + information + package + labels**



## MEDICINES QUALITY – ADAPTED WHO DEFINITION

How can a medicine's quality be established,  
assessed/evaluated?

1. Most countries have an MRA and formal requirements for registering medicines, but differ substantially in their capacity and resources, and in their overall effectiveness.
2. The quality of medicines varies greatly in these countries in manufacturing and in the distribution system.
3. Regulatory gaps are common.
4. Three types of common imbalance have been identified in regulatory practice:
  - Over-concentration on pre-market post-market monitoring and regulating the distribution system
  - More attention given to inspection of manufacturing practice than of distribution channels.
5. Whilst regional and international harmonization of regulatory guidelines and practices are desirable, the current process allows inadequate expression of the needs.



## MEDICINES REGULATION – BRIEF HISTORY

- BC 120 – Mithridates VI – Mithridatum contained 41 individual components for almost all diseases. In 1540 manufactured Mithridatum was subjected to supervision under Apothecaries Wares, Drugs and Stuffs Act
- 1581 1<sup>st</sup> Spanish Pharmacopoeia
- 1618 The London Pharmacopoeia
- After WWII modern medicines regulations started – knowledge base
- 1937 – DEG poisoning in USA – FDCA
- 1958-1960 – Thalidomide tragedy
- 1962 – the GMP compliance regulation





## MAIN REASONS FOR REGULATING MEDICINES

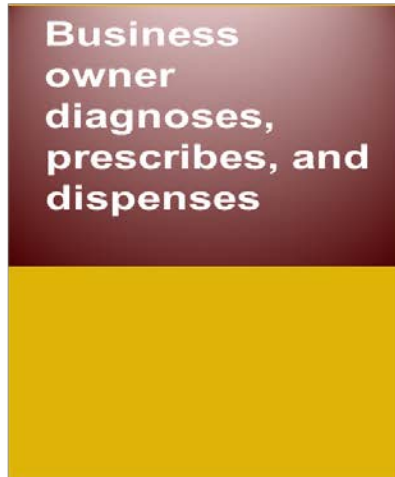
The focus in Medicines Regulation and Public Health is on establishing and strengthening MRAs to develop norms and standards to ensure the **quality, safety and efficacy** of all pharmaceutical products and to put in place necessary infrastructures and procedures for QA/QC systems, as well make **objective information** available to the public



# Main reasons for regulating medicines

1. There is an 'information asymmetry' between those who **manufacture/sell, prescribe/dispense** medicines and **patients/consumers**, who are not equipped to make independent assessments of the quality, safety or efficacy of their medicines
2. In complex global pharmaceutical supply chains, there are many opportunities for unsafe products to be introduced before reaching the consumer

**Business owner diagnoses, prescribes, and dispenses**



**Informal and illegal markets**

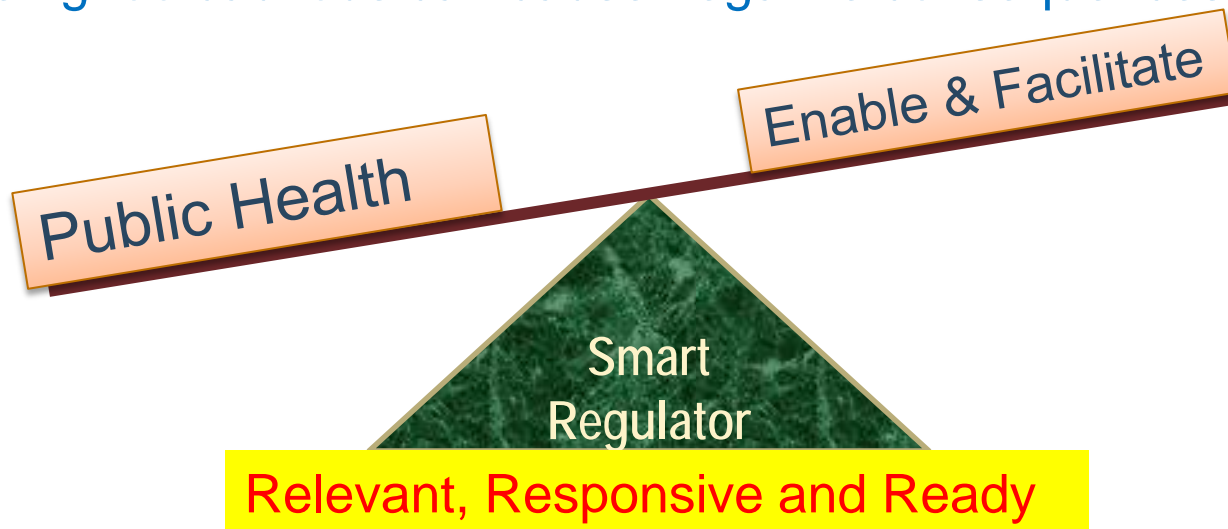


**Uninformed consumer and patient**




Medicines regulation incorporates several mutually reinforcing activities, all aim at promoting and protecting public health.

- Timely access to safe, efficacious and quality health products
- Good regulation can offer competitive economic advantage
- Supports development of dynamic, innovative and sustainable healthcare systems
- Being too cautious can cause negative consequences



# DEVELOPMENT PATHS OF MEDICINES LAWS AND REGULATIONS

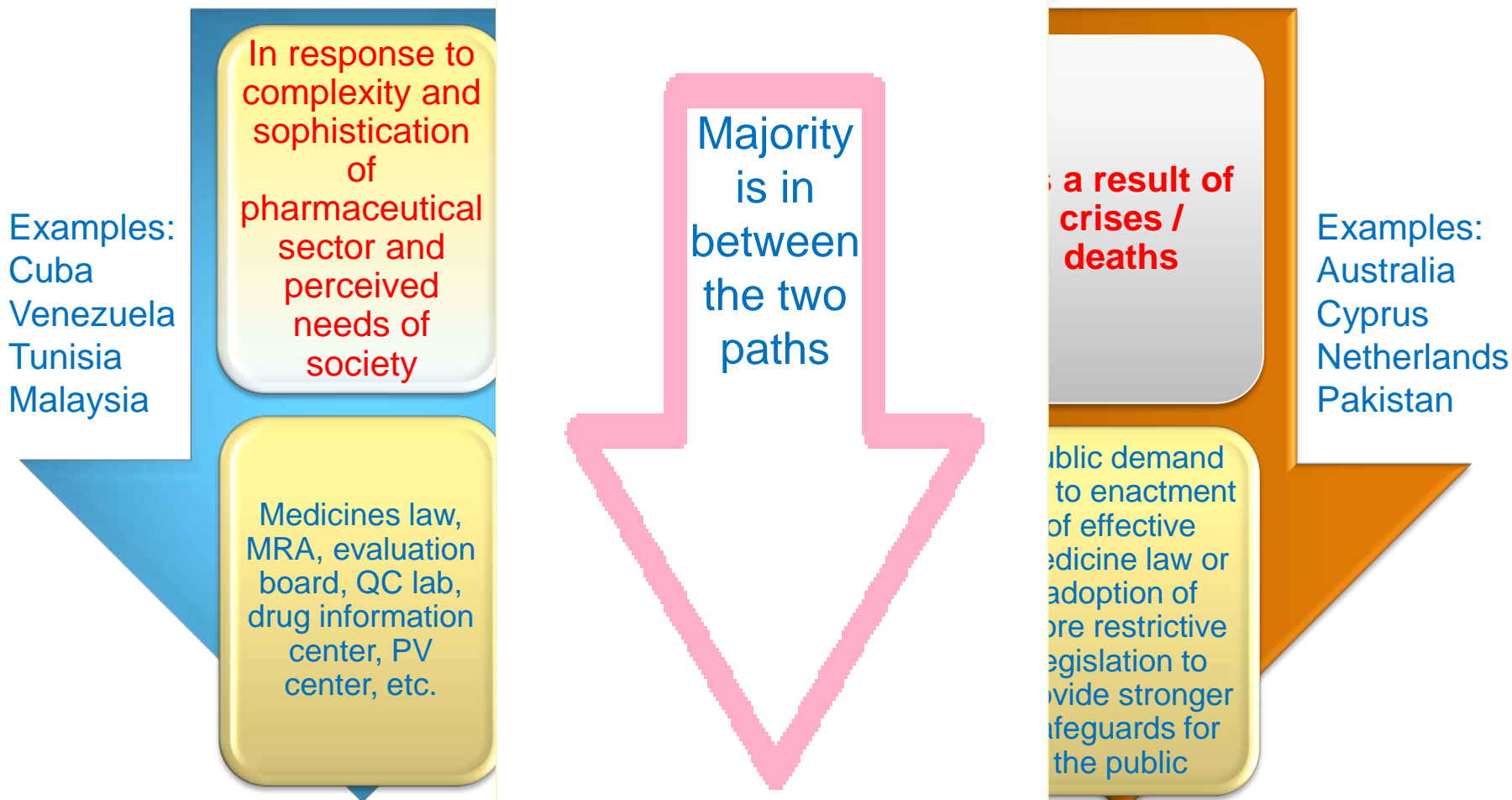
In response to complexity and sophistication of pharmaceutical sector and perceived needs of society

Medicines law, MRA, evaluation board, QC lab, drug information center, PV center, etc.

As a result of crises / deaths

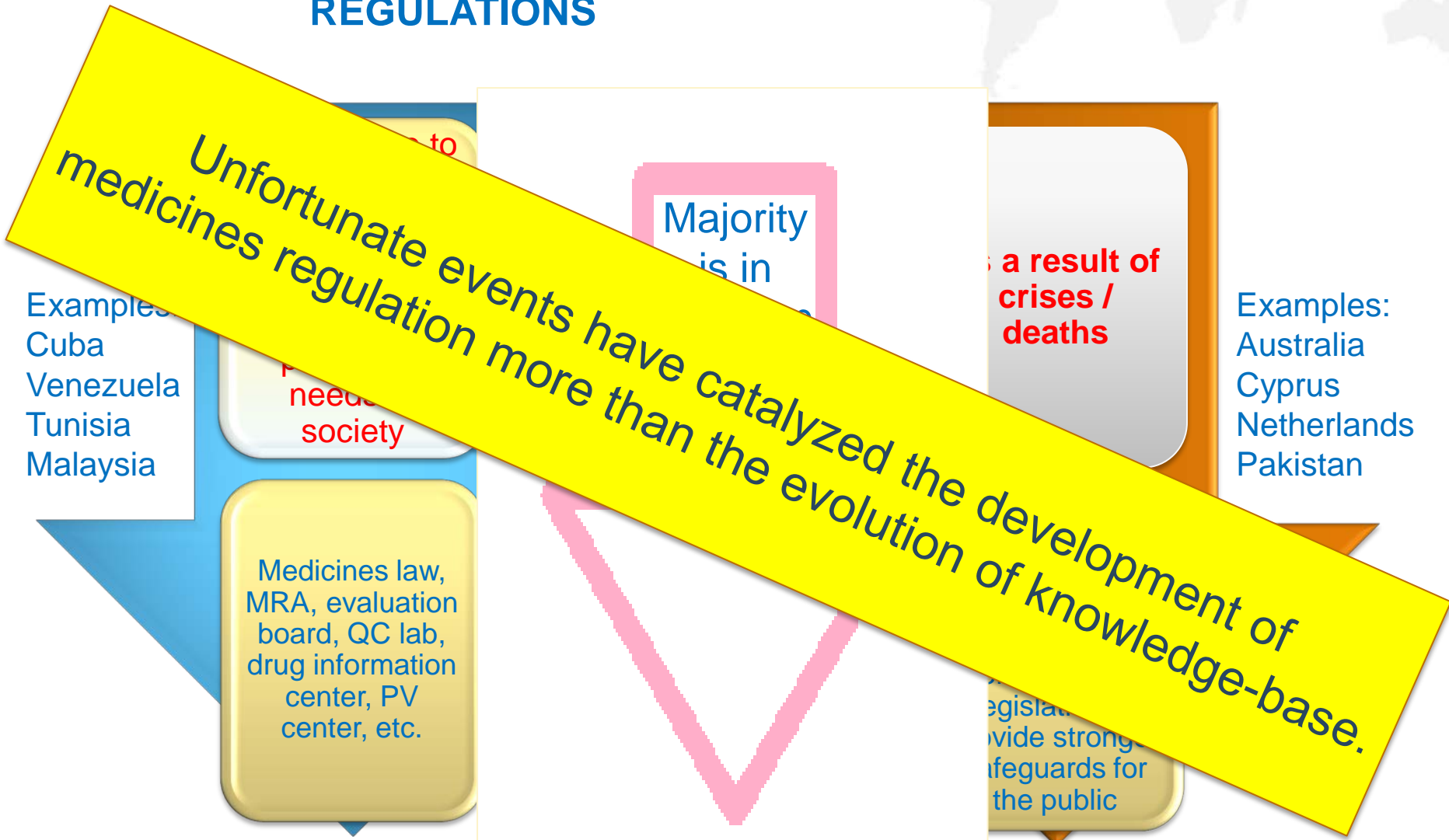
Public demand led to enactment of effective medicines law or adoption of more restrictive legislation to provide stronger safeguards for the public

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Consequently, **ML&Rs need to be updated** to keep pace with changes and new challenges in their environment

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# THE EVOLUTION OF REGULATION: HISTORY OF THE U.S. FDA


**1862** The newly formed Department of Agriculture analyzes food and other agricultural products under the Bureau of Chemistry, the early predecessor of today's FDA.

**1883** Harvey Washington Wiley becomes Chief Chemist of the Bureau of Chemistry and expands the Bureau's food adulteration studies. He spends the next two decades as the "Crusading Chemist," reporting on food adulteration while campaigning for a federal law.



**1906** Upton Sinclair publishes *The Jungle*, illustrating the horrors of the meat industry. President Theodore Roosevelt signs the Pure Food and Drug Act and Meat Inspection Act into law. The laws ban food adulteration, deceptive labels, and the interstate sale of illegal food and drugs.

**1927** The Food, Drug and Insecticide Administration is formed from the Bureau of Chemistry to house the food and drug regulatory and enforcement functions.

**1931**  The Food, Drug and Insecticide Administration is renamed the Food and Drug Administration.

1931

**1937**  
**1938** Elixir Sulfanilamide, a solution of the drug sulfanilamide (used to treat streptococcal infections) dissolved in poisonous diethylene glycol and sweetened with raspberry flavor, kills 107 people. There was no law requiring that new drugs be tested for safety.




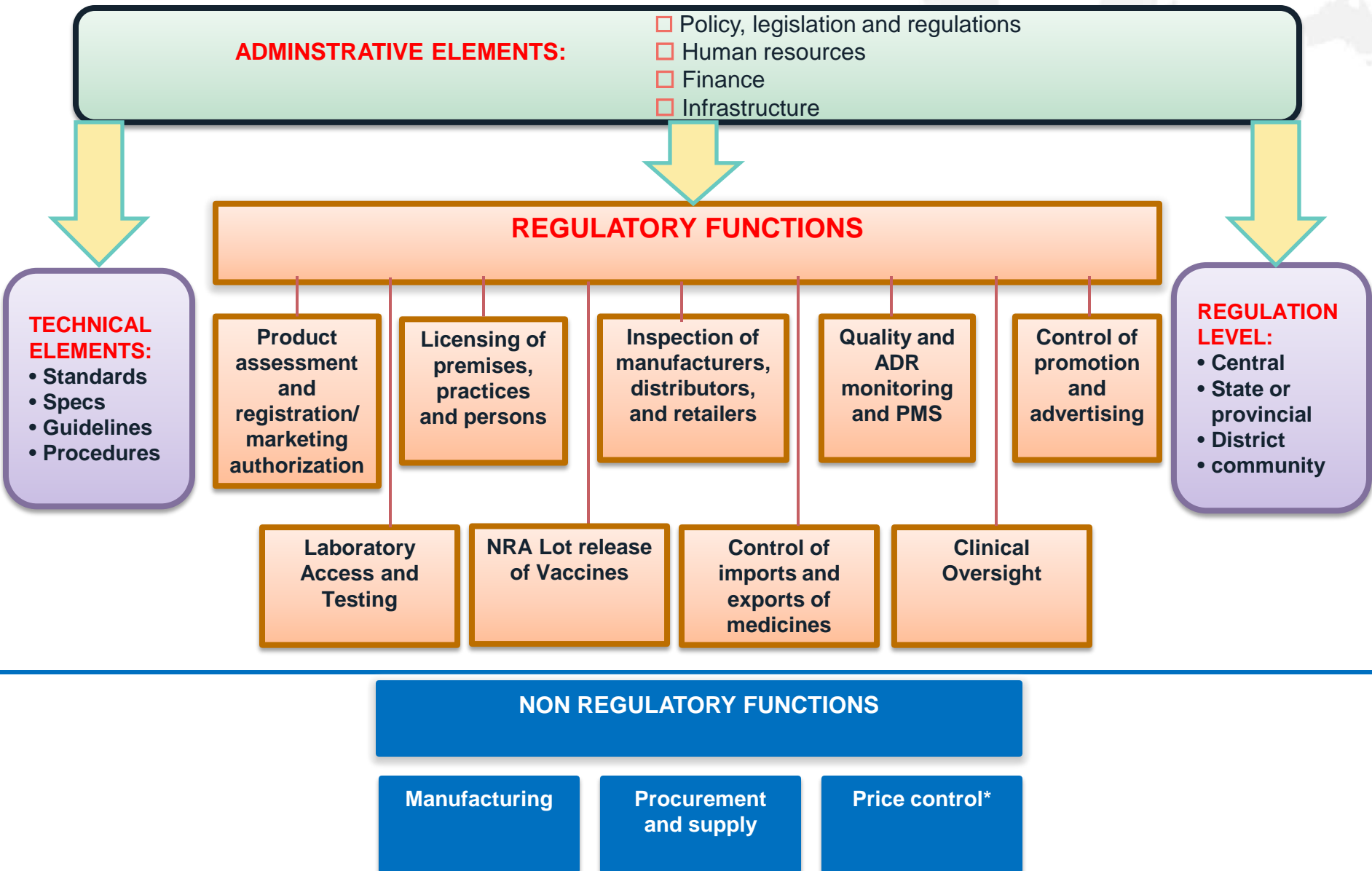
**1958** In the wake of public outcry around the Elixir Sulfanilamide incident, the Federal Food, Drug, and Cosmetic Act becomes law. It requires manufacturers to prove safety before selling new drugs and brings medical devices and cosmetics under federal control.

**1962** The Food Additives Amendment requires manufacturers of new food additives to prove safety.

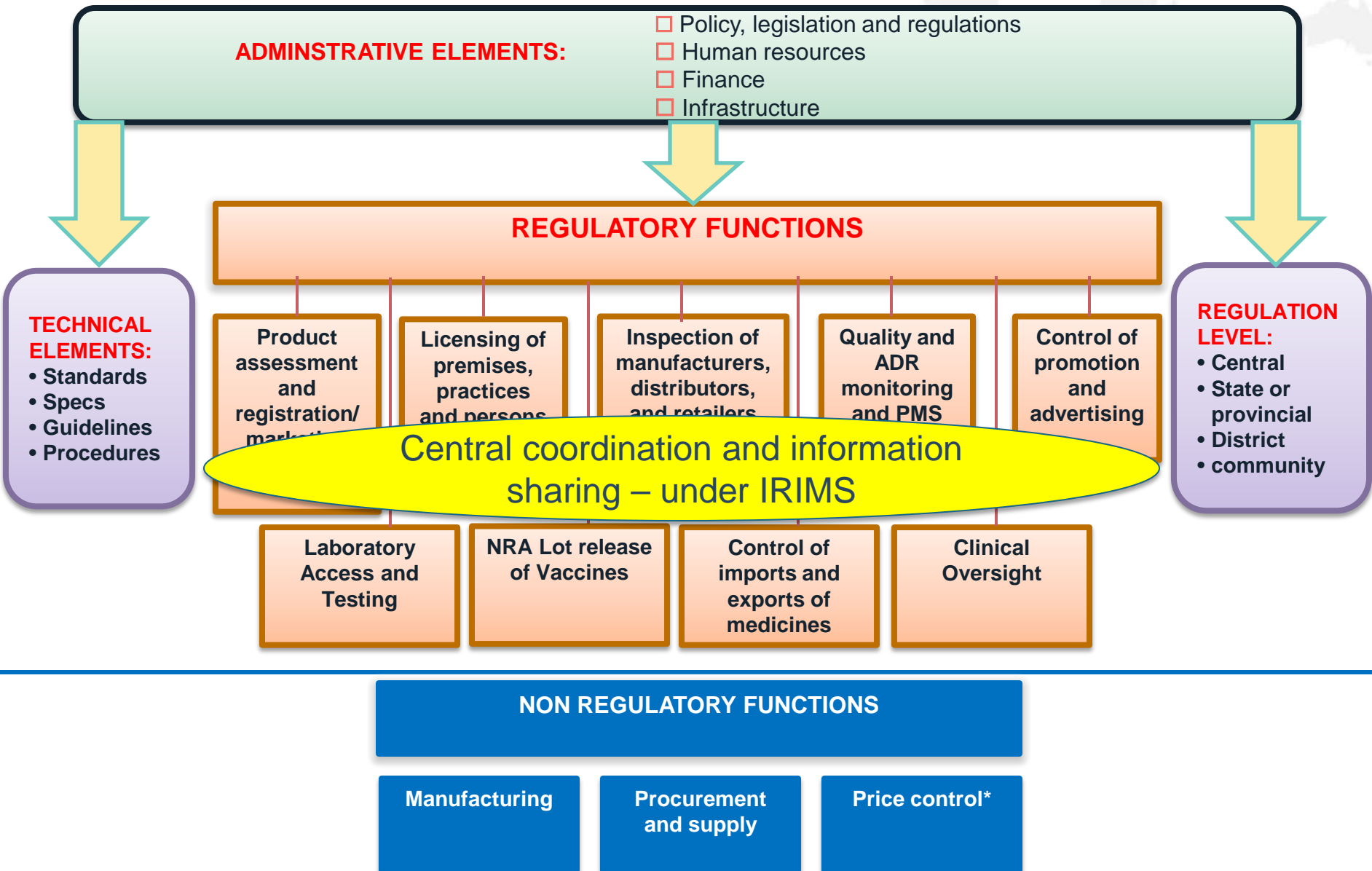
**1976** A antiemetic that the FDA blocked from entering the United States is identified as the cause of thousands of birth defects in Europe. Public support for tougher drug regulation increases.

The Medical Device Amendments classify medical devices in three categories based on risk levels and regulate them accordingly. The amendments are passed after thousands of women are injured by the Dalkon Shield Intrauterine device.

**2011**  The Food Safety Modernization Act expands the FDA's powers with the goal of building a food safety system based on prevention.







# ML and Rs need to be evolved and updated to keep pace with changes and new challenges in their environment

In response to complexity and sophistication of pharmaceutical sector and perceived needs of society

Medicines law, MRA, evaluation board, QC lab, drug information center, PV center, etc.

While MLs provide the basis for MRs, **regulatory tools** such as norms, standards and guidelines equip MRAs with the practical means of implementing those laws.

The absence of regulatory tools may lead to **variations** in the implementation of the law, or even lead to questions about the **transparency** of law enforcement and practices of the MRAs

a result of crises / deaths

Public demand for enactment of effective medicine law or adoption of more restrictive legislation to provide stronger safeguards for the public

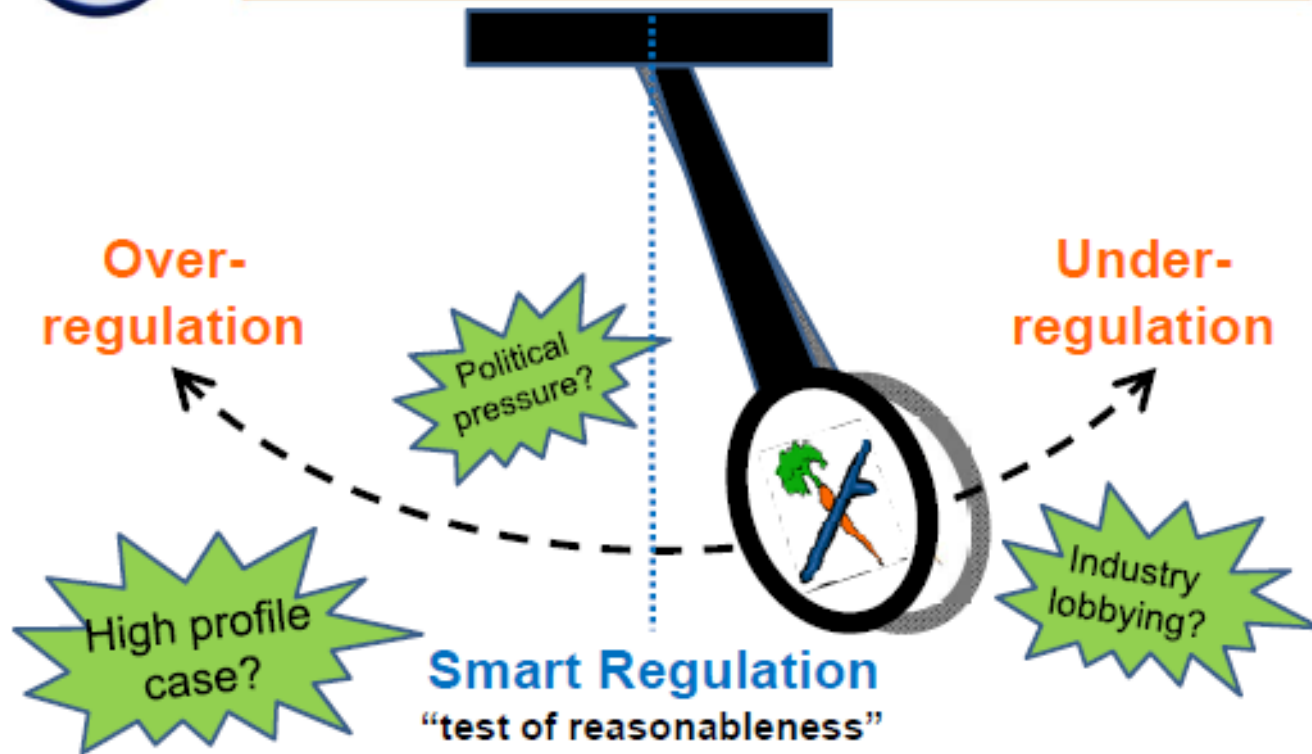


## Evolving regulatory approaches





# Regulatory Pendulum



Duke-NUS Centre of Regulatory Excellence

Source: John Lim, 2016. Overview of Centre of Regulatory Excellence. Presentation presented at United States Pharmacopeial Convention.



## INCREASE RELEVANCE IN REGULATION

1. Continuing systematic review of existing rules and regulations to ensure relevance and effectiveness
  - ❖ Quality management systems
  - ❖ Regulatory impact analyses



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2. Going beyond regulating for minimum standards and safety towards regulating for quality

- ❖ Develop and apply frameworks for rational decision-making



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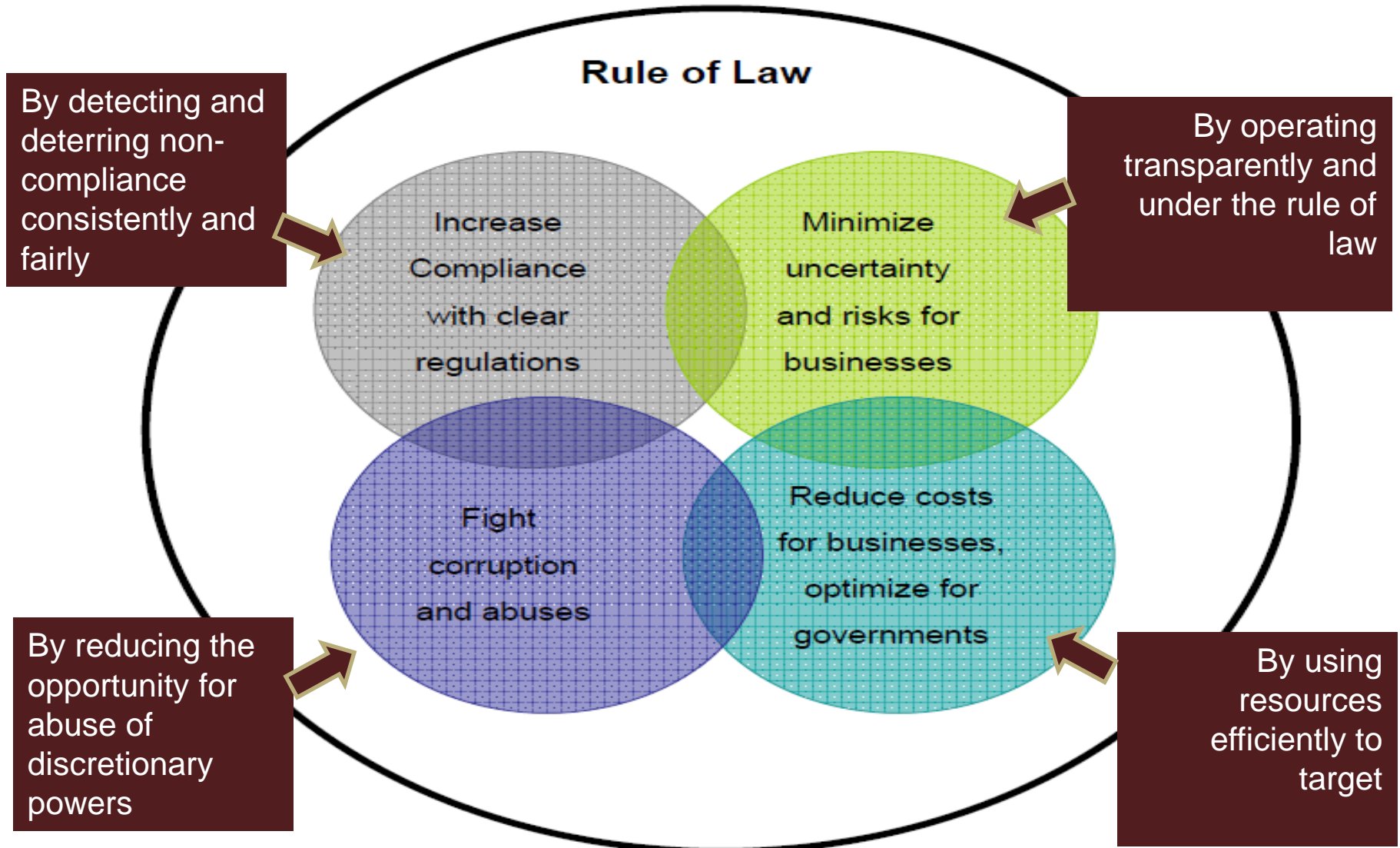
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**1. Ensuring well-informed stakeholder population**

- ❖ Effective communication and engagement of stakeholders





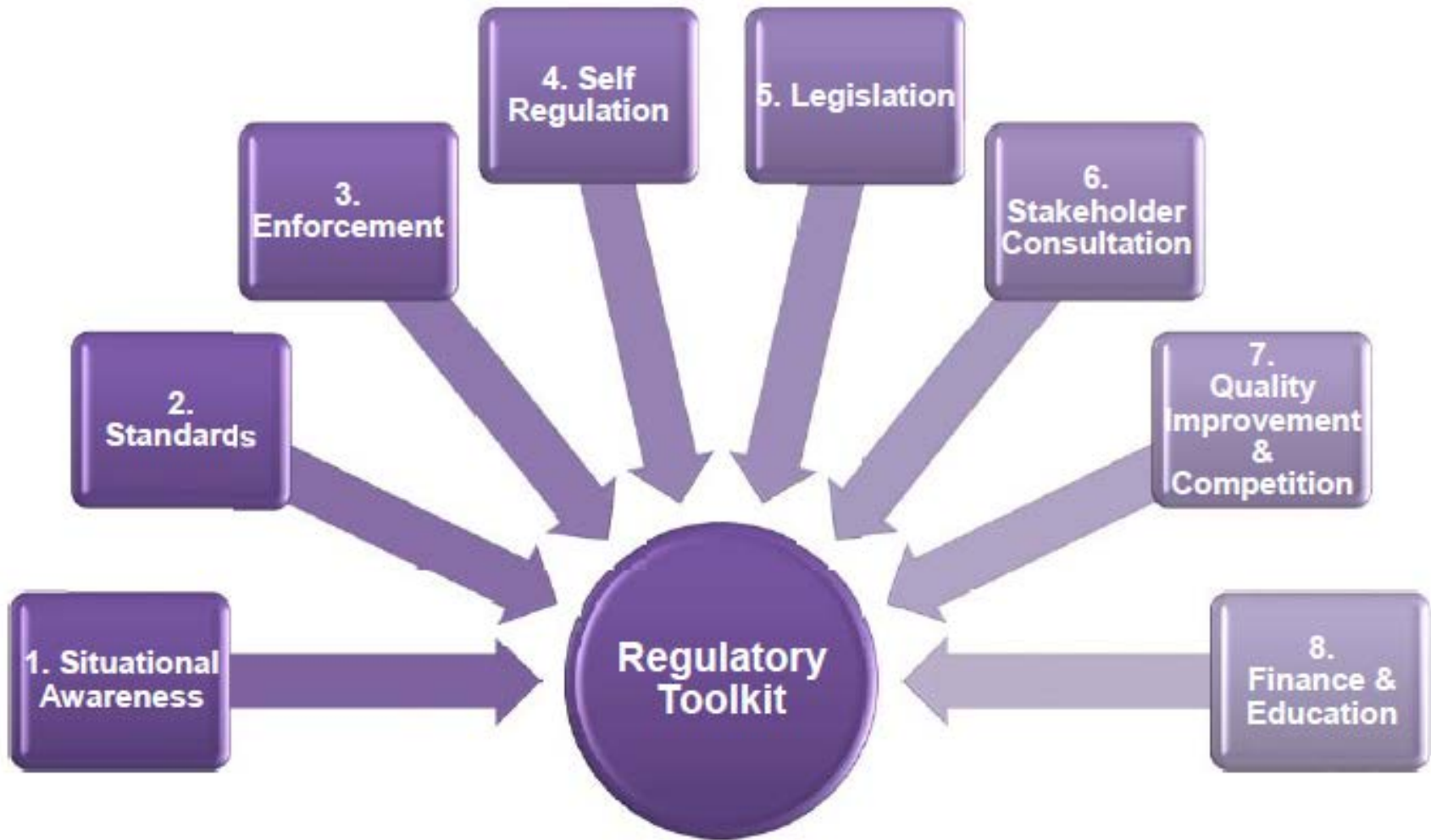
## Areas where regulators do not have:

- Expertise and skills
- Adequate data
- Intention to regulate directly due to, in part, above
- Clear regulatory mandate
- Adequate law and regulations to back them up

## Regulators would then face challenges in defining regulatory space and balance:

- What are **regulators' obligations** and at what level to regulate?
- What are **stakeholders' expectations**?
- **How to communicate to align obligation with expectations?**

At any rate, public may still hold regulator responsible and accountable for if severe adverse reactions or events occur.



## Protect public health and safety

- Allow timely access to QSE as well as innovative therapies and products

Public Health

Enable & Facilitate

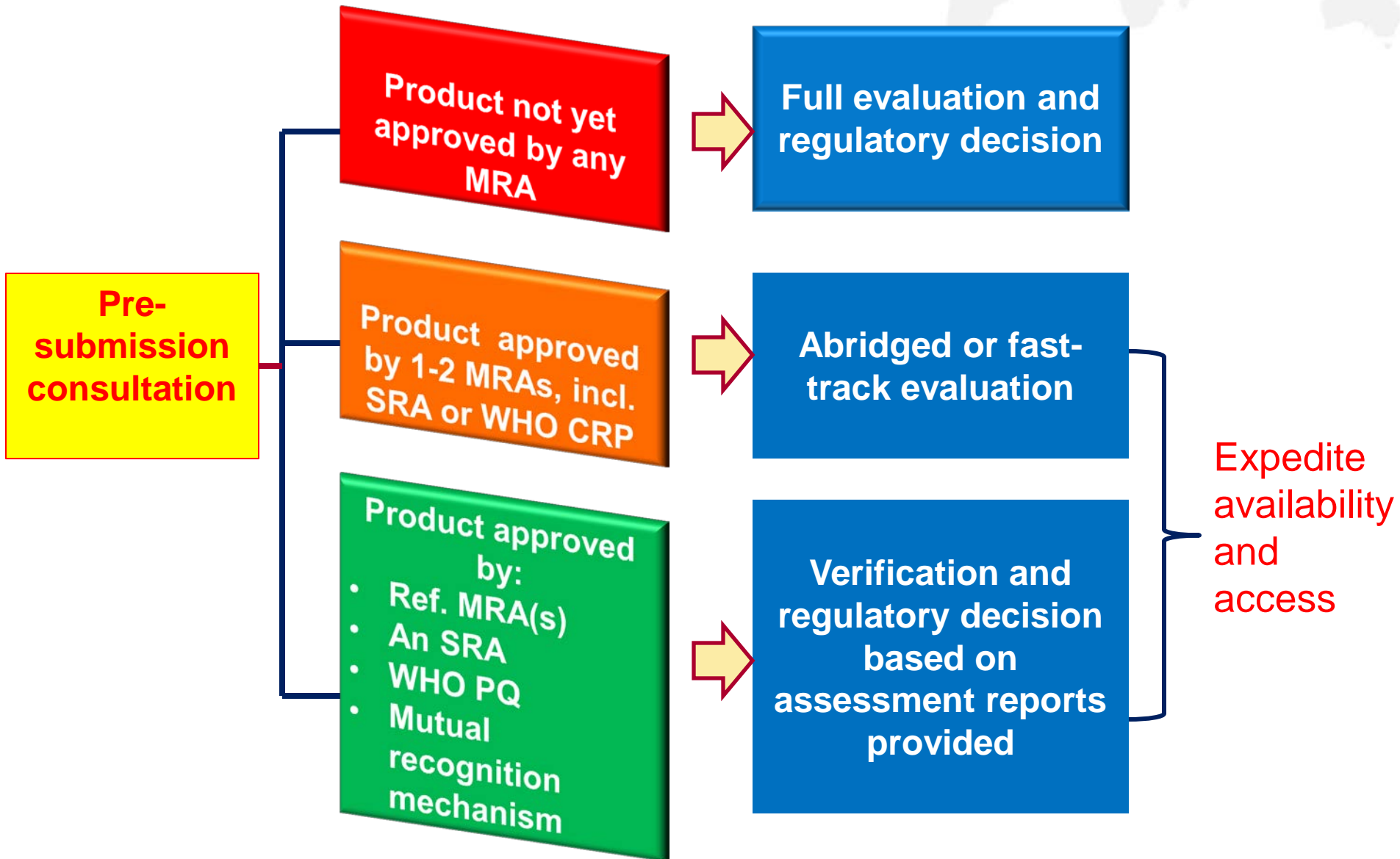
Smart  
Regulator

Relevant, Responsive and ready

Needs of Public,  
Health  
Professionals and  
Industry

- Be sensitive to concerns
- Apply user-friendly policies

# ADOPTING RISK-BASED REGULATION



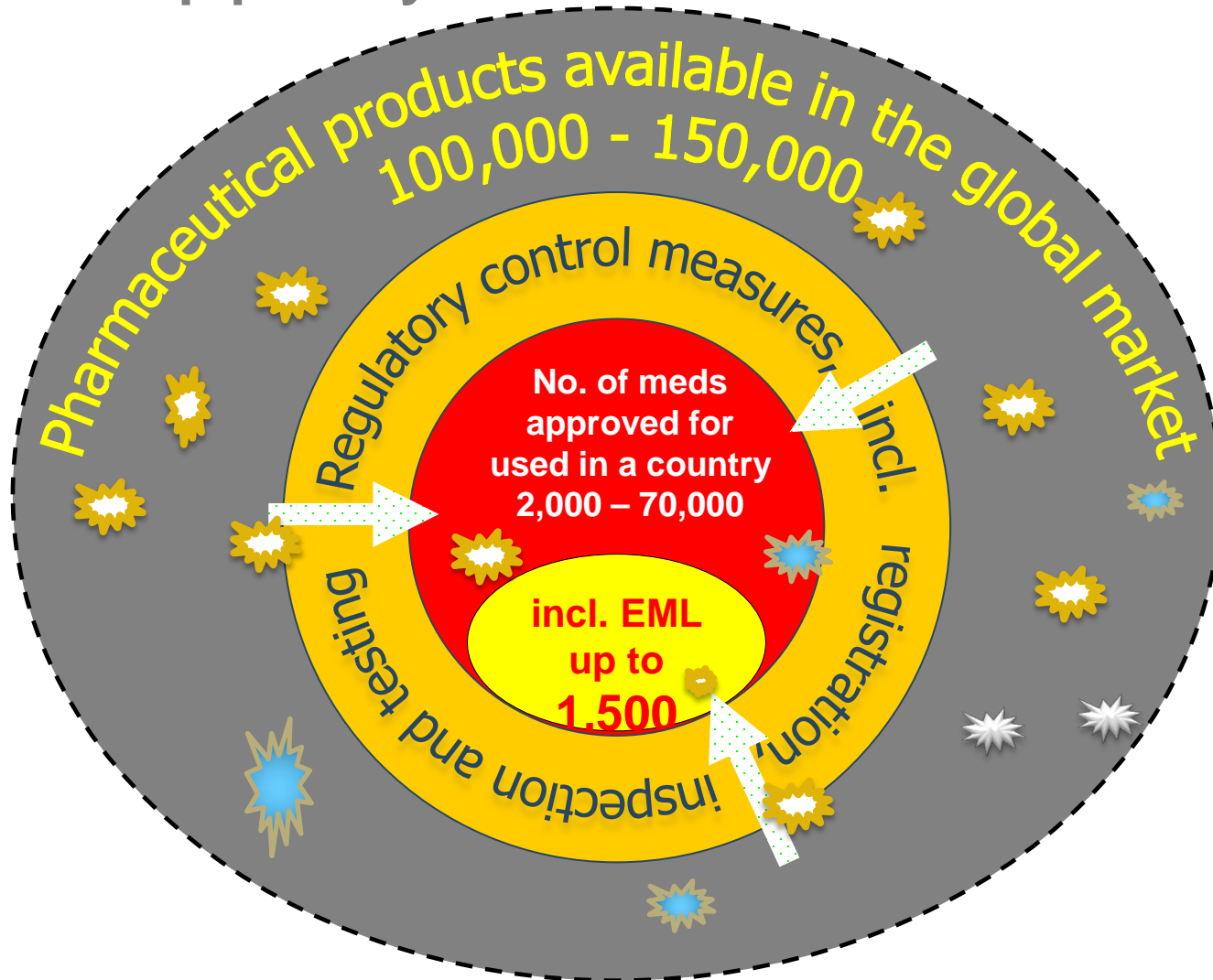


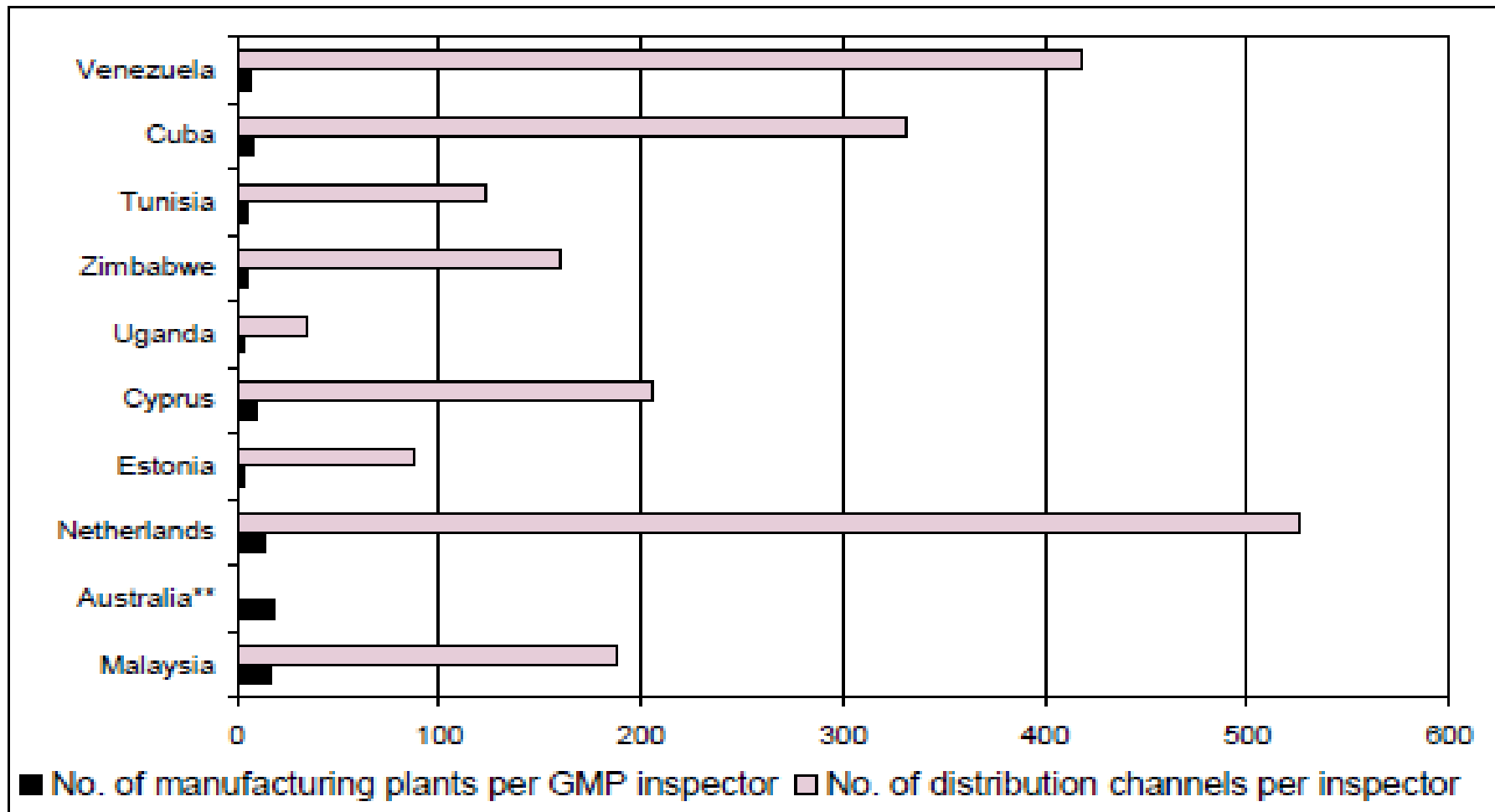
## TREND TOWARDS HARMONIZATION

Regional and international collaboration in drug regulation has led to the creation of regional and international instruments to facilitate control and harmonization of technical and regulatory requirements. Examples are:

1. European Medicines Evaluation Agency (**EMEA**)
2. The International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use (**ICH**) to achieve greater harmonization to ensure that safe, effective, and high quality medicines
3. The Association of South-East Asian Nations (**ASEAN**) on application for registration (**ACTD**), GMP inspection, and BA/BE protocol
4. **PIC/S** on GMP inspectorate standards
5. International Conference of Drug Regulatory Authorities (**ICDRA**)
6. New Partnership for Africa's Development (NEPAD) thru East African Community Medicines Regulatory Harmonization (**EAC/MRH**) program, African Drug Regulatory Authority Network (**AFDRAN**)

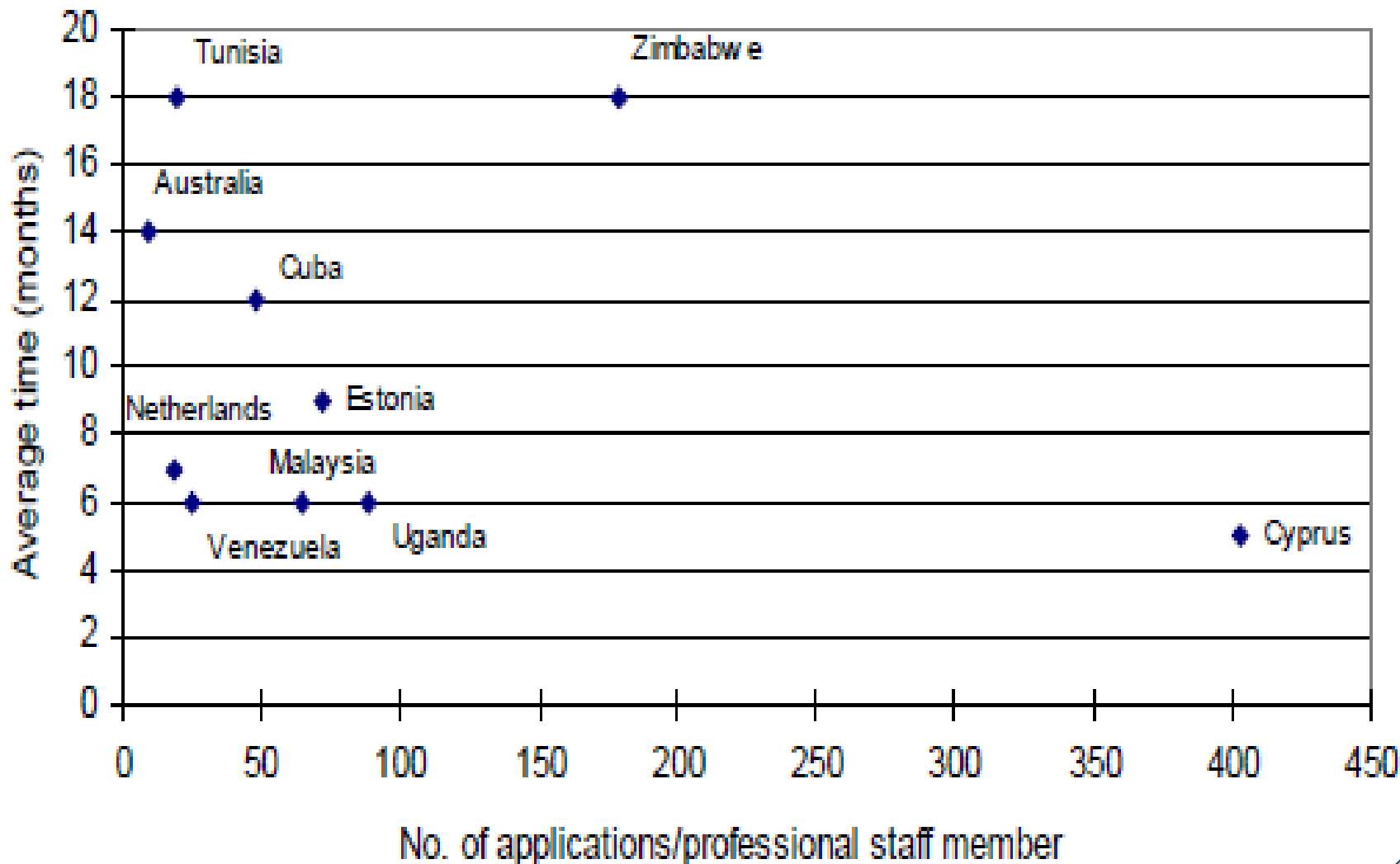
# Challenges in regulatory measures for quality assured medicines: registration, licensing & inspection, and testing – what should be the top priority?





\* *Distribution channels — includes all types of drug outlet.*

\*\* *For Australia, no data are available about the number of distribution-channel inspectors.*







## What factors contributing to effective regulation within an MRA?

### *Exercise – 5 minutes*

Based on your experience, please name 4-5 factors that contribute to the effectiveness of medicines regulation (in your country):

1. ...
2. ...
3. ...
4. ...
5. ...



## WHAT FACTORS CONTRIBUTING TO EFFECTIVE MEDICINES REGULATION IN GENERAL CONTEXT?

1. **Political will** and commitment to regulation
2. **Adequate supply** of medicines at affordable prices
3. **Strong public support** for drug regulation and regulatory system
4. **Effective cooperation** between the national medicines regulatory authority (MRA) and other government law enforcement agencies (e.g. customs and police)
5. **Sufficient qualified** and experienced pharmaceutical and other health professionals
6. Political environment favoring **independent technical decision-making**
7. **Effective legislation** to support and empower MRA to carry out its key functions and responsibilities

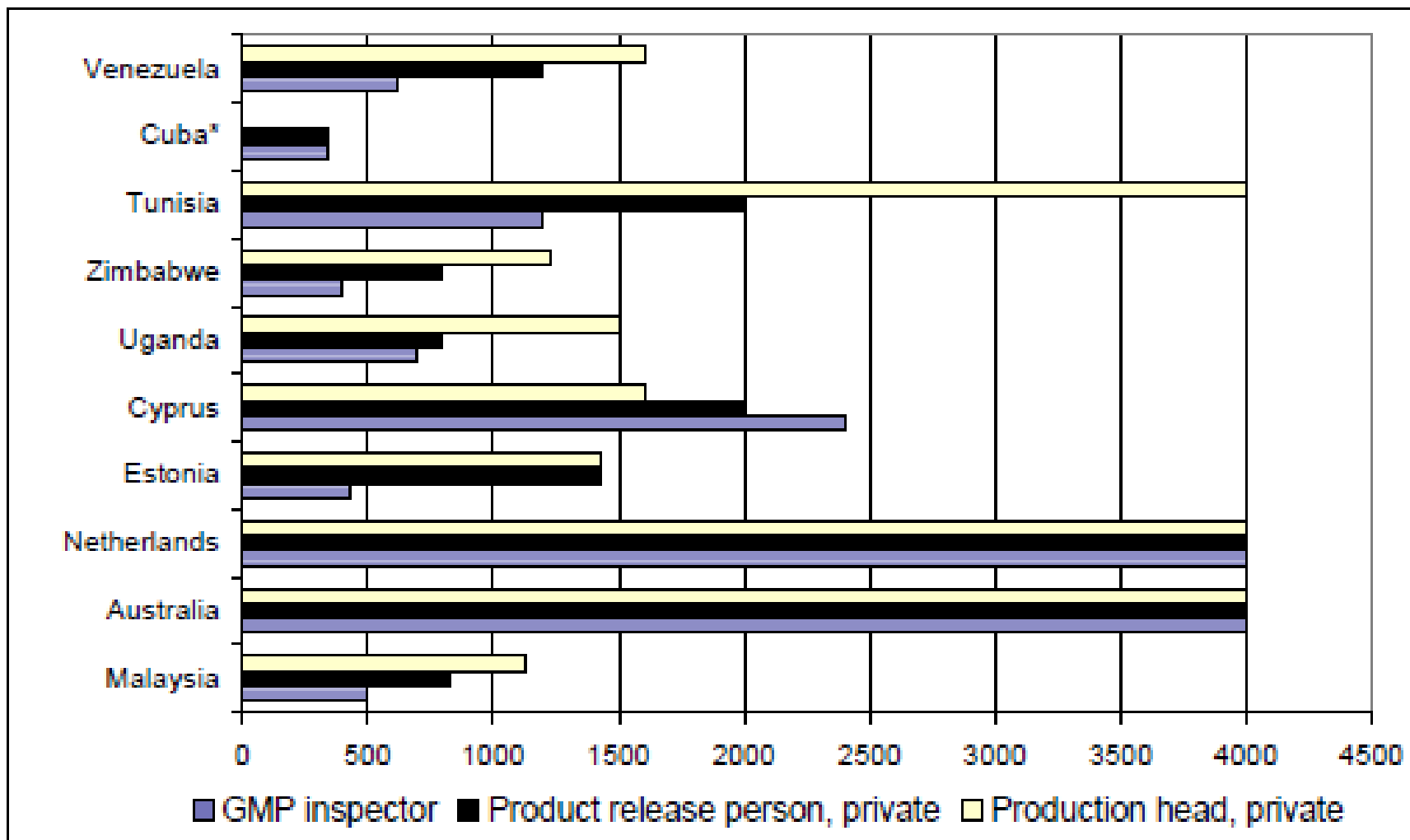


## WHAT FACTORS CONTRIBUTING TO EFFECTIVE REGULATION WITHIN AN MRA?

1. Clear mission
2. Adequate medicines legislation and regulation
3. Appropriate organizational structure and facilities
4. Clearly defined roles and responsibilities
5. Adequate and sustainable financial resources, including resources to retain and develop staff
6. Appropriate tools, such as standards, guidelines and procedures
7. Strong cooperation and collaboration between MRAs and other stakeholders
8. Accountability and transparency
9. Good management system



# Would financial imbalance between regulator and his/her private counterpart affect the effectiveness of medicines regulation?



**If a medicines regulation is to bring about the ultimate outcome of protecting public health and safety with quality assured, safe, and efficacious medications, certain structural , process arrangements must be securely in place.**





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# Thank You

Inquiries? Please contact:  
Souly Phanouvong at [sxp@usp.org](mailto:sxp@usp.org)