

Form Name:
Submission Time:

Citizens Commission
February 28, 2019 9:19 am

Name Jennifer Taub

Address [REDACTED]
[REDACTED]

Phone [REDACTED]

Email [REDACTED]

Citizenship Affirmation I am a U.S. Citizen

Residency Affirmation I am a resident of the Commonwealth of Massachusetts

Statement of Intent I intend to comply with and advance the policy established by this Act.

Statement of Interest As a Massachusetts resident, a law professor, and board member of the non-profit Free Speech for People, I am greatly interested in serving on the Citizens Commission. My research, writing, and policy work centers on "follow the money matters." I have written two academic articles related to the impact of the Supreme Court's decision in Citizens United on corporate political spending.

Further, I have testified before the Massachusetts legislature previously concerning tax disclosure by presidential candidates. Finally, I like to collaborate with others to come up with sensible policy proposals and help craft the language to clearly communicate to policy makers and the public.

It would be a great honor to serve the Commonwealth in this capacity. I would look forward to this opportunity to contribute to this important research and discussion.

Thank you,

Jennifer Taub

Résumé or Summary of Qualifications Upload https://s3.amazonaws.com/files.formstack.com/uploads/3282862/71887710/481849938/71887710_taub_cv_september_2018.pdf

Political Party Affiliation, if any, over the previous five years Democratic

City or Town where you reside NORTHAMPTON

Employment Status Employed

Occupation

Law Professor

Employer

Vermont Law School

JENNIFER TAUB



EDUCATION

Harvard Law School, Cambridge, MA

J.D. 1993, *cum laude*

Recent Developments Editor of the *Harvard Women's Law Journal*

Yale University, New Haven, CT

B.A. 1989, *cum laude*, with distinction in the English major

Features Editor of the *Yale Daily News*

ACADEMIC APPOINTMENTS

Vermont Law School, South Royalton, VT

Professor of Law (May 2014 - Present)

Associate Professor of Law (July 2011 - May 2014)

Courses: Contracts, Corporations, Securities Regulation, and White Collar Crime

University of Connecticut School of Law, West Hartford, CT

Visiting Professor of Law (January - May 2019)

Courses: Securities Regulation and White Collar Crime

Yale School of Management, New Haven, CT

Visiting Fellow (January - May 2016)

Yale Program on Financial Stability

University of Illinois College of Law, Champaign, IL

Visiting Professor of Law (March 9 - 13, 2015)

Course: Measuring Progress of Financial Reform on the 7th Anniversary of the Bear Stearns Rescue

University of Massachusetts, Isenberg School of Management, Amherst, MA (2004 - 2011)

Senior Lecturer and Coordinator of the Business Law Program

Courses: Introduction to Law, Business and its Environment, Contracts in Business Relationships, and Love, Law, and Money in Jane Austen's PRIDE AND PREJUDICE

PROFESSIONAL EXPERIENCE

Fidelity Investments, Boston, MA, 1999 - 2004

Advanced to Associate General Counsel. Advised on compliance with the federal securities laws and federal banking regulations and served as an officer of the Fidelity fixed income funds.

Cendant Corporation, Stamford, CT, 1995 – 1999

Vice President & Legal Counsel. Reviewed advertising for federal and state regulatory compliance. Managed intellectual property and domain names.

Weil, Gotshal & Manges, New York, NY, summer 1992 and 1993 – 1995

Associate in the Trade Practices & Regulatory Law Department. Advised clients in the areas of antitrust, advertising, and intellectual property. Assisted drafting U.S. Supreme Court brief in *Lebron v. Amtrak*.

PUBLICATIONS

Books:

CORPORATE AND WHITE COLLAR CRIME: CASES AND MATERIALS, 6TH ED., with the late Kathleen Brickey (Wolters Kluwer, 2017).

OTHER PEOPLE'S HOUSES: HOW DECADE OF BAILOUTS, CAPTIVE REGULATORS, AND TOXIC BANKERS MADE HOME MORTGAGES A THRILLING BUSINESS (Yale University Press, 2014).

Articles and Book Chapters:

Saving the Canaries: Protecting Consumer Borrowers to Prevent Systemic Risk, in progress.

A Thing of Value: Can Opposition Research from Foreign Nationals violate the Federal Election Campaign Act?, in progress.

New Hopes and Hazards for Social Investment Crowdfunding, chapter in LAW AND POLICY FOR A NEW ECONOMY: SUSTAINABLE, JUST, AND DEMOCRATIC (2017).

Law and Economics: Contemporary Approaches, with Martha McCluskey and Frank Pasquale, 35 YALE L. & POL'Y REV. 297 (2016).

Film review of *The Big Short* and *99 Homes*, NEW LABOR FORUM (2016).

The Subprime Specter Returns: High Finance and the Growth of High-Risk Consumer Debt, NEW LABOR FORUM (2016).

Is Hobby Lobby a Tool to Limit Corporate Constitutional Rights? 30 CONST. COMMENT. 403 (2015).

Regulating in the Light: Harnessing Political Entrepreneurs' Energy for Post-Crisis Sunlight Hearings, ST. THOMAS L. REV. (2015)

Reconcilable Differences: Promoting Homeownership While Preventing Systemic Risk, ANNUAL REVIEW OF INSOLVENCY LAW 625 (2014).

Reforming the Banks for Good, DISSENT (2014).

Unpopular Contracts and Why They Matter: Burying Langdell and Enlivening Students, 88 WASH. L. REV. 1427 (2013).

Delays, Dilutions, and Delusions: Implementing the Dodd-Frank Act, in RESTORING SHARED PROSPERITY: A POLICY AGENDA FROM LEADING KEYNESIAN ECONOMISTS (AFL-CIO, the Macroeconomic Policy Institute, and Friedrich-Ebert-Stiftung, 2013).

There is No There There: Sophisticated Investors as the Guardians of Financial Stability in UNFINISHED MISSION: MAKING WALL STREET WORK FOR US (Americans for Financial Reform and Roosevelt Institute, 2013).

Shadow Banking System; Financial Deregulation; and Financial and Banking Promotion and Regulation in the OXFORD ENCYCLOPEDIA OF AMERICAN BUSINESS, LABOR AND ECONOMIC HISTORY (Oxford University Press, 2013).

What We Don't Talk About When We Talk About Banking, in the HANDBOOK OF THE POLITICAL ECONOMY OF FINANCIAL CRISES (Oxford University Press, 2013).

Money Managers in the Middle: Seeing and Sanctioning Political Spending after Citizens United, 15 N.Y.U. J. LEGIS. & PUB. POL'Y 443 (2012).

American International Group case study in CORPORATE GOVERNANCE (Wiley, 2011), available online only at <http://higheredbcs.wiley.com/legacy/college/monks/0470972599/supp/casestudies.pdf>

The Sophisticated Investor and the Global Financial Crisis in CORPORATE GOVERNANCE FAILURES: THE ROLE OF INSTITUTIONAL INVESTORS IN THE GLOBAL FINANCIAL CRISIS (University of Pennsylvania Press, 2011).

Great Expectations for the Office of Financial Research in WILL IT WORK? HOW WILL WE KNOW? THE FUTURE OF FINANCIAL REFORM (Roosevelt Institute, 2010).

Co-author with Ben Branch, *Bankruptcy Ethics* in FINANCE ETHICS: CRITICAL ISSUES IN THEORY AND PRACTICE (Wiley, 2010).

Able but Not Willing: The Failure of Mutual Fund Advisers to Advocate for Shareholders' Rights, 34 J. CORP. L. 843 (2009).

Enablers of Exuberance, September 2009, draft paper concerning the relationship between legal acts and omissions and the global financial meltdown (early precursor to book OTHER PEOPLE'S HOUSES).

OTHER WRITING: BLOGGING, COMMENT LETTERS, OPINIONS, TESTIMONY

Why Trump Likely Won't Collect the \$20 Million He Claims Stormy Daniels Owes Him, SLATE, March 20, 2018, <https://slate.com/news-and-politics/2018/03/why-trump-likely-wont-collect-the-usd20-million-he-claims-stormy-daniels-owes-him.html>

Mitch McConnell's Big Gift to the Banks, CNN OP-ED, March 5, 2018, <https://www.cnn.com/2018/03/05/opinions/mitch-mcconnell-bank-lobbyist-act-opinion-taub/index.html>

Written testimony for hearing before Massachusetts Joint Committee on Election Laws concerning S. 365, "An Act Restoring Financial Transparency in Presidential Elections," Boston, MA, September 6, 2017.

Model syllabus for course on Financial Stability, presented at the Office of Financial Research conference, that I co-organized, held at the U.S. Treasury Department in September 2016.

Written Testimony to U.S. Senate Committee on Banking, Housing, and Urban Affairs for the

hearing on "Bank Capital and Liquidity Regulation Part II: Industry Perspectives," June 23, 2016.

Written Testimony to U.S. House of Representatives Committee on Financial Services, Subcommittee on Capital Markets and Government Sponsored Enterprises, on "Legislative Proposals to Enhance Capital Formation, Transparency, and Regulatory Accountability," May 17, 2016.

Written Testimony to the U.S. House of Representatives Committee on Financial Services for inclusion in the record of the hearing entitled, "Legislative Proposals to Improve the U.S. Capital Markets," December 2, 2015 by the Subcommittee on Capital Markets and Government Sponsored Enterprises.

Still Too Big to Fail: Opportunities for Regulatory Action Seven Years After the Bear Stearns' Rescue, Corporate Reform Coalition, May 2015, <http://www.citizen.org/documents/still-too-big-to-fail-crc-report.pdf>

Why a Supreme Court Housing Case Could Have Broad Impact, N.Y. TIMES DEALBOOK Blog, Jan. 23, 2015, <http://dealbook.nytimes.com/2015/01/23/why-a-supreme-court-housing-case-could-have-a-broad-impact/>

New Policy Goes Only Partway in Helping Struggling Homeowners, N.Y. TIMES DEALBOOK Blog, Dec. 12, 2014, http://dealbook.nytimes.com/2014/12/12/new-policy-goes-only-partway-in-helping-struggling-homeowners/?_r=0

Post-Lehman, Money Market Fund Protections Still Weak, N.Y. TIMES DEALBOOK Blog, Sept. 17, 2014, http://dealbook.nytimes.com/2014/09/17/post-lehman-money-market-fund-protections-still-weak/?_php=true&_type=blogs&_r=0

Taking Stock of Four Years of Dodd-Frank, N.Y. TIMES DEALBOOK Blog, July 25, 2014, <http://dealbook.nytimes.com/2014/07/25/taking-stock-of-four-years-of-dodd-frank/>

A Second Chance to Help Families Save Their Homes, N.Y. TIMES DEALBOOK Blog, June 13, 2014, <http://dealbook.nytimes.com/2014/06/13/a-second-chance-to-help-families-save-their-homes/>

What Tim Geithner Got Right, N.Y. TIMES DEALBOOK Blog, May 6, 2014, http://dealbook.nytimes.com/2014/05/06/what-tim-geithner-got-right/?_php=true&_type=blogs&smid=tw-dealbook&seid=auto&_r=0

Now What? Applying the Economic Dynamic Approach to Financial Reform, CONCURRING OPINIONS BLOG, Apr. 5, 2014, <http://www.concurringopinions.com/archives/2014/04/now-what-applying-the-economic-dynamic-approach-to-financial-reform.html>

Time to Reduce Repo Run Risk, N.Y. TIMES DEALBOOK Blog, Apr. 4, 2014, <http://dealbook.nytimes.com/2014/04/04/time-to-reduce-repo-run-risk/>

Does Your Mutual Fund Have a Religion? THE CONGLOMERATE BLOG, Mar. 25, 2014, <http://www.theconglomerate.org/2014/03/does-my-mutual-fund-have-a-religion.html>

Volcker Rule: A Tall Order and a Small Victory, THE PARETO COMMONS BLOG, Dec. 10, 2013, <http://www.theparetocommons.com/2013/12/volcker-rule-a-tall-order-and-a-small-victory/>

Organized and drafted a letter to President Obama regarding the Fed Chair nomination. Letter was signed by more than thirty economics and law professors with expertise corporate law or financial market regulation, Aug. 15, 2013.

Are We There Yet? Mapping Progress on the Third Anniversary of Dodd-Frank, THE PARETO COMMONS BLOG, July 22, 2013, <http://www.theparetocommons.com/2013/07/are-we-there-yet-mapping-progress-on-the-third-anniversary-of-dodd-frank/>

Thirteen Ways of Looking at a Whale, THE PARETO COMMONS BLOG, Mar. 16, 2013, available at <http://www.theparetocommons.com/2013/03/thirteen-ways-of-looking-at-a-whale/>

Holding Out for Holder to Prosecute Libor Liars, THE PARETO COMMONS BLOG, July 16, 2012, <http://www.theparetocommons.com/2012/07/holding-out-for-holder-to-prosecute-libor-liars/>

We heard it from three people, so it must be true, THE PARETO COMMONS BLOG, Dec. 20, 2011, <http://www.theparetocommons.com/2011/12/we-heard-it-from-three-people-so-it-must-be-true/>

Everybody Wants to Rule the World, THE PARETO COMMONS BLOG, Sept. 26, 2011, <http://www.theparetocommons.com/2011/09/everybody-wants-to-rule-the-world/>

Happy 1st Birthday, Dodd-Frank part 1 and part 2, THE PARETO COMMONS BLOG, July 20 and 22, 2011, <http://www.theparetocommons.com/2011/07/happy-1st-birthday-dodd-frank-part-i/> and <http://www.theparetocommons.com/2011/07/happy-1st-birthday-dodd-frank-part-ii/>

So Long, Sheila Bair, THE PARETO COMMONS BLOG, July 8, 2011, <http://www.theparetocommons.com/2011/07/so-long-sheila-bair/>

Facing the Unintended Consequences of Janus v. First Derivative Traders, THE RACE TO THE BOTTOM BLOG, June 13, 2011, <http://www.theracetothetbottom.org/home/facing-the-unintended-consequences-of-janus-v-first-derivati.html>

Bipartisan Senate Panel Slams Banks and Bureaucrats: "Please Sir, I want some more," THE PARETO COMMONS BLOG, Apr. 15, 2011, available at <http://www.theparetocommons.com/2011/04/bipartisan-senate-panel-report-slams-banks-and-bureaucrats-please-sir-i-want-some-more/>

If I Had a Hammer, THE PARETO COMMONS BLOG, Mar. 12, 2011, <http://www.theparetocommons.com/2011/03/if-i-had-a-hammer/>

Mythbusters: Telling the Truth About the Financial Crisis (three-part series), THE PARETO COMMONS BLOG, Jan. 31, Feb. 6 and 10, 2011, <http://www.theparetocommons.com/2011/01/mythbusters-telling-the-truth-about-the-financial-crisis-part-i/>

Let's Put the "Capital" Back into Capitalism, THE PARETO COMMONS BLOG, Jan. 24, 2011, <http://www.theparetocommons.com/2011/01/lets-put-the-capital-back-into-capitalism/>

Will Bad Funds Make Good Law?: Janus v. First Derivative Traders, Eight-part series, THE RACE TO THE BOTTOM BLOG, Jan. 11, 2011, <http://www.theracetothetbottom.org/home/will-bad-funds-make-good-law-janus-v-first-derivative-trader.html>

Making Mischief after the Midterms? THE PARETO COMMONS BLOG, Nov. 6, 2010, <http://www.theparetocommons.com/2010/11/making-mischief-after-the-midterms/>

Access to the Mutual Fund Proxy, HARV. L. SCH. FORUM ON CORP. GOV. & FIN. REG. Blog, Sept. 22, 2010, <http://blogs.law.harvard.edu/corpgov/2010/09/22/access-to-the-mutual-fund-proxy/>

It's Our Money and We'll Pry if We Want To, THE PARETO COMMONS BLOG, Sept. 4, 2010, <http://www.theparetocommons.com/2010/09/its-our-money-and-well-pry-if-we-want-to/>

Fees Will Go On and On, THE PARETO COMMONS BLOG, Aug. 25, 2010, <http://www.theparetocommons.com/2010/08/fees-will-go-on-and-on/>

Mutual Fund Distribution Fee Reform, four-part series, THE RACE TO THE BOTTOM BLOG, Aug. 18 – 19, 2010, <http://www.theracetothetbottom.org/home/mutual-fund-distribution-fee-reform-part-i.html>

Haunted Houses, the Sequel, THE PARETO COMMONS BLOG, Aug. 13, 2010, <http://www.theparetocommons.com/2010/08/haunted-houses-the-sequel/>

Haunted Houses, (Regarding the T.A.R.P. and tax policy) THE PARETO COMMONS BLOG, Aug. 10, 2010, <http://www.theparetocommons.com/2010/08/haunted-houses/>

Eleven Pens, (regarding the Elizabeth Warren nomination to the Bureau of Consumer Financial Protection) THE PARETO COMMONS BLOG, July 30, 2010, <http://www.theparetocommons.com/2010/07/eleven-pens/>

Desperately Seeking Sixty, THE PARETO COMMONS BLOG, July 1, 2010, <http://www.theparetocommons.com/2010/07/desperately-seeking-sixty/>

Mind Your Peas and Queues at the Supreme Court: Reconciling Rent-a-Center with Citizens United, three-part series, THE RACE TO THE BOTTOM BLOG, June 29 – 30, 2010, <http://www.theracetothetbottom.org/miscellaneous/mind-your-peas-and-queues-at-the-supreme-court-reconciling-r.html>

It's Not a Bailout, It's a Funeral, THE BASELINE SCENARIO BLOG, June 17, 2010, <http://baselinescenario.com/2010/06/17/it%E2%80%99s-not-a-bailout-it%E2%80%99s-a-funeral/>

Supporting Swap Desk Spinoffs Just Got Easier, THE BASELINE SCENARIO BLOG, May 12, 2010, <http://baselinescenario.com/2010/05/12/supporting-swap-desk-spinoffs-just-got-easier/>

Financial Reform and Football, THE RACE TO THE BOTTOM BLOG, Apr. 28, 2010, <http://www.theracetothetbottom.org/home/football-and-financial-reform.html>

Clinton Confesses: Rubin and Summers Gave Bad (strike that), Excellent Advice on Derivatives, THE BASELINE SCENARIO BLOG, Apr. 20, 2010, <http://baselinescenario.com/2010/04/20/clinton-rubin-summers-derivatives/>

Will the Senate Clear the Financial Market Minefield? HUFFINGTON POST, Apr. 14, 2010, http://www.huffingtonpost.com/safer/will-the-senate-clear-the_b_536927.html

Sarbanes-Oxley Whistleblower Protections Cover Employees of Mutual Fund Firms, THE RACE TO THE BOTTOM BLOG, Apr. 6, 2010, <http://www.theracetothebottom.org/home/sarbanes-oxley-whistleblower-protections-cover-employees-of.html>

Jones v. Harris: Let the First Lawsuit Bloom, THE RACE TO THE BOTTOM BLOG, Mar. 30, 2010, <http://www.theracetothebottom.org/miscellaneous/jones-v-harris-associates-let-the-first-lawsuit-bloom.html>,

A Whiff of Repo 105, THE BASELINE SCENARIO BLOG, Mar. 16, 2010, <http://baselinescenario.com/2010/03/16/a-whiff-of-repo-105/>

Don't Ask, Don't Tell: Says Goldman Sachs to Shareholders, THE RACE TO THE BOTTOM BLOG, Jan. 15, 2010, <http://www.theracetothebottom.org/executive-comp/dont-ask-dont-tell-says-goldman-sachs-to-shareholders-part-i.html>

Recommendations for Reality-Based Regulation of Hedge Funds and Other Private Pools of Capital, and Dispelling the Top Ten Myths About Hedge Funds, S.A.F.E.R. Policy Note No. 7, Dec. 3, 2009.

Jones v. Harris Associates: Let 8,000 Lawsuits Bloom, THE RACE TO THE BOTTOM BLOG, November 3, 2009, <http://www.theracetothebottom.org/executive-comp/jones-v-harris-associates-let-8000-lawsuits-bloom-part-1.html>

Signatory of and assisted in review and editing of Brief of Amici Curiae Faculty at Law and Business Schools Support of Respondents (“Faculty Brief”) in *Merck v. Reynolds* concerning the statute of limitations under Rule 10b-5 of the Securities Exchange Act of 1934, Oct. 2009, http://www.abanet.org/publiced/preview/briefs/pdfs/09-10/08-905_RespondentAmCuLawandBusFaculty.pdf

It's a Wonderful Lie: Mutual Fund Advocacy for Shareholders' Rights, five-part series THE RACE TO THE BOTTOM BLOG, week of Aug. 17, 2009, <http://www.theracetothebottom.org/shareholder-rights/its-a-wonderful-lie-mutual-fund-advocacy-for-shareholders-ri.html>

Comment Letter to the SEC regarding Rule Proposal on Facilitating Shareholder Director Nominations, Aug. 13, 2009.

INVITED CONFERENCES AND PRESENTATIONS

Invited to participate on panel at Minnesota Law Review symposium on “Recession in Retrospect: Financial Regulation and Consumer Protection Ten Years Since the 2008 Financial Crisis.” Presenting a paper entitled, “Saving the Canaries: Protecting Consumer Borrowers to Prevent Systemic Risk,” Minneapolis, Minnesota, October 12, 2018.

Invited to present on a panel on “How to Use your Political Voice (without running for office)” for “Celebration 65: Raising Our Voices” Harvard Law School, September 14, 2018.

Invited panelist on emoluments clauses of the U.S. Constitution, for forum on legal grounds for impeachment, sponsored by Free Speech for People and Tom Steyer’s Need to Impeach, Boston, MA, July 10, 2018.

Co-organized workshop and presented, “Reinventing Law and Economics” at the APPEAL Political Economy and the Law Workshop on “Connecting Ideas and Policies for Change,” University of Massachusetts, Amherst Political Economy Research Institute (PERI), Amherst, MA, June 13 - 15, 2018.

Invited panelist with H. Kent Greenfield and Frank Partnoy, at symposium for David Webber’s new book, *THE RISE OF THE WORKING-CLASS SHAREHOLDER: LABOR’S LAST BEST WEAPON* (2018), Barristers Hall, Boston University School of Law, April 9, 2018.

Invited moderator for tax policy panel lead by Minority Leader Nancy Pelosi and sponsored by Tax March, Los Angeles, California, April 4, 2018.

Invited panelist for Massachusetts Democratic Governor Candidate forum, Northampton Massachusetts, March 28, 2018.

Moderator for “Mueller v. Trump” for legal analyst Michael Zeldin’s lecture on Special Counsel Robert Mueller’s investigation into Russian election interference and potential conspiracy by Trump’s campaign, Vermont Law School, South Royalton, VT, February 15, 2018.

Invited to present *A Thing of Value* at the St. Louis University School of Law faculty workshop, St. Louis, MO, January 31, 2018.

Panelist for the “Exploring New Frontiers in Real Estate Development,” panel, sponsored by the Section on Commercial and Related Consumer Law and the Section on Real Estate Development at AALS 2018 Annual Meeting, San Diego, CA, January 4, 2018.

Invited to give guest lecture on “Reinventing Law and Economics” at the UMass Amherst Political Economy Research Institute, Amherst, MA, November 1, 2017.

Invited panelist for forum on legal grounds for impeachment, sponsored by Free Speech for People and Tom Steyer, December 2017, National Press Club, Washington, D.C.

Invited participant at corporate governance roundtable at The Wharton School, Philadelphia, PA, September 22, 2017.

Moderator for panel on “The Role of Fake News, Propaganda, and Disinformation” at the Media Law and Journalism symposium, Vermont Law School, South Royalton, VT, September 15, 2017.

Invited to testify before Massachusetts Joint Committee on Election Laws concerning S. 365, “An Act Restoring Financial Transparency in Presidential Elections,” Boston, MA, September 6, 2017.

Co-organizer of the “Engaged Scholarship in Law & Economics Workshop,” co-sponsored by the University of Maryland, Francis King Carey School of Law and the Association for the Promotion of Political Economy and the Law (APPEAL), Baltimore, MD, June 15 – 16, 2017.

Invited participant for ninth annual Adolf A. Berle Jr. Symposium on June 5 - 6, 2017 at Georgia State University College of Law, Atlanta.

Invited panelist, discussed developing paper “Regulation as Contract” at the 2017 Gruter Institute Conference on “Interdisciplinary Insights into Innovation and Growth: From Systems Biology to Macroeconomics” at Squaw Valley, California, May 23, 2017.

Invited Lecture, “First Thank All the Lawyers,” at Sarah Lawrence College, April 27, 2017.

Invited panelist for Stetson Law Review symposium, “Can Corporations Be Good Citizens? How Corporate Law, Litigation, Lobbying and Money in Politics Intersect,” Stetson University College of Law, Gulfport, Florida, March 24, 2017.

As Section Chair, provided introduction of panel for “The Tenth Anniversary of the Subprime Mortgage Crisis: The State of Financial Reform and Consumer Financial Protection,” AALS Annual Meeting, San Francisco, California, January 6, 2017.

Invited to be on panel with Vanguard founder Jack Bogle, and authors of “What They Do with Your Money: How the Financial System Fails Us and How to Fix it,” Stephen Davis and Jon Lukomnik at the Weinberg Center for Corporate Governance at the University of Delaware, Newark, DE, November 1, 2016.

Panelist at Conference on the “New Pedagogy of Financial Regulation,” Columbia Law School, New York, NY, October 21, 2016.

Co-organizer of conference and co-lead panel discussion at Financial Stability Law Workshop hosted by the Office of Financial Research, U.S. Treasury Department, Washington, D.C., September 23, 2016.

Moderator for the Vermont Law Review’s symposium “Criminal Culpability: Who Deserves Punishment?”, September 16, 2016.

Invited Panelist at Marketplace Lending Policy Summit co-hosted by Boston University Center for Finance, Law & Policy (“CFLP”) and Cross River Bank, Washington, D.C., September 13, 2016.

Invited witness to testify before the Senate Committee on Banking, Housing, and Urban Affairs for a hearing entitled “Bank Capital and Liquidity Regulation Part II: Industry Perspectives,” Washington, D.C., June 23, 2016.

Panelist at the “Law for the New Economy Workshop,” held at the University of California, Santa Barbara’s Bren School for the Environment, co-sponsored by the Vermont Law School New Economy Law Center, Santa Barbara, CA, May 23 - 24, 2016.

Invited witness to testify before the House Financial Services Committee, Capital Markets and Government Sponsored Enterprises Subcommittee for a hearing entitled "Legislative Proposals to Enhance Capital Formation, Transparency, and Regulatory Accountability," Washington, D.C., May 17, 2016.

Presented "Mind the Gap: Regulating Asset Management after the 2008 Crisis" for the faculty colloquy at the University of Tulsa College of Law, April 15, 2016.

Invited to "Taking Stock: Financial Regulation since the Crisis" sponsored by the Law and Business Program of the Vanderbilt Law School, Nashville, TN, March 25, 2016.

Discussant for panel at the Cornell BLI conference, "Rethinking the Public-Private Balance in Financial Markets and Regulation," at the Cornell Club, New York, NY, October 30 - 31, 2015.

Discussant for public presentation at the Boston University School of Law by Eyvindur G. Gunnarsson's, the Dean of an Icelandic Law School, concerning the Icelandic Financial Crisis, sponsored by the Center for Finance, Law & Policy, October 21, 2015.

Invited panelist for Loyola University Chicago's Institute for Investor Protection annual fall conference titled "The Twentieth Anniversary of the Private Securities Litigation Reform Act: Taking Stock," co-sponsored by Loyola's Institute for Investor Protection and the Institute for Law and Economic Policy, October 16, 2015.

Invited panelist for the 18th annual Conference on Litigating Takings Challenges to Land Use and Environmental Regulations, co-sponsored by Vermont Law School, University of Maryland, Francis King Carey School of Law, Baltimore, MD, September 25, 2015.

Co-organizer with Martha McCluskey and Frank Pasquale of two APPEAL workshops at SUNY Buffalo Law School — the first on "Higher Education Finance" and the second on "New Thinking in Law and Economics," June 22 - 23, 2015.

Invited guest speaker for the Yale Law School Mortgage Foreclosure Litigation Clinic, April 22, 2015.

Presenter at conference: "The Impact of the First Amendment on American Business," at University of Maryland, Francis King Carey School of Law, Baltimore, MD, March 27, 2015.

Presenter on International Comparisons panel at the Annual Review of Insolvency Law, organized by the University of British Columbia faculty of law, Toronto, Canada, February 5 - 6, 2015.

Presenter on panels at the Association of American Law Schools (AALS) Annual Meeting: "The Future of the Federal Housing System;" and "Questioning the Benefits of Cost-Benefit Analysis;" Washington DC, January 4 - 5, 2015.

Presenter on panel for the "Managing the Economy: Main Street, Wall Street and the Federal Reserve" event in the Hart Senate Building, sponsored by Americans for Financial Reform, the Economic Policy Institute, and the Roosevelt Institute. Keynote speakers included Senator Elizabeth Warren and economist Paul Krugman, Washington DC, December 9, 2014.

Participant at 7th annual Investment Fund Roundtable, Harvard Law School, Cambridge, MA,

December 8, 2014.

Presenter on "Constitutional Dimensions of Corporate Law" panel at the "Advancing a New Jurisprudence for American Self-Government and Democracy," a legal symposium co-sponsored by Harvard Law School and Free Speech for People, Cambridge, MA, November 7, 2014.

Lecturer on O_{TH}ER P_EOPLE'S H_OUSES for the Access to Justice lecture series at Washington University St. Louis School of Law, St. Louis, MO, October 20, 2014.

Speaker at business and government seminar on O_{TH}ER P_EOPLE'S H_OUSES at the Harvard Kennedy School Mossavar-Rahmani Center for Business & Government, October 9, 2014.

Participant at roundtable of financial regulation scholars Bowen Island, British Columbia, August 25-26, 2014.

Presenter on "When Corporate Law and Election Law Collide: Century of Regulating Corporate Money In Politics," panel at the Southeastern Association of Law Schools (SEALS) Annual Conference, Amelia Island, FL, August 6, 2014.

Presenter on O_{TH}ER P_EOPLE'S H_OUSES at the AFL-CIO Book Club, Washington, D.C., July 25, 2014.

Commentator on Professor Roberta Karmel's keynote presentation at the Association of American Law Schools (AALS) Midyear meeting, "Workshop on Blurring Boundaries in Financial and Corporate Law," Washington, D.C., June 8, 2014.

Co-organizer of the conference-workshop, "Critiquing Cost-Benefit Analysis of Financial Regulation," at George Washington Law School, co-sponsored by George Washington University Law School's Center for Law, Economics and Finance (C-LEAF), the Association of Professors of Political Economy and the Law (APPEAL), Better Markets, Americans for Financial Reform (AFR), and the Center for Progressive Reform (CPR), Washington, D.C., May 19 - 20, 2014.

Presented *Regulating in the Light: Harnessing Political Entrepreneurs' Energy for Post-Crisis Sunlight Hearings*, in response to Roberta Romano's presentation, *Regulating in the Dark*, at the University of St. Thomas Law Journal Spring Symposium, "Beyond Crisis-Driven Regulation—Initiatives for Sustainable Financial Regulation," Minneapolis, MN, April 10 -11, 2014.

Commentator at Junior Faculty Workshop at George Washington University Law School, Center for Law, Economics & Finance (C-LEAF), Washington, D.C., February 7 – 8, 2014.

Participant at the 6th annual Investment Fund Roundtable, at Brooklyn Law School, Brooklyn, NY, January 31, 2014.

Moderator and presenter on panel, "Corporate Theory and Regulation in the Aftermath of the Financial Crisis" at the Association of American Law Schools (AALS) Annual Meeting, New York, NY, January 5, 2014.

Presenter of *There is No There There: Sophisticated Investors as the Guardians of Financial Stability* at the "Unfinished Mission: Making Wall Street Work for Us," conference co-sponsored by Americans for Financial Reform and the Roosevelt Institute, U.S. Senate Kennedy Caucus Room, Washington, D.C., November 12, 2013.

Moderator of "Financial Disclosures as Regulation" panel at the Vermont Law Review's Disclosure Debates conference, South Royalton, VT, September 27, 2013.

Moderator of panel at "Five Years On, Learning Lehman's Lessons from the Panic of 2008: Are We Better Prepared for the Next Financial Crisis?" conference, co-sponsored by the George Washington University Law School's Center for Law, Economics and Finance (C-LEAF) and Better Markets, George Washington University School of Law, Washington, D.C., September 12, 2013.

Presenter on two panels, "Author Meets Reader -- The Ponzi Scheme Puzzle: A History and Analysis of Con Artists and Victims," and "Toward Law and Political Economy: Transforming Unequal Power through Heterodox Theory," at the Law and Society Conference, Boston, MA, June 1 - June 2, 2013.

Presented *Delays, Dilutions and Delusions: Implementing the Dodd-Frank Act* at "Finding the Right Balance of Regulation for Economic Development: China and Western Regulatory Models," conference, at the Chinese University of Hong Kong, May 24 - 25, 2013.

Participant at the Fifth Annual Investment Fund Roundtable, University of Chicago Law School, Chicago, IL, May 17, 2013.

Participant at the roundtable event "Corporate Governance and Financial Risk: Is ESG Adequate?" Harvard Kennedy School, Cambridge, MA, May 6, 2013.

Presented *Delays, Dilutions, and Delusions: Implementing the Dodd-Frank Act* at the "Trans-Atlantic Agenda for Shared Prosperity" Conference sponsored by the AFL-CIO, Friedrich Ebert Stiftung, and Macroeconomic Policy Institute, Monday, Washington, D.C. February 11, 2013.

Presenter on the International Law Section panel on "Global Corporate Rights and Responsibilities: Reflections on *Kiobel* and *Citizens United*," at the Association of American Law Schools (AALS) Annual Meeting, New Orleans, LA, January 6, 2013.

Delivered lecture, *The U.S. Financial System: Still Risky after All These Years* for the Thurlow Gordon Lecture at the Rockefeller Center at Dartmouth College, Hanover, NH November 1, 2012.

Presenter at the Socially Responsible Investment conference, on panel entitled "Corporate Political Spending: Can Shareholder Advocates Impact the Trend?" Mohegan Sun Conference Center, Uncasville, CT, October 3, 2012.

Presenter at the North American Securities Administrators Association (NASAA) Annual Conference on "Occupy Regulation" panel, San Diego, CA, September 9, 2012.

Participant at the Fourth Annual Investment Fund Roundtable, at Boston University Law School, Boston, MA, May 11, 2012.

Presenter of *Still Risky after All These Years* paper at the Symposium on Implementing Dodd-Frank, Corporate Law Center, University of Cincinnati Law School, Cincinnati, OH, March 30, 2012.

Speaker for faculty colloquium, Boston College Law School, Boston, MA, March 23, 2012.

Speaker for Hammer Forum on "Corporate Power and Social Responsibility," hosted by Ian Masters, UCLA Hammer Museum, Los Angeles, CA, February 8, 2012.

Presenter at conference on *Citizens United*, sponsored by Clean Yield Asset Management, Montpelier, VT, November 2011.

Presenter at the National Association of Business Economics (NABE) Annual Meeting on panel concerning Dodd-Frank implementation, Dallas, TX, September 10 – 13, 2011.

Presenter at the symposium on accountability post-*Citizens United*, Brennan Center at NYU, New York, NY, April 29, 2011.

Presenter at the "Digging Out from Crisis and Austerity in Europe and The United States: Finance, Job Creation, Public Ownership and Green Recovery" conference, on panel regarding the Financial Crisis and Reform, Amherst, MA, April 22, 2011.

Presented *Hopes and Hazards: Investing in hedge funds and fund-of-funds in the post-Dodd-Frank era* at the Pension, Retirement Security, and Strategies for Investment Conference, Pension and Capital Stewardship Project, Labor and Worklife Program, Harvard Law School, Cambridge, MA, March 17, 2011.

Presenter of *Great Expectations for the Office of Financial Research* at the Roosevelt Institute's Project on Global Finance conference, "Will it Work? How Will We Know? The Future of Financial Reform," New York, NY, October 4, 2010.

Participant at ClassCrits Workshop III: Rethinking Economics and Law after the Great Recession, A conference cosponsored by the University at Buffalo Law School and the Baldy Center for Law & Social Policy, Buffalo, NY, May 17-18, 2010

Presented *What We Don't Talk about When We Talk about Banking* at the New Ideas for Limiting Bank Size, Murphy Conference on Corporate Law at Fordham Law School, New York, NY, March 12, 2010.

Lecture on *Enablers of Exuberance*, for the Political Economy Workshop and the Department of Economics, University of Massachusetts, Amherst, MA, February 2, 2010.

Presented *Enablers of Exuberance* at the "Institutional Investors, Risk/Return and Corporate Governance Failures: Practical Lessons from the Global Financial Crisis: A Conference of Institutional Investors, Practitioners, Professionals and Academics," St. Mary's College, Moraga, CA, October 2009.

Presenter at the "Sixth Annual, Capital Matters: Managing Labor's Capital Conference," Pensions and Capital Stewardship Project, Labor and Work-life Program, Harvard Law School, Cambridge, MA, April 2009.

SELECTED MEDIA

Appeared as a guest with anchor Brooke Baldwin on "What is Rosenstein's Fate," CNN September 24, 2018.

Appeared as a guest with anchor Ari Melber concerning Roger Stone on MSNBC August 15, 2018.

Appeared as a guest with anchor Brooke Baldwin on "GOP Rep. Collins Arrested on Insider Trading Charge," CNN August 8, 2018.

Appeared as a guest with anchor Brooke Baldwin on "Trump Team Sends Counteroffer on Mueller Interview," CNN, August 8, 2018.

Appeared as a guest with anchor Brooke Baldwin on "Trump Finance Chief Subpoena; Mueller Looks at Tweets; and Can Cohen's Tape Leak Hurt His Negotiations?" CNN, July 26, 2018.

Appeared as a guest with anchor Brooke Baldwin on "The Supreme Court's Decision to Uphold the Third Iteration of the Trump Travel Ban," CNN, June 26, 2018.

Appeared as a guest with anchor Brooke Baldwin on "Trump Escalates Conspiracy Theory," CNN, May 23, 2018.

Appeared as a guest with anchor Wolf Blitzer on *Trump Rages Against Mueller*, CNN, March 21, 2018.

Appeared as guest on *All the President's Lawyers: A White-Collar Special* for the Amicus SLATE podcast with Dahlia Lithwick, March 17, 2018.

Appeared as guest with anchor Brianna Keilar, on *Sessions Pushes Back vs. Trump*, CNN, February 28, 2018.

Appeared as guest with anchor Brooke Baldwin, on *Parkland Students Demand Gun Control*, CNN, February 21, 2018.

Interviewed by Kevin Breuninger, *Mueller's Subpoena Could Give Bannon Some Cover in Trump Probe, Legal Experts Say*, CNBC, January 16, 2018.

Appeared as guest with anchor Don Lemon, on *Trump Slams Probe in Tweet, Says FBI Tainted*, CNN, December 26, 2017.

Appeared on panel with anchor Brooke Baldwin, on *Is GOP Tax Bill a Victory for All*, CNN, December 21, 2017.

Appeared on panel with anchor Brianna Keilar, on *Analyzing Donald Trump, Jr.'s House Testimony*, CNN, December 8, 2017.

Interviewed for *What Michael Flynn's Plea Deal Means: Eleven legal experts weigh in*, Politico, December 1, 2017.

Appeared on panel with anchor Brooke Baldwin, on *Analyzing Mueller's First Indictments*, CNN, October 30, 2017.

Interviewed by Peter Overby, *The Court Challenge Begins: Is Trump Taking Unconstitutional Emoluments?* All Things Considered, NPR, October 17, 2017.

Interviewed by Bradley Keoun, *How Wells Fargo Bought Millions in Services from an Independent Director's Firm*, THE STREET, August 22, 2017.

Hosted *After Words with Jesse Eisinger*, concerning THE CHICKENSHIT CLUB: WHY THE JUSTICE DEPARTMENT FAILS TO PROSECUTE EXECUTIVES, C-SPAN, BOOKTV, August 5, 2017.

Appeared on panel with anchor Brooke Baldwin, on *Grand Jury Subpoenas Issued in Russia Probe*, CNN, August 4, 2017.

Appeared on panel with anchor Brooke Baldwin, on *Legal Impact of Trump Shaping Son's Statement*, CNN, August 1, 2017.

Appeared on panel with guest anchor Pamela Brown, on *The Legal Implications of Donald Trump Jr.'s Meeting with a Russian Lawyer*, CNN, July 10, 2017.

Interviewed by Jim Kenyon, *Vermont Law School Professor's Tweet Helped Start 'Tax March'*, VALLEY NEWS, April 23, 2017.

Interviewed by Karen Sloan, *This Law Prof Sparked Saturday's Tax March Over Trump's Returns*, NAT'L L. J., April 19, 2017.

Interviewed by Barbara Howard, *Mass. Law Professor on Her Tweet for Trump's Tax Returns*, All Things Considered, WGBH, April 18, 2017.

Interviewed by Matt Stevens, *The Tax March Explained: Protesters Hope to Pressure Trump into Releasing Returns*, N.Y. TIMES, April 15, 2017.

Interviewed by Perry Stein, *The Tax March: Protesters Around the Country Call on Trump to Release his Taxes*, WASH. POST., April 14, 2017.

Interviewed by Karen Brown, *Western Mass Lawyer Organizes National Tax March*, NEPR, April 13, 2017.

Interviewed by Amanda Drane, *Local Law Prof Spearheads National Tax March*, DAILY HAMPSHIRE GAZETTE, April 13, 2017.

Interviewed by Amber Jamieson, *Tax March: How a Law Professor Sparked a Global Event to Demand Trump's Returns*, THE GUARDIAN, April 12, 2017.

Interviewed by Ian Masters for BACKGROUND BRIEFING, April 12, 2017.

Interviewed by Charles Bane, Jr. of BOSTON ACCENT, March 3, 2017.

Quoted by James Dennin in *Trump just vowed to protect the Constitution — but law scholars say he's already violating it*, POLICYMIC, January 20, 2017.

Quoted by Jessica Mason Pieklo in *Trump's Constitutional Problems Are Just Beginning*, REWIRE, January 20, 2017.

Quoted by James Dennin in “12 top law scholars say Donald Trump could defy the Constitution his first day in power,” POLICYMIC, December 17, 2016

Quoted by Janet Babin in *Jared Kushner's NYC Buildings Could Pose a Conflict*, WNYC NEWS, December 16, 2016.

Quoted by Karen Brown in *WMass Law Professor Urges 'Divest Donald' Campaign*, NEPR, November 25, 2016.

Quoted by Gretchen Morgenson in *Private Equity Funds Balk at Disclosure, and Public Risk Grows*, N.Y. TIMES Fair Game column, July 1, 2016.

Quoted by Mayra Rodriguez Valladares in *Is Shelby's Reg Relief Proposal a Gift to Big Banks?* AMERICAN BANKER, May 15, 2015.

Interviewed by Binyamin Appelbaum in *Are Subprime Mortgages Coming Back?* Sunday N.Y. TIMES Magazine, Sept. 14, 2014.

Interviewed by Sam Seder regarding OTHER PEOPLE'S HOUSES for the Majority Report radio program, July 31, 2014.

Interviewed by Bob Dunn for *Sides Weigh Implications of Hobby Lobby Ruling*, DAILY HAMPSHIRE GAZETTE, July 2, 2014.

Interviewed by Pat Regnier for *How to Stop the Next Bubble*, MONEY BLOG, June 23, 2014.

Interviewed by Doug Henwood regarding Citigroup settlement for Behind the News with Doug Henwood on KPFA, Berkeley, CA, June 19, 2014.

Interviewed by Joe Nocera for *Bankrupt Housing Policy*, N.Y. TIMES Opinion Page, May 19, 2014.

Interviewed by John Hockenberry regarding OTHER PEOPLE'S HOUSES, for The Takeaway radio program, co-produced by WNYC Radio and Public Radio International, May 19, 2014.

Interviewed by Gregory Robb, *5 Things You Won't Learn from Reading Geithner's Book*, WALL ST. J. MARKETWATCH, May 16, 2014.

Interviewed by Max Abelson, for *Madoff Money to Japan Mob Ties Breed Banks' Global Pains*, BLOOMBERG, October 30, 2013.

Interviewed by Susanna Kim, *Top 5 Dangers That Remain After Financial Collapse*, ABC NEWS Blog, September 15, 2013.

Interviewed by Joe Mont for *Is the Volcker Rule Already Working*, COMPLIANCE WEEK, August 13, 2013.

Interviewed by Mike Konczal for *Does Dodd-Frank Work? We Asked 16 Experts to Find Out*, WASHINGTON POST WONKBLOG, July 20, 2013.

Interviewed by Robert Schmidt and Dave Michaels for *SEC's Gallagher Wants the Fed Back in Its Regulatory Box*, BLOOMBERG, May 10, 2013.

Interviewed by Charles Wilbanks for *Ex-SEC chief Schapiro takes revolving door back to private sector*, CBS MONEYWATCH, April 2, 2013.

Interviewed Ian Masters for *The 83 Billion a Year Subsidy to the Big Banks*, KPFK, Los Angeles, CA, February 26, 2013.

Interviewed by Paul Jay concerning President Obama's State of the Union Address, REAL NEWS NETWORK, February 12, 2013.

Interviewed by Dena Takruri concerning *Too Big to Prosecute Banks and the HSBC money laundering settlement*, HUFFPOST LIVE, December 17, 2012.

Interviewed by Pat Bradley for *Super PACs Okayed in Vermont*, WAMC radio, July 27, 2012.

Guest on Vermont Public Radio along with Ben Cohen and Jerry Greenfield regarding corporate political spending, VT, November 28, 2011.

Interviewed by Thatcher Moats for *Efforts Build to Curb Corporate Political Spending*, TIMES ARGUS and RUTLAND HERALD, VT, November 27, 2011.

Interviewed by John Briggs for *Citibank's Suit Against Burlington Raises Questions*, BURLINGTON FREE PRESS, September 30, 2011.

Interviewed by Jennifer Reading on WCAX TV concerning a lawsuit brought by Citibank, N.A. against Burlington Telecom, September 2011.

Interviewed by Ian Masters on Background Briefing with Ian Masters, concerning potential nomination of Richard Cordray and not Elizabeth Warren to lead Consumer Financial Protection Bureau, KPFK, Los Angeles, July 17, 2011, and about the CFPB, May 25, 2011.

Interviewed by Nancy Marshall-Genzer for *What the GOP Has to Do to Enforce Change*, MARKETPLACE RADIO, November 3, 2010.

Interviewed by David Weidner for *Sidelining Liz*, Writing on the Wall column, WALL ST. J. Online, September 15, 2010.

Interviewed by Ian Masters concerning the Dodd-Frank Act, on the Daily Briefing, KPFK, Los Angeles, July 22, 2010.

Interviewed by Jim Kinney for *Financial Reform Bill Might Allow Consumers to Save Money by Paying Cash at Retailers*, THE REPUBLICAN, July 16, 2010.

Quoted by Alain Sherter for *What Larry Summers Won't Admit about Financial Reform*. BNET, May 24, 2010.

Interviewed by Mike Konczal for *An Interview on Off-Balance-Sheet, Reform*, RORTYBOMB Blog, Apr. 30, 2010.

Interviewed by Jon Friedman for *Assessing the Coverage of Goldman Sachs*, WALL ST. J. MARKETWATCH Apr. 28, 2010.

RESEARCH GRANTS, SUPPORT, AND CONSULTING

Expert witness consulting, summer 2016.

Received \$6,000 in support from the Nathan Cummings Foundation, via the Political Economy Research Institute, Amherst, MA, 2010.

Granted \$1,000 honorarium from the Investors Responsibility Research Center Institute in connection with paper presentation at the Elfenworks Center for the Study of Fiduciary Capitalism at St. Mary's College of California, 2009.

Received \$10,000 research grant from Yale School of Management's Millstein Center for Corporate Governance and Performance and Oxford's Said Business School. Submitted proposal in response to an international call for papers and was one of ten selected through a blind review process, 2007.

BOARD MEMBERSHIP AND ASSOCIATIONS

Chair of the Section on Financial Institutions and Consumer Financial Services of the American Association of Law Schools, for 2017 Annual Meeting and on board since 2015.

Board member, and co-founder of the nonprofit Association for the Promotion of Political Economy and the Law (APPEAL).

Board member of nonprofit Free Speech for People.

Board member of the nonprofit Society of Investment Law.

Co-founder and executive committee member for Tax March.

Volunteer with committee of Americans for Financial Reform since 2010.

AWARDS AND SERVICE

A faculty adviser to the Vermont Law Review for symposium on *Media Law and Journalism: Protecting Democracy's Safeguards*, including moderating panel and inviting keynote speaker, Gizmodo General Counsel Lynn Oberlander, September 2018.

A faculty adviser to the Vermont Law Review for symposium on *Criminal Culpability: Who Deserves Punishment?*, including moderating panel and inviting keynote speaker, Pulitzer Prize-winning reporter, Jesse Eisinger, September 2018.

Recipient of the Vermont Law School, Women's Law Association Phenomenal Woman Award in faculty category, 2017.

Mentor for Women's Leadership Class at Harvard Law School, 2017.

Massachusetts Center for the Book honored *Other People's Houses* as one of the 2015 finalists in the nonfiction category, including with a ceremony at the Massachusetts State House.

Director of the VLS Business Law Certificate Program and faculty adviser for the Business Law

Society and the Women's Law Group.

BAR ADMISSIONS

New York, 1994; Massachusetts, 2000