

## Photoacoustic: A Versatile Nongenetic Method for High-Precision Neuromodulation

Published as part of *Accounts of Chemical Research virtual special issue "Nanomaterials and Tools for Interrogation of Neurotechnology with Multi-Level Functions"*.

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Cite This: <https://doi.org/10.1021/acs.accounts.4c00119>



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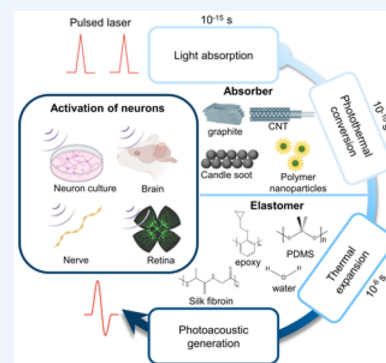
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**CONSPECTUS:** High-precision neuromodulation plays a pivotal role in elucidating fundamental principles of neuroscience and treating specific neurological disorders. Optical neuromodulation, enabled by spatial resolution defined by the diffraction limit at the submicrometer scale, is a general strategy to achieve such precision. Optogenetics offers single-neuron spatial resolution with cellular specificity, whereas the requirement of genetic transfection hinders its clinical application. Direct photothermal modulation, an alternative nongenetic optical approach, often associates a large temperature increase with the risk of thermal damage to surrounding tissues.

Photoacoustic (also called optoacoustic) neural stimulation is an emerging technology for neural stimulation with the following key features demonstrated. First, the photoacoustic approach demonstrated high efficacy without the need for genetic modification. The generated pulsed ultrasound upon ns laser pulses with energy ranging from a few  $\mu\text{J}$  to tens of  $\mu\text{J}$  is sufficient to activate wild-type neurons. Second, the photoacoustic approach provides sub-100- $\mu\text{m}$  spatial precision. It overcomes the fundamental wave diffraction limit of ultrasound by harnessing the localized ultrasound field generated through light absorption. A spatial precision of 400  $\mu\text{m}$  has been achieved in rodent brains using a fiber-based photoacoustic emitter. Single-cell stimulation in neuronal cultures *in vitro* and in brain slices *ex vivo* is achieved using tapered fiber-based photoacoustic emitters. This precision is 10 to 100 times better than that for piezo-based low-frequency ultrasound and is essential to pinpoint a specific region or cell population in a living brain. Third, compared to direct photothermal stimulation via temperature increase, photoacoustic stimulation requires 40 times less laser energy dose to evoke neuron activities and is associated with a minimal temperature increase of less than 1  $^{\circ}\text{C}$ , preventing potential thermal damage to neurons. Fourth, photoacoustics is a versatile approach and can be designed in various platforms aiming at specific applications. Our team has shown the design of fiber-based photoacoustic emitters, photoacoustic nanotransducers, soft biocompatible photoacoustic films, and soft photoacoustic lenses. Since they interact with neurons through ultrasound without the need for direct contact, photoacoustic enables noninvasive transcranial and dura-penetrating brain stimulation without compromising high precision.

In this Account, we will first review the basic principles of photoacoustic and discuss the key design elements of PA transducers for neural modulation guided by the principle. We will also highlight how these design goals were achieved from a materials chemistry perspective. The design of different PA interfaces, their unique capability, and their applications in neural systems will be reviewed. In the end, we will discuss the remaining challenges and future perspectives for this technology.



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Received: February 21, 2024

Revised: May 10, 2024

Accepted: May 13, 2024

Published: May 17, 2024

neuron and subcellular resolution. A whole-cell patch was used to measure the cellular electrophysiology response to single-cell photoacoustic stimulation in brain slices.

- Zheng, N.; Fitzpatrick, V.; Cheng, R.; Shi, L.; Kaplan, D. L.; Yang, C. Photoacoustic Carbon Nanotubes Embedded Silk Scaffolds for Neural Stimulation and Regeneration. *ACS Nano* **2022**, *16*(2), 2292–2305.<sup>3</sup> This work demonstrated a biocompatible photoacoustic film based on a CNT-embedded silk fibroin for neural stimulation and regeneration.
- Li, Y.; Jiang, Y.; Lan, L.; Ge, X.; Cheng, R.; Zhan, Y.; Chen, G.; Shi, L.; Wang, R.; Zheng, N.; Yang, C.; Cheng, J. X. Optically-generated focused ultrasound for non-invasive brain stimulation with ultrahigh precision. *Light Sci. Appl.* **2022**, *11*(1), 321.<sup>4</sup> This work develops a noninvasive photoacoustic neural stimulation method with 200  $\mu\text{m}$  spatial resolution via a focused photoacoustic emitter.

## 1. INTRODUCTION

Neurons are the basic functional units of neural systems that generate and propagate electric signals. Modulating individual neurons alters the state of the neuron, controls the function, and modulates the corresponding behavior.<sup>5–7</sup> To this end, neuromodulation with high precision provides an essential tool in fundamental neuroscience and treatments of specific neurological diseases when drugs are not available.<sup>8–10</sup> Electrical neuromodulation is a commonly used method in fundamental studies and serves as the basis for implants for disease treatments. However, the current spread over millimeters could cause off-target stimulation.<sup>11</sup> Noninvasive methods, such as transcranial magnetic stimulation (TMS)<sup>12</sup> and transcranial direct current stimulation (tDCS),<sup>13</sup> do not require a surgical procedure but offer a spatial resolution on the order of several millimeters. Ultrasound neuromodulation as an emerging noninvasive neuromodulation method has been demonstrated to evoke action potentials in vitro and behavioral responses in vivo in rodents,<sup>14,15</sup> nonhuman primates,<sup>16</sup> and human subjects.<sup>17–19</sup> However, the millimeter-level spatial resolution of ultrasound stimulation hinders its further applications that require high-precision neural stimulation.

Optical stimulation, benefiting from the submicrometer diffraction limit of light in the visible to near-infrared (NIR) range, enables single-cell and subcellular neuromodulation. Through genetically modifying neurons with light-sensitive ion channels, optogenetics modulates neurons with high precision and cell type specificity. However, its translational potential is limited due to its requirement for genetic modification via viral transfection. Nongenetic infrared neurostimulation uses the photothermal effect to trigger neuronal activities.<sup>20</sup> Gold nanoparticles,<sup>21</sup> Si nanowires,<sup>22</sup> polymers,<sup>23</sup> and carbons<sup>24</sup> have been used to enhance the thermal effect. Two photothermal stimulation mechanisms have been demonstrated. In the direct thermal mechanism, a temperature increase of a few degrees, induced by light, could activate thermosensitive ion channels,<sup>25,26</sup> yet a temperature increase of a few degrees could raise safety concerns, particularly in specific clinical applications, for example, retina stimulation which can only accommodate a temperature increase of  $\sim 1$  °C. In the opto-capacitive mechanism, a rapid and transient temperature increase at a rate of kilokelvins per second upon light irradiation is needed to modulate the capacitance of the

cell membrane<sup>27,28</sup> and to drive a sufficient transmembrane capacitive current.<sup>29</sup> However, such a steep slope of temperature increase precludes its application in vivo due to the challenges in focusing a pulsed laser through scattering tissues. New technologies and concepts are still sought to achieve nongenetic and precise neural stimulation.

Our team has recently developed high-precision nongenetic photoacoustic (PA) stimulation. In a PA process, nanosecond laser pulses are converted into acoustic waves through optical absorption by the absorbers and subsequent thermal expansion of the surrounding medium. The PA approach offers the merits of being non-contacting with a high penetration depth via ultrasound as well as high spatial precision and multiplexing capacity via photons. Enabled by chemical synthesis and material design, we have designed different materials and devices as highly efficient PA transducers, including fiber-based photoacoustic emitters,<sup>1,2,30–32</sup> PA films,<sup>3</sup> PA nanoparticles,<sup>33</sup> and optically driven focused ultrasound.<sup>4</sup> They have been applied as implants minimally invasive, and noninvasive neural interfaces for different applications. Notably, in the PA effect, the size of the generated ultrasound field is determined by the laser illumination with optical resolution.<sup>34–36</sup> The generated broadband ultrasound with a pressure of several kPa to approximately 50 MPa and a central frequency of around 10 MHz was shown to successfully stimulate rat cortical neurons in culture, brain tissues, and rodent brains.

In this Account, we will first review the basic principle of PA and discuss the key design elements of PA transducers for neural modulation guided by the principle. We will also highlight how these design goals were achieved from the material chemistry perspective. The design of different PA interfaces, their unique capability, and their applications in neural systems will be reviewed. In the end, we will highlight the remaining challenges and future perspectives for this technology.

## 2. PRINCIPLES AND DESIGN ELEMENTS FOR PA NEURAL STIMULATION

### 2.1. Principles of PA Generation

In a photoacoustic process, laser pulses are optically absorbed by absorbers, generating transient heating through non-radiative decay and subsequent thermal expansion and compression of thermal elastomers or the surrounding medium, resulting in mechanical waves at the ultrasound frequency. A detailed mathematical and physics description has been previously reviewed.<sup>37,38</sup> In summary, when the duration of laser irradiation is shorter than the thermal and stress dissipation time, PA signals are efficiently generated. These two requirements are called thermal confinement and stress confinement and can be described by the following equations.<sup>39</sup>

$$\tau_{th} < \frac{L^2}{4D} \quad (1)$$

$$\tau_{st} < \frac{L}{v} \quad (2)$$

Here,  $L$  is the linear dimension of the heated volume,  $D$  is the thermal diffusivity, and  $v$  is the speed of sound in the medium. Considering a 20- $\mu\text{m}$ -thick polydimethylsiloxane (PDMS) film, a common thermal elastomer used in our design, the thermal confinement time  $\tau_{th}$  and stress confine-

ment time  $\tau_{st}$  are 885 and 17 ns, respectively. Therefore, a nanosecond pulsed laser is typically used to generate the PA effect.<sup>40</sup> The generated PA pressure can be described by the following equation<sup>39</sup>

$$P = \frac{\beta v^2}{C_p} \alpha F \quad (3)$$

where  $\beta$  is the thermal expansion coefficient,  $C_p$  is the principal heat capacity at constant pressure,  $\alpha$  is the absorption coefficient, and  $F$  is the light fluence density of the laser. Equation 3 is often reconfigured as follows by introducing the Gruneisen parameter  $\Gamma = \frac{\beta v^2}{C_p}$

$$p = \Gamma \alpha F \quad (4)$$

Designing PA transducers based on absorbers and thermal elastomers with larger absorption coefficients and improved Gruneisen parameters leads to more efficient PA generation. The simplified molecular model of the Gruneisen parameter for polymer-based elastomers shows the following estimate<sup>41</sup>

$$\Gamma = 3 \sqrt{\frac{k}{2D_e}} = 3\alpha_w \quad (5)$$

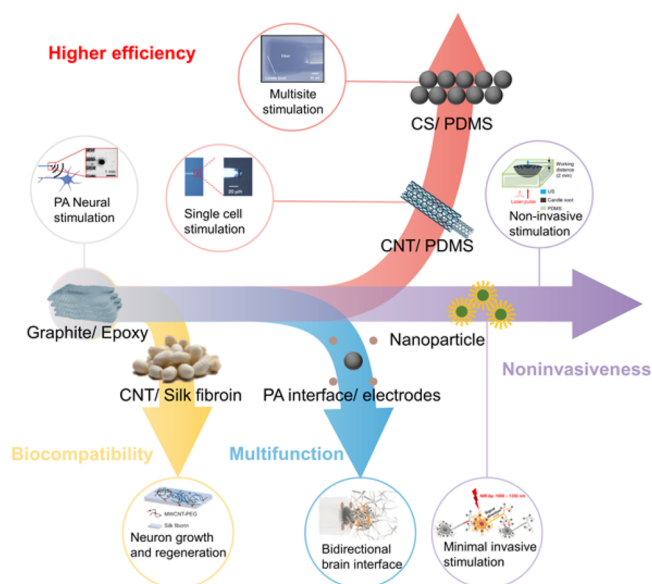
where  $D_e$  is the depth of the well in the potential energy,  $k$  is the bond strength, and  $\alpha_w$  describes the width of the potential between two repeat units which is related to the anharmonicity of the bond potential. Equation 5 highlights the importance of stronger anharmonicity of the chemical bond between repeat units for achieving greater Gruneisen parameters and, consequently, higher PA conversion efficiency.

## 2.2. Key Design Elements for PA Interfaces for Neural Stimulation

Achieving high conversion efficiency is one of the key goals in designing PA transducers. Applying these PA transducers interfacing with neural systems also imposes additional requirements. Here we review several key design elements considered and strategies utilized when developing PA interfaces for neural stimulation (Figure 1)

**2.2.1. High Efficiency.** The essential goal is to ensure producing a PA pressure at the level of MPa for PA stimulation. A highly efficient photoacoustic emitter is advantageous from two perspectives. First, it reduces the risk of thermal damage in neural tissues. Second, the lower energy requirement opens up the potential to apply PA stimulation in deeper tissue as it can afford more energy loss due to scattering.

Carbon materials, especially  $sp^2$ -hybridized carbon materials including graphite, CNTs, and carbon nanoparticles, are good absorber candidates. The highly delocalized  $\pi$  electrons enable a strong broadband absorption in the visible and near-infrared (NIR) range with over 99% light absorption in a few micrometers. Furthermore, these carbon materials maintain their optical properties under high-intensity laser irradiation due to their strong chemical stability. In the fiber-based PA transducer design we have shown that increasing the absorption coefficient of absorbers as well as the thermal expansion coefficients of thermal elastomers is a successful strategy for improving the experimental PA conversion efficiency. Specifically, the absorption coefficients from graphite to CNTs and CS are increased by 3-fold and 4.1-fold, respectively.<sup>42–44</sup> The thermal expansion coefficients from epoxy to PDMS are increased by approximately 4.1-fold.<sup>45,46</sup>



**Figure 1.** Key design elements and goals of photoacoustic (PA) interfaces for neural stimulation. Reproduced or adapted with permission from ref 1 (copyright 2020 Nature Publishing Group), ref 2 (copyright 2021 Nature Publishing Group), ref 3 (copyright 2022 American Chemical Society), ref 4 (copyright 2022 Nature Publishing Group), ref 31 (copyright 2022 Frontiers Publishing Group), ref 32 (copyright 2023 John Wiley and Sons), and ref 33 (copyright 2021 Elsevier).

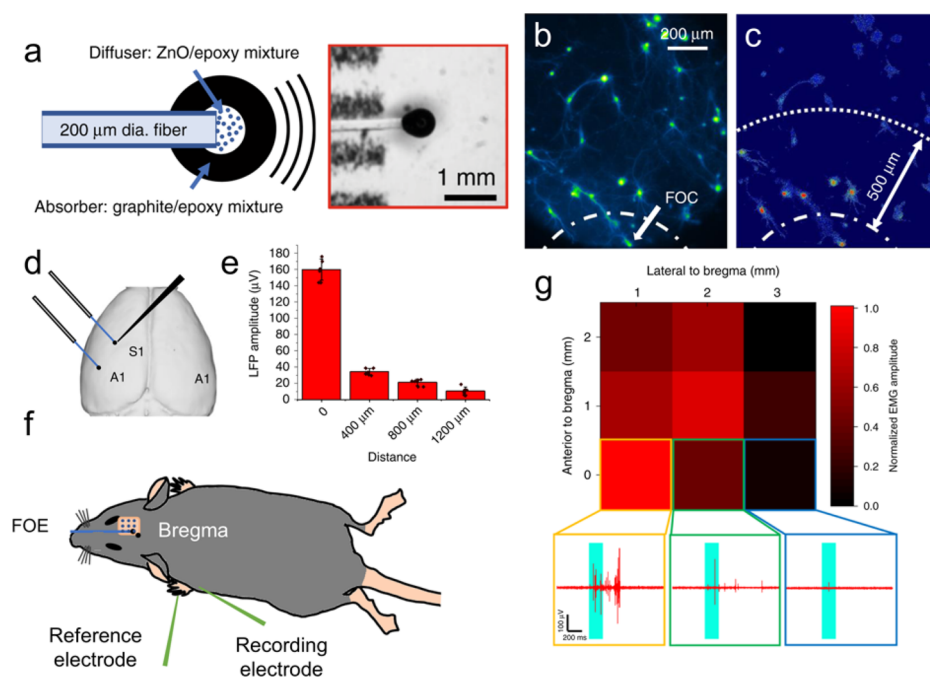
With the evolution of the PA emitters, the conversion efficiency measured experimentally is increased by 12–18-fold. The improved conversion efficiency thus enables single-cell neural stimulation and multisite neural stimulation with a minimal temperature increase.<sup>31,2</sup>

**2.2.2. Biocompatibility.** Biocompatibility is the key requirement for implantable neural interfaces, especially for chronic applications. We have adapted soft and biocompatible materials, such as polydimethylsiloxane (PDMS), as thermal elastomers in the PA interfaces. We further developed a CNT and silk fibroin hydrogel-based PA film. Its excellent biocompatibility and adjustable mechanical properties and biodegradability allow neurons and neural tissues to be culturable on the silk fibroin PA film. Furthermore, we demonstrated the capacity of a silk fibroin PA film for neural stimulation and neural regeneration through PA stimulation.<sup>3</sup>

**2.2.3. Multifunction.** Bidirectional communication, including “write” and “read”, with dynamic local circuits inside the brain is an invaluable approach for fundamental studies of neural circuits and effective clinical treatment of neurological diseases. Additionally, the bidirectional neural interface paves the way for closed-loop control. It could enable more sophisticated real-time control over neural dynamics and behaviors and could achieve effective therapeutic effects in neurological diseases.<sup>47,48</sup> We have demonstrated a miniaturized multifunctional fiber-based optoacoustic emitter (mFOE) that for the first time integrates simultaneous optoacoustic stimulation for “write” and electrophysiology recording of neural circuits for “read”.<sup>32</sup>

**2.2.4. Noninvasiveness.** Compared to the implantable interface, minimally invasive neural stimulation and non-invasive neural stimulation mitigate the risk of surgical procedures and are considered to be safer, most cost-effective, and accessible research tools and clinical treatments. We have





**Figure 2.** High-precision brain stimulation via fiber photoacoustic emitters. (a) Schematic of a fiber optoacoustic emitter (FOE). Inset: FOE tip under a stereoscope. (b) Fluorescence image of GCaMP 6f labeled primary cortical neurons before 200 ms FOE stimulation. FOC: fiber-optoacoustic converter, same as FOE. Dashed lines: placement of FOE. (c) Map of maximum  $\Delta F/F$  after PA stimulation. (d) Schematic of the placement of FOE in the somatosensory cortex (S1) and primary auditory cortex (A1) and ipsilateral recording electrode in an S1 test of the spatial confinement of 200 ms FOE stimulation. (e) LFP amplitude recorded at different distances from the FOE. (f) Schematic of the modulation of motor activity by FOE stimulation. (g) Heat map showing the maximum peak-to-peak EMG response amplitude to FOE stimulation on different locations of the motor cortex. Insets: representative EMG traces from indicated locations. Reproduced or adapted with permission from ref 1. Copyright 2020 Nature Publishing Group.

developed a photoacoustic nanotransducer (PAN) which provides an exciting opportunity for minimally invasive neural modulation.<sup>33</sup> PANs uniquely absorb NIR-II light, which has been reported to have sufficient penetration depth in a highly scattering medium and through the human skull, potentially enabling nonsurgical brain stimulation.<sup>49,50</sup> Another strategy for achieving noninvasive PA brain stimulation is designing optically driven focused ultrasound, in which a soft curved PA film acts as an ultrasound lens and can be applied transcranially.<sup>4</sup> We have demonstrated that the ultrasound focus produced is less than 100  $\mu\text{m}$  in size and has achieved high-precision brain stimulation in rodents.

In the next few sections, we will discuss each interface design in more details with respect to their key elements and specific applications.

### 3. FIBER-BASED HIGH-PRECISION PA STIMULATION

#### 3.1. Brain Stimulation through a Fiber Photoacoustic Emitter (FOE)

FOE generates a confined ultrasound field at the fiber tip, acting as a PA point source. In the first generation of FOE (Figure 2a),<sup>1</sup> the mixture of graphite and epoxy was coated at the tip of a 200  $\mu\text{m}$  optical fiber. PA pressure of 0.48 MPa at 1 MHz was generated upon 46  $\text{mJ}/\text{cm}^2$  laser irradiation. As shown in Figure 2b,c, with 0.48 MPa pressure, neurons within 500  $\mu\text{m}$  of the FOE showed reliable stimulation, demonstrating its submillimeter spatial resolution.

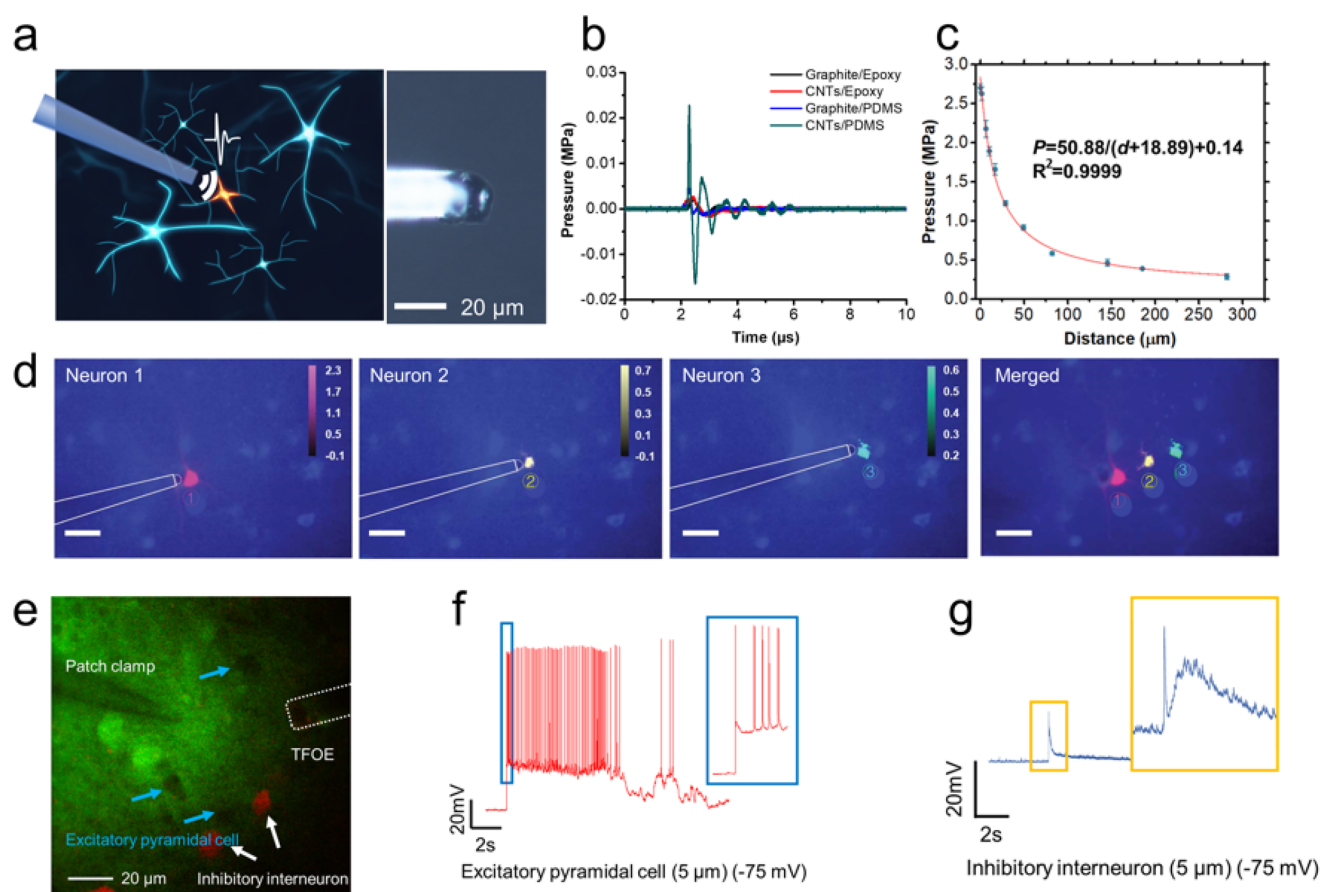
FOE successfully induced direct activation in the mouse brain. FOE was placed at the surface of the mouse cortex with the dura intact (Figure 2d). A maximum of a 160  $\mu\text{V}$  local field

potential (LFP) signal was recorded through a microelectrode placed at the stimulation site upon FOE stimulation (Figure 2e). At 400  $\mu\text{m}$  away from the stimulation site, the LFP response decreased from 160  $\mu\text{V}$  to less than 40  $\mu\text{V}$  (Figure 2e). The behavior response upon PA stimulation of the motor cortex by FOE was studied through electromyography (EMG) recording (Figure 2f). A strong EMG signal of  $396.7 \pm 135.8 \mu\text{V}$  was detected from the forelimb triceps brachii muscle after FOE stimulation. A  $3 \times 3 \text{ mm}^2$  area with 1 mm spacing in the motor cortex was scanned by FOE stimulation (Figure 2g). EMG showed a distinguishable strong, medium, and weak response, demonstrating the submillimeter resolution of FOE stimulation. In conclusion, FOE showed successful direct neural stimulation in both neuron cultures and mouse brains with submillimeter precision.

#### 3.2. Single-Neuron PA Stimulation through a Tapered Fiber Optoacoustic Emitter (tFOE)

Taking advantage of optics, tapering the tip-coated optical fiber allows PA neural modulation with a spatial precision determined by the confinement of the generated ultrasound. We have developed tFOE, a PA emitter based on a tapered optical fiber with a 20  $\mu\text{m}$  diameter, generating a localized ultrasound of  $\sim 40 \mu\text{m}$ , a size comparable to the size of the individual neuron (Figure 3a).<sup>2</sup> In addition, the ultrasound field is significantly smaller than the diffraction limit of the 10 MHz ultrasound, which is 148  $\mu\text{m}$ , resulting in a point ultrasound source. Therefore, PA pressure decays with the distance away from the tFOE and results in minimized mechanical disruption essential for successful whole-cell patch clamp experiments, which was previously found challenges for transducer-based ultrasound neural stimulation.





**Figure 3.** Single neuron stimulation via tapered fiber optoacoustic emitters. (a) Schematic (left) and images (right) of a tapered fiber optoacoustic emitter (tFOE). (b) Pressure amplitude in the time domain using graphite/epoxy (black), CNTs/epoxy (red), graphite/PDMS (blue), and CNTs/PDMS (green) coatings on a 200 μm fiber under the same laser condition, respectively. (c) Detected pressure plotted as a function of the distance between the needle hydrophone and tFOE. (d) Sequential 1 ms stimulation of three neurons. Max  $\Delta F/F$  labeled in red, yellow, and green superimposed on the fluorescence image. Scale bars: 50 μm. (e) Two-photon imaging of a patch clamp integrated with tFOE in a mouse brain slice targeting GAD2-tdTomato negative pyramidal neurons and GAD2-tdTomato positive inhibitory interneurons. (f) Membrane voltage response in an excitatory pyramidal cell and (g) inhibitory interneuron at a membrane voltage of  $-75$  mV upon tFOE stimulation (5 ms) at a distance of 5 μm. Reproduced or adapted with permission from ref 2 (copyright 2021 Nature Publishing Group) and ref 42 (copyright 2020 Elsevier).

A mixture of CNTs and PDMS was used in tFOE as a better PA coating compared to graphite and epoxy, due to the larger absorption of CNTs and the larger thermal expansion of PDMS. In addition, in the composite design, compared to graphite, the thermal conductivity of CNTs is 1 order higher than graphite, due to the minimal phonon scattering.<sup>51</sup> This higher thermal conductivity facilitates heat transferring from absorbers, i.e. CNTs to elastomers such as PDMS, and also contributes to an increased PA conversion coefficient. Compared to the graphite/epoxy PA emitter, the CNT/PDMS PA emitter shows a 12 times higher PA pressure under the same laser condition (Figure 3b), confirming its higher PA conversion efficiency.<sup>52</sup>

Through a punch-through approach, a mixture of CNT/PDMS with 15% w/w CNT was coated uniformly on the tapered optical fiber. Upon irradiation by a laser pulse energy of 6.7 μJ, tFOE generated a highly localized ultrasound field with a size of 39.6 μm diameter and a peak pressure of 2.7 MPa (Figure 3c). As shown in Figure 3d, individual neurons were selectively activated by tFOE in sequential stimulation. Our studies demonstrated both single-cell and subcellular stimulation by tFOE. Temporally, we have shown that a single submicrosecond acoustic pulse converted by the tFOE from a

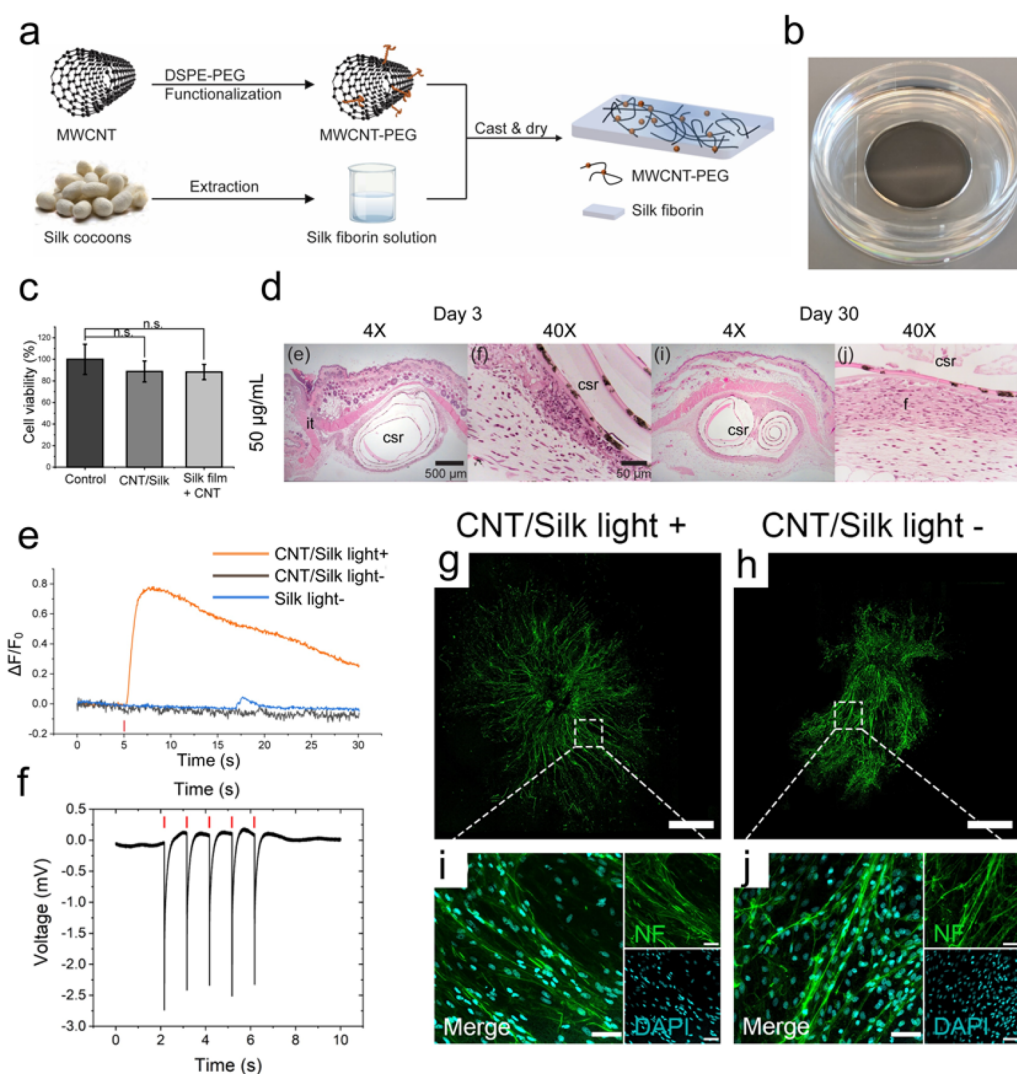
single 3 ns laser pulse is the shortest acoustic stimulus so far for successful neuron activation.<sup>2</sup>

Direct measurements of the electrical response of single neurons are difficult for transducer-based ultrasound stimulation. The localized ultrasound generated by tFOE enabled performing highly stable patch-clamp recording during optoacoustic stimulation on a single neuron inside a brain slice. GAD2-tdTomato positive inhibitory interneurons and GAD2-tdTomato negative pyramidal neurons can be selectively targeted in the brain slices from mice expressing tdTomato in GAD2 interneurons (Figure 3e–g).

#### 4. BIOCOMPATIBLE PA INTERFACES

When developing PA neural interfaces for implantations, biocompatibility is another key requirement. Compared to rigid metal- or semiconductor-based implants, a PA interface can be made using soft biocompatible materials, e.g., PDMS, which have a lower Young's modulus of 1–3 MPa closer to that of soft tissues.<sup>53</sup>

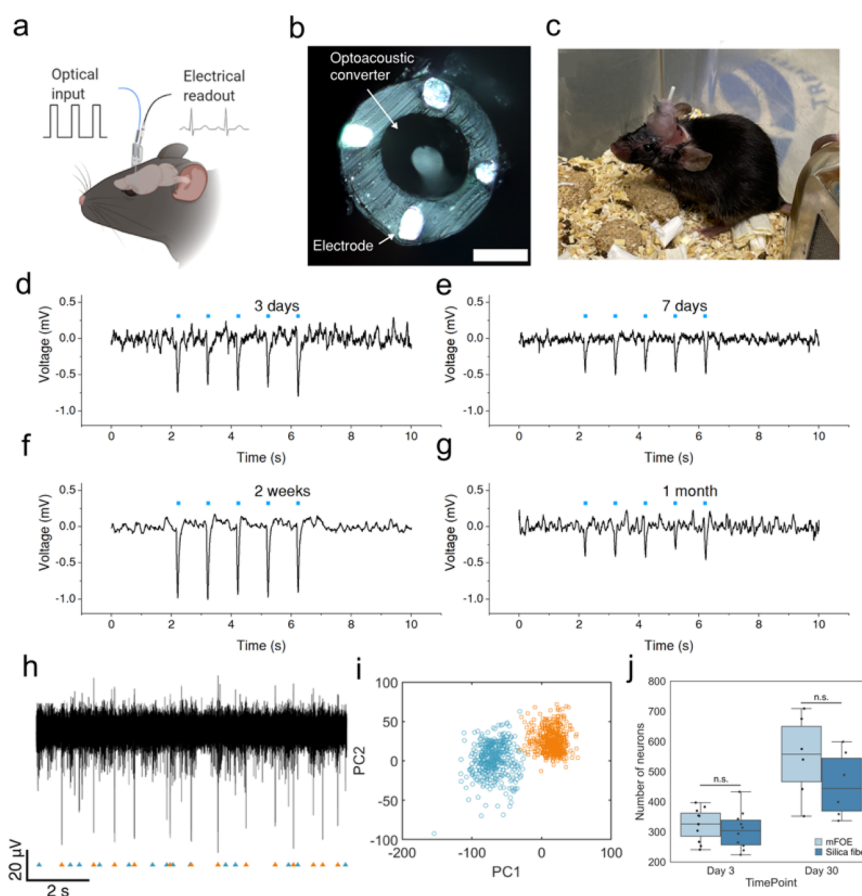
Another strategy for developing a highly biocompatible PA interface is to utilize a hydrogel-based nanocomposite approach. The high water content and matching biomechanical properties of hydrogels allow them to interact with tissues



**Figure 4.** Neural stimulation and regeneration via biocompatible PA films. (a) Schematic of fabrication of CNT/silk PA films. (b) Photograph of CNT/silk PA films. (c) Cell viability of neurons cultured on silk film as a control, CNT/silk film, and silk film with freeform CNT. Error bars represent the standard deviation ( $n = 5$ ). (d) Representative images of mouse skin with CNT/silk roll implants. it, insertion tract; csr, CNT/silk roll; and f, fibroplasia and granulation tissue. (e) Representative calcium traces of a neuron cultured on a CNT/silk film within the laser illumination area and out of the laser illumination area and cultured on a silk film within the laser illumination area. (f) Extracellular recording of DRG explants cultured on the CNT/silk film. (g, h) Representative confocal images of DRGs stained for neurofilament (green): DRGs cultured on CNT/silk film (g) with laser illumination and (h) without laser illumination. Scale bar: 1 mm. (i, j) High-resolution confocal images of DRGs stained with Anti-Neurofilament 200 (NF) for neurites (green) and DAPI for nuclei (cyan). Scale bar: 50  $\mu\text{m}$ . Reproduced or adapted with permission from ref 3 (copyright 2022 American Chemical Society).

seamlessly. Recently, hydrogels have been used in the interface between humans and machines.<sup>54,55</sup> Owing to its superior biocompatibility and controllable biodegradability, silk fibroin has been widely used as the scaffold for tissue regeneration.<sup>56</sup> We have prepared CNT/silk PA films with 20  $\mu\text{g}/\text{mL}$  1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-amino-(polyethylene glycol) (DSPE-PEG)-modified CNT uniformly dispersed in silk<sup>3</sup> (Figure 4a,b). The high biocompatibility of the CNT/silk film was confirmed through in vitro and in vivo studies. The cell viability of neurons cultured on CNT/silk films was comparable to that obtained on coverslips (Figure 4c). H&E staining of histological slices of the explanted scaffold showed that the acute inflammatory response observed on day 3, which was consistent with the literature-reported mild foreign body reaction to a silk implant and was cleared by 30 days (Figure 4d).

CNT/silk films serve as growth substrates for neuron or neural tissue culture while providing the function of stimulating neurons. Notably, stimulation was achieved with a laser irradiation of 29.4  $\text{mJ}/\text{cm}^2$ , which corresponds to 185 kPa PA pressure (Figure 4e,f). This is substantially lower than the PA pressure used in the FOE in vitro experiments. We attributed this difference to the superior biocompatibility of CNT/silk enhancing the adhesion as a culturing substrate or scaffold, which results in a much closer distance between the PA film and neurons and less acoustic attenuation, compared to the FOE in vitro stimulation. A 1.63-fold increase in growth was observed in the PA-stimulated DRG culture compared to the DRG culture without PA stimulation, indicating the acceleration of regeneration (Figure 4g–j). In conclusion, highly biocompatible PA film can evoke the activation of



**Figure 5.** Bidirectional brain stimulation via multifunctional fiber-based optoacoustic emitters (mFOE). (a) Illustration of the mFOE-enabled bidirectional neural communication using a laser signal as input and an electrical signal as readout. (b) Top view of the mFOE. Scale bar: 100  $\mu\text{m}$ . (c) mFOE was implanted into the hippocampus of a C57BL/6J mouse. (d–g) Simultaneous optoacoustic stimulation and electrophysiological recording performed at (d) 3 days, (e) 7 days, (f) 2 weeks, and (g) 1 month after implantation. Blue dots, the laser pulse trains. (h) Part of the filtered spontaneous activity containing two separable groups of spikes recorded by an mFOE electrode 1 month after implantation. (i) Principal-components analysis (PCA) of the two groups of spikes. (j) Number of neurons in the field of view with implanted fibers, calculated by counting the NeuN-positive cells for mFOE and silica fiber at 3 days and 1 month after implantation ( $n = 10$ ). Reproduced or adapted with permission from ref 32 (copyright 2023 John Wiley and Sons).

neurons or neural tissues interfacing with it, opening potentials for PA implants in brain or retina stimulation.

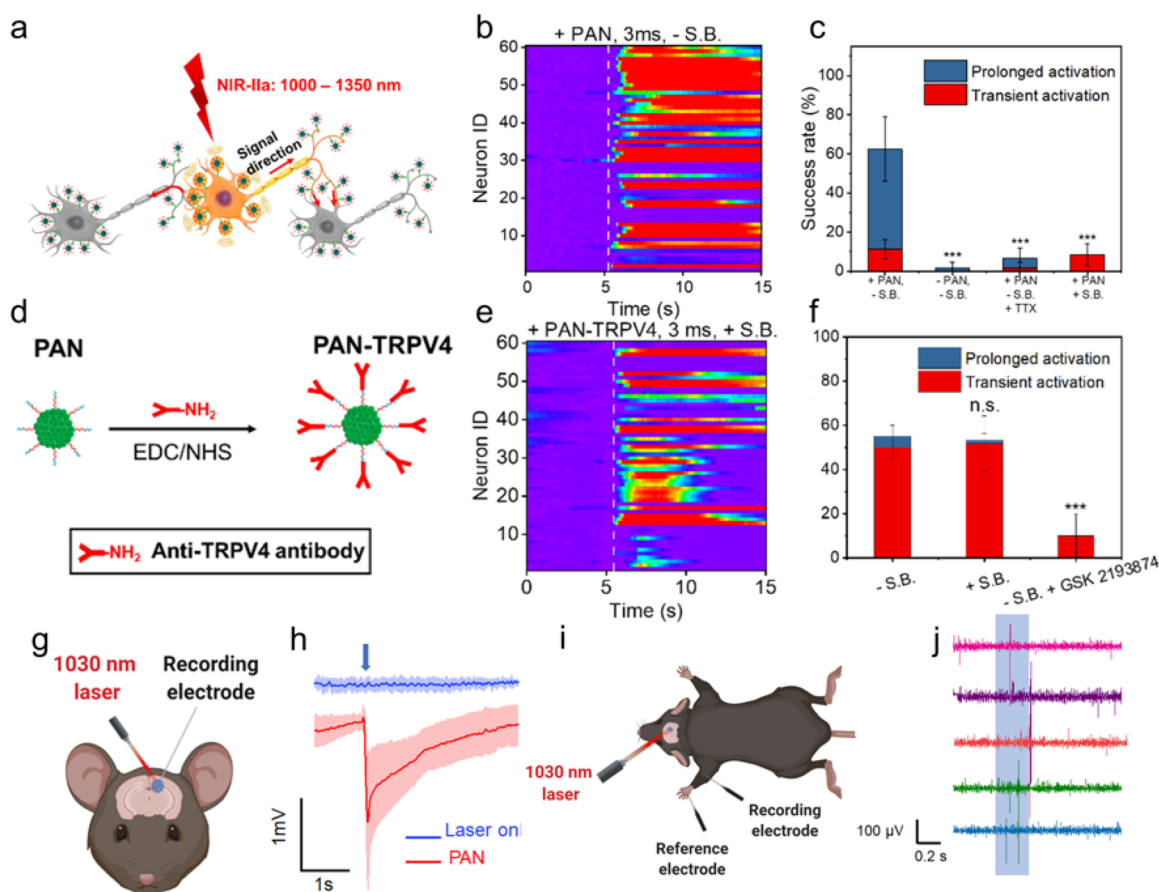
## 5. MULTIFUNCTIONAL PA INTERFACE WITH RECORDING CAPABILITY

A bidirectional brain interface with both stimulation and recording functions is an important tool for fundamental studies and potential clinical treatments, and enables closed-loop stimulation. To integrate the PA stimulation function with direct electrical recording in the deep brain with a single unit recording capability, a multifunctional fiber-based photoacoustic emitter (mFOE) has been developed as a bidirectional PA interface.<sup>32</sup> Previously, Anikeeva et al. have developed multifunctional fibers to integrate optogenetic neuromodulation and electrical/chemical recording.<sup>57</sup> Distinct from these multifunctional fibers, mFOE generates PA waves from the fiber tip to stimulate neurons nongenetically while recording the electrical responses through the surrounding microelectrodes (Figure 5a). Instead of a traditional silica fiber with a 70 GPa Young's modulus, a polymer-based fiber with a polycarbonate (PC) core and polyvinylidene difluoride (PVDF) cladding was chosen to fabricate the mFOE with a 2.5 GPa Young's modulus. The lower Young's modulus results

in lower bending stiffness and decreases the mechanical mismatch with tissues. To generate PA signals, the core area of the fiber was coated with a carbon black (CB)/PDMS mixture as the PA emitter (Figure 5b). Compared to CNTs, CB nanoparticles in thick polymers show less viscosity due to their low aspect ratio, low surface area, and corresponding fewer interactions with polymers, which facilitates the precise coating.<sup>58</sup>

A PA pressure of 2.3 MPa generated by mFOE with a 41.8  $\mu\text{J}$  laser successfully stimulated the mouse brain while simultaneously recording the LFP signal through electrodes. Both functions were demonstrated in the mFOE-implanted hippocampus of C57BL/6J mice. After surgery, mice can move freely with implanted mFOE (Figure 5c). Simultaneous PA stimulation and LFP recording were performed 3 days, 7 days, 2 weeks, and 1 month after surgery (Figure 5d–g). Up to one mV LFP was detected by mFOE after delivering a NIR laser, indicating reliable PA stimulation. The decrease in the signal amplitudes can be attributed to multiple factors. For example, a glial scar formed around the implanted electrodes. These effects can not only block the propagation of the signal but also push neurons away from the electrodes, therefore reducing the amplitude of the signal. Further optimization of the electrode surface coating could improve the reliability of the record-





**Figure 6.** Minimally invasive brain stimulation via PA nanotransducers (PAN). (a) Scheme of the PAN-induced neural stimulation. (b) Color maps of fluorescence changes in neurons stimulated by PANs with a 3 ms pulse train. White dashed lines indicate laser onsets. (c) Success rate analysis of PAN-induced neuron stimulation profiles in the presence/absence of synaptic blockers or TTX. Error bars denote the standard deviation (SD) ( $n = 60$ ). (d) Schematic of PAN-TRPV4 synthesis. (e) Color maps of the fluorescence intensity change in neurons treated with PAN-TRPV4 without synaptic blockers. (f) Success rate analysis. Error bars denote SD ( $n = 60$ ). (g) Schematic of in vivo electrophysiology measurement with directly injected PAN. (h) Representative electrophysiology curves measured in the brain region without PANs as the control group (blue) and in the PAN-treated region (red) on three mice. The blue arrow indicates that the laser is on. (i) Schematic of EMG recording with directly injected PAN. (j) Forelimb EMG response to motor cortex PAN stimulation. Data are presented as the SD. Reproduced or adapted with permission from ref 33 (copyright 2021 Elsevier).

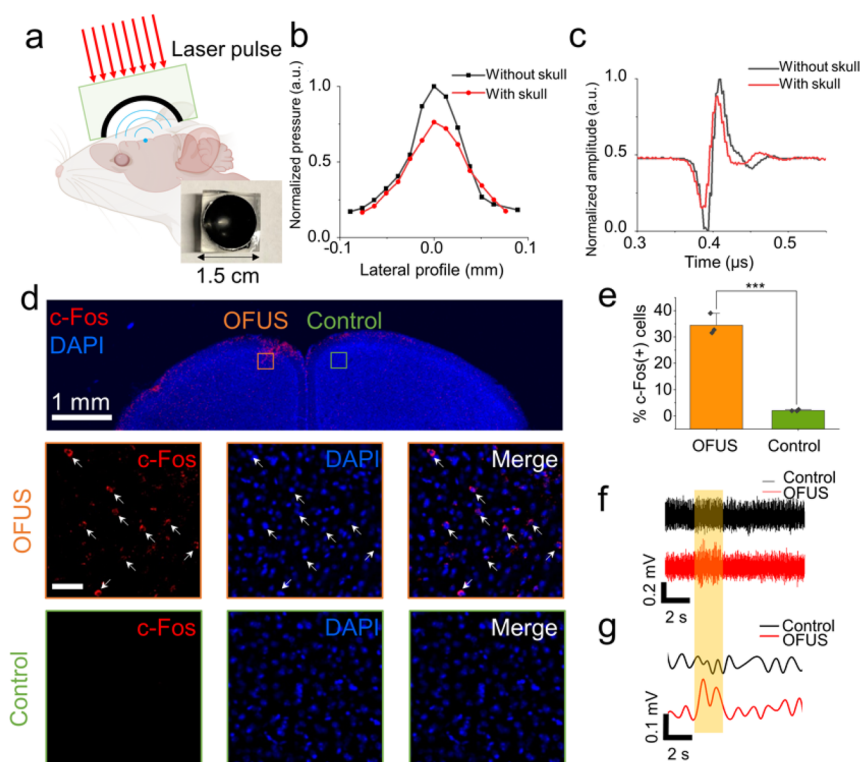
ing.<sup>59,60</sup> Besides LFP, mFOE can also record the spike signals from neurons. As shown in Figure 5h,i, two types of spikes with different shapes were detected by mFOE 1 month after implantation. Using the flexible and biocompatible polymer fibers, the foreign body response due to the implant was reduced compared to that of the silica fiber, which was validated through increased total neuron numbers after 1 month of implantation (Figure 5j). Together, through integrating the PA emitter into a multifunctional fiber, we showed a chronic and bidirectional fiber-based interface performing PA stimulation and electric recording in the brain.

## 6. NONINVASIVE PA STIMULATION

### 6.1. Photoacoustic Nanotransducers (PANs) toward Noninvasive PA Brain Stimulation

One potential strategy for noninvasive PA brain stimulation is to combine biocompatible nanoparticles as strong PA agents, delivery to the brain through intravenous injection, and NIR light potentially penetrating skulls (Figure 6a). We have developed photoacoustic nanotransducers (PAN) as a key component toward achieving this strategy.<sup>33</sup> Our PAN is based on semiconducting polymer (SP) bis-isoindigo-based (BTII)

that absorbs strongly in NIR. SPs also allow versatile functionalization and modification. PANs with a diameter of  $58.0 \pm 5.2$  nm were fabricated through nanoprecipitation and modified with amphiphilic surfactants polystyrene-*block*-poly-(acrylic acid) (PS-*b*-PAA) through  $\pi$ - $\pi$  stacking. The rich carboxyl groups in PAA result in a negative surface potential, stabilizing the nanoparticles and allowing effective binding to the neuronal membrane through charge-charge interactions.<sup>61</sup> Notably, the nanoparticle-based system exhibited a much lower efficiency of  $2.5$  (Pa m<sup>2</sup>)/J at a concentration of 1 mg/mL PANs, compared to  $130$  (Pa m<sup>2</sup>)/J exhibited in the tFOE. This reduced efficiency can be attributed to the low absorption due to the low concentration of PAN vs carbon absorbers in the fiber devices and the low Gruneisen parameter of water in the PAN system, compared to PDMS in the fiber devices. Despite the lower energy conversion efficiency, PANs can effectively stimulate neurons with a laser energy density similar to that of FOE. We attribute this efficacy to the closer proximity between the nanoparticles and neurons ( $\sim 10$  nm) in comparison to FOE ( $\sim 5$ – $500$   $\mu$ m). For an NIR laser with 21 mJ/cm<sup>2</sup>, successful activation of neurons in the culture was confirmed by Ca<sup>2+</sup> imaging (Figure 6b).  $62.5 \pm 21.3\%$  of neurons under the illumination area were activated, with 11.2



**Figure 7.** Noninvasive brain stimulation via soft optoacoustic pads (SOAP). (a) Schematic of SOAP brain stimulation. Inset: photograph of SOAP. (b) The lateral resolution of optically driven focus ultrasound without and with a piece of mouse skull. (c) Time domain optoacoustic signals measured at the focus without and with a piece of mouse skull. Results were normalized to the peak pressure without the skull ( $n = 3$ ). (d) Representative images of c-Fos and DAPI staining. Red: c-Fos. Blue: DAPI. Orange outline: stimulated area. Green outline: control group in the contralateral area. Scale bar:  $50 \mu\text{m}$ . (e) Statistical analysis of the percentage of c-Fos positive neurons. (f) Representative EMG recordings of 2 s SOAP stimulation and control group targeting the somatosensory cortex. Orange box: laser on. (g) EMG signals after the band-pass filter and full-wave rectifier and envelope. Reproduced or adapted with permission from ref 4 (copyright 2022 Nature Publishing Group).

$\pm 4.8\%$  direct activation and  $51.3 \pm 16.5\%$  prolonged activation (Figure 6c). We also conjugated anti-TRPV4 antibodies on PAN to form PAN-TRPV4 to specifically target TRPV4, a mechanosensitive ion channel on the neuron membrane (Figure 6d). Compared to PAN stimulation, the response of neurons under PAN-TRPV4 stimulation shows a total successful rate of 55% and is dominated by direct activation (Figure 6e,f).

Brain stimulation with PANs was performed by directly injecting PANs into the primary motor cortex of C57BL/6 mice (Figure 6g). One hour after injection, strong LFP was triggered with a 3 ms laser pulse train at  $21 \text{ mJ}/\text{cm}^2$  (Figure 6h). PAN stimulation of the motor cortex also altered the behavior (Figure 6i). A  $428.8 \pm 119.0 \text{ mV}$  EMG response was triggered in the forelimb triceps brachii muscle with a 200 ms,  $21 \text{ mJ}/\text{cm}^2$  NIR pulse train (Figure 6j). These results suggest that PANs pave a new way to achieve minimally invasive PA stimulation methods through miniaturizing the PA interface into the nanoscale.

## 6.2. Noninvasive PA Neuromodulation via Soft Optoacoustic Pads (SOAP)

Due to the high penetration depth of ultrasound in tissues, PA interfaces that generate focused ultrasound can perform transcranial neuromodulation<sup>4</sup> (Figure 7a). Flexible PA material based on PDMS can be shaped into a curved ultrasound lens with a numerical aperture reaching 0.95, approximating the theoretical limit of 1, to produce an ultratight focus of  $66 \mu\text{m}$  at a working distance of 2 mm

(Figure 7b). This focus size is only half of the most confined ultrasound focus achieved by an ultrasound transducer operating at the same frequency of 15 MHz. With  $5.4 \text{ mJ}/\text{cm}^2$  NIR irradiation, 29.8 MPa PA pressure was generated at the focus point of SOAP with 69% PA amplitude preserved after penetrating an intact mouse skull (Figure 7c).

The *in vivo* neurostimulation study via SOAP was performed on adult C57BL/6 J mice. As shown in Figure 7d,e, immunofluorescence imaging of brain slices after stimulation showed a positive c-Fos signal within a  $200\text{-}\mu\text{m}$ -diameter area in the focus point after a 30 min 8.5 MPa PA stimulation with a 33% duty cycle. This result demonstrates the superior spatial resolution compared to that of conventional ultrasound, which is around a few millimeters. In addition,  $0.458 \pm 0.03 \text{ mV}$  EMG signals were recorded at the hind limb with 20 cycles of PA stimulation from SOAP in the motor cortex (Figure 7f,g), indicating the functional outcome of the SOAP noninvasive stimulation of the mouse brain. In summary, noninvasive PA stimulation of the brain with an ultrahigh spatial resolution has been achieved through SOAP.

## 7. REMAINING CHALLENGES AND OUTLOOK

Devices and materials acting as active interfaces to modulate neuronal activities are powerful tools for fundamental studies and potential non-drug disease treatment. Our development of various PA platforms has filled an important gap in the neuromodulation field by enabling nongenetic neural stimulation with ultrahigh spatial precision. We have demonstrated various PA forms including fiber-based emitters, nano-

transducers, films, and optically driven focused ultrasound. We have further successfully applied them in neuron cultures, neural tissues, and animal studies as implantable, injectable, and noninvasive approaches.

PA has been shown as a safe neural stimulation approach. From the design perspective, first, we chose 1030 nm for PA neural stimulation, as water and brain tissue exhibit a low absorption coefficient (on the order of  $10^0 \text{ cm}^{-1}$ ) at this wavelength,<sup>62</sup> minimizing laser–tissue interaction. Second, in the fiber-based photoacoustic emitters, the PA coating is based on strong absorbers with an absorption depth typically around 10  $\mu\text{m}$ . Thus, with the coating thickness greater than the absorption depth, the majority of the laser energy is delivered through the fiber and absorbed by the PA coating, and light leaking is neglected. The mechanical index of the PA stimulation was found to be less than 1, below the FDA-approved value of 1.9 (FDA-2017-D-5372). Experimentally, our results have confirmed no tissue damage observed in vivo used in the photoacoustic brain stimulation.<sup>1,4</sup>

The molecular and cellular mechanism of PA stimulation is still unclear. Mechanistic studies of ultrasound stimulation have proposed several possible mechanisms of acoustic neuromodulation in general, which include transient sonoporation, membrane capacitance change due to mechanical deformation, and mechanosensitive ion channel involvements.<sup>63</sup> Photoacoustics delivers distinct features, including a broader bandwidth and shorter pulse width, compared to transducer-produced ultrasound. Mechanism hypotheses need to be reexamined in the context of photoacoustic stimulation. Our work recently observed an enhancement in the direct PA stimulation through specifically targeting PANs to mechanosensitive ion channels, such as TRPV4.<sup>33</sup> More recently, we showed that ion channels, including TRPP1, TRPP2, and TRPM4, calcium-gated and low-threshold ion channels, are also involved in the PA stimulation,<sup>64</sup> similar to that reported by Shapiro et al. for transducer-based ultrasound neural stimulation.<sup>65</sup>

In addition, evaluation and understanding of the photo-thermal effect associated with the PA process also presents opportunities to understand the molecular mechanism of these nonelectrical neurostimulation methods. Specifically, we note that less than 5% of the laser energy is converted into ultrasound by PA transducers, while the rest of the energy is dissipated into heat. Meanwhile, the temperature increase associated with successful PA stimulation is less than 1  $^\circ\text{C}$ ,<sup>2,3,33</sup> below the threshold of thermal activation of thermosensitive ion channels in neurons, and causes no thermal damage. More recently, using FOE, we systematically investigated PA and PT neuromodulation at the single-neuron level. Our results show that to achieve the same level of cell activation the laser energy needed for PA neurostimulation is 1/40 of that needed for PT stimulation.<sup>64</sup> Using a tFOE, we also compared the electrophysiology response at the single-cell level to PT and PA stimulation using patch clamp recording. Insights gained on the cellular mechanism of PA and ultrasound methods will deepen our understanding of neuroscience and facilitate the rational design of safer and more effective methods for clinical applications.

New designs of PA materials with higher PA conversion efficiency are needed. With a PA conversion of less than 5%, current PA interfaces still rely on the high power nanosecond laser to generate sufficient PA pressure for stimulation. In the current PA neural stimulation, a commercially available

nanosecond laser with the size of a pencil box is utilized to produce laser pulse trains with 3–5 ns laser pulses, which were delivered through an optical fiber to the PA interface. The current light delivery system is compact, portable, and holds significant potential for applications in the research laboratory and in potential healthcare settings. We are seeking new material designs to support LED-driven PA generation, to improve accessibility, and to reduce cost for broader applications. To overcome the thermal confinement and stress confinement during PA generation, other PA generation mechanisms such as chemically induced PA generation can be considered.<sup>66</sup>

Collectively, despite the fact that PA neural stimulation is still in its early stage, our work has shown its unique advantages, including being nongenetic and high-precision, having a minimal thermal effect, flexibility, and biocompatibility, and being all-optically driven with a scale-up ability and MRI compatibility. Compared to genetic methods which often have a lower successful transfection rate in primates and impose safety risks in clinical application, the nongenetic feature of PA stimulation promises a broader potential in primate and human applications. It offers a new tool for fundamental studies in understanding how the brain functions and why the brain responds to mechanical stimuli. It also provides a new non-drug treatment in deep brain stimulation and retina stimulation, where sub-100- $\mu\text{m}$  precision is needed. Photoacoustic neural stimulation is an emerging technology for high-precision, nongenetic, safe neural stimulation.

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### Notes

The authors declare the following competing financial interest(s): J.-X.C. and C.Y. claim conflicts of interest (COI) with Axorus, which did not support this work. Other authors claim no COI.



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## ACKNOWLEDGMENTS

This work is supported by the National Institutes of Health Brain Initiative R01 NS109794 to J.-X.C. and C.Y. and 1R21EY034275 to C.Y.

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#### ■ NOTE ADDED AFTER ASAP PUBLICATION

This article published ASAP on May 17, 2024. Additional corrections have been processed and the corrected version was reposted on May 21, 2024.