



The Structural Model: a theory linking connections, plasticity, pathology, development and evolution of the cerebral cortex

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Received: 11 October 2018 / Accepted: 29 January 2019
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Abstract

The classical theory of cortical systematic variation has been independently described in reptiles, monotremes, marsupials and placental mammals, including primates, suggesting a common bauplan in the evolution of the cortex. The Structural Model is based on the systematic variation of the cortex and is a platform for advancing testable hypotheses about cortical organization and function across species, including humans. The Structural Model captures the overall laminar structure of areas by dividing the cortical architectonic continuum into discrete categories (cortical types), which can be used to test hypotheses about cortical organization. By type, the phylogenetically ancient limbic cortices—which form a ring at the base of the cerebral hemisphere—are agranular if they lack layer IV, or dysgranular if they have an incipient granular layer IV. Beyond the dysgranular areas, eulaminate type cortices have six layers. The number and laminar elaboration of eulaminate areas differ depending on species or cortical system within a species. The construct of cortical type retains the topology of the systematic variation of the cortex and forms the basis for a predictive Structural Model, which has successfully linked cortical variation to the laminar pattern and strength of cortical connections, the continuum of plasticity and stability of areas, the regularities in the distribution of classical and novel markers, and the preferential vulnerability of limbic areas to neurodegenerative and psychiatric diseases. The origin of cortical types has been recently traced to cortical development, and helps explain the variability of diseases with an onset in ontogeny.

Keywords Limbic cortex · Cortical hierarchies · Homology · Phylogeny · Brain pathology · Glia

Introduction

Neuroscience is a relative newcomer to the era of big data, preceded by genetics, molecular biology and cancer biology (Weinberg 2014). But in the era of ‘big data’, novel brain markers obtained from mice to humans have already yielded a bewildering amount of information. New cellular, molecular and gene expression patterns of the cerebral cortex have supplanted classical architectonic methods to stain neurons and glia (Campbell 1905; Brodmann 1909/1999;

von Economo 1927/2009; Bailey and von Bonin 1951; Braak 1980). Novel markers have helped to sort out cortical areas with a higher degree of confidence (Ding et al. 2016; Glasser et al. 2016; Palomero-Gallagher and Zilles 2017, 2018; Zilles and Palomero-Gallagher 2017).

The accumulation of big data in neuroscience, particularly in imaging studies (e.g., Glasser et al. 2016), has largely proceeded outside a theoretical framework outpacing efforts to organize and interpret them. What do the novel brain data offer for understanding the organization of the cerebral cortex? We argue that recent findings obtained by mining big databases support a classical and hitherto largely overlooked theoretical framework. The fundamental principle of this framework is that the built-in architecture of the cortex varies systematically along the cortical landscape. New data support this classical principle and can be subsumed under it. Below we provide evidence that the built-in architecture of the cortex provides a theory that has led and continues to lead to several testable predictions about cortical microstructure, connections, plasticity and vulnerability to disease. The

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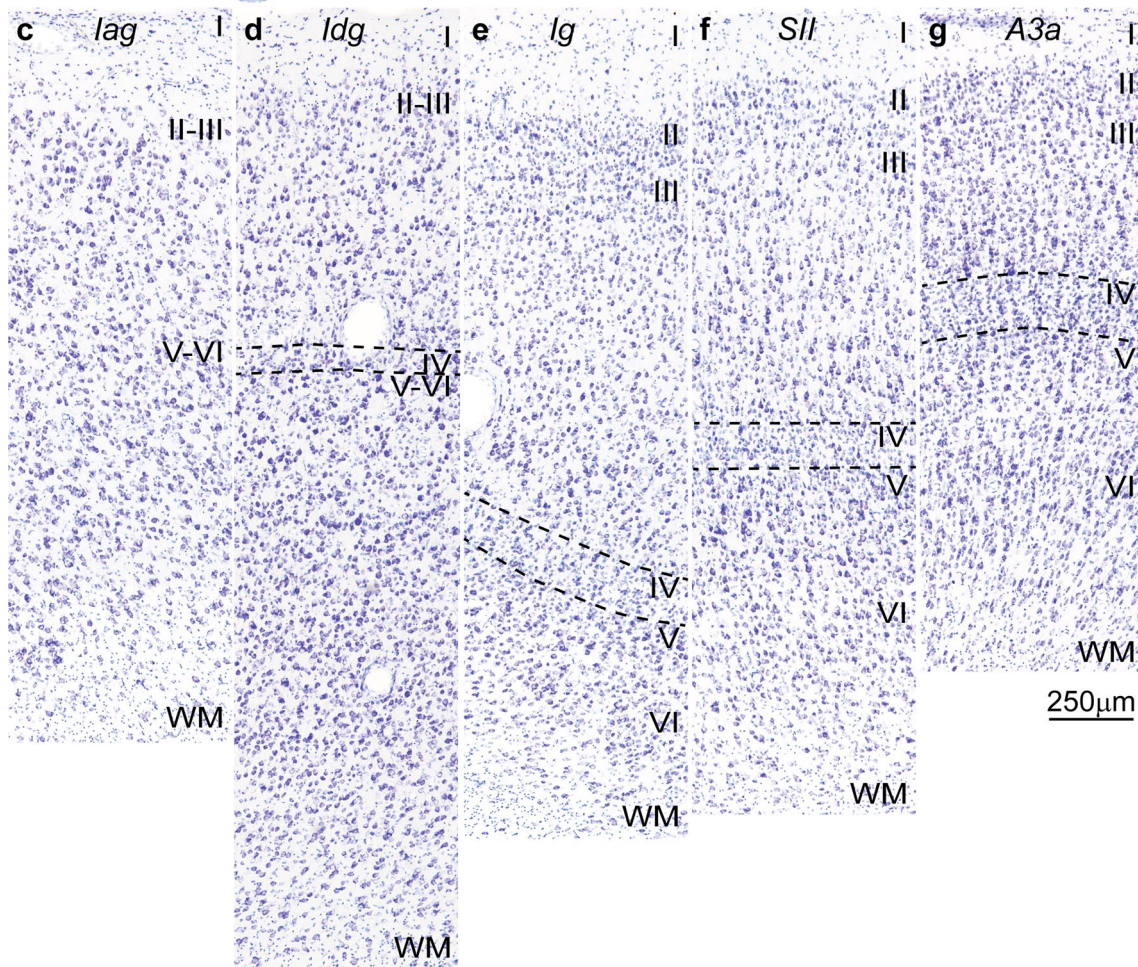
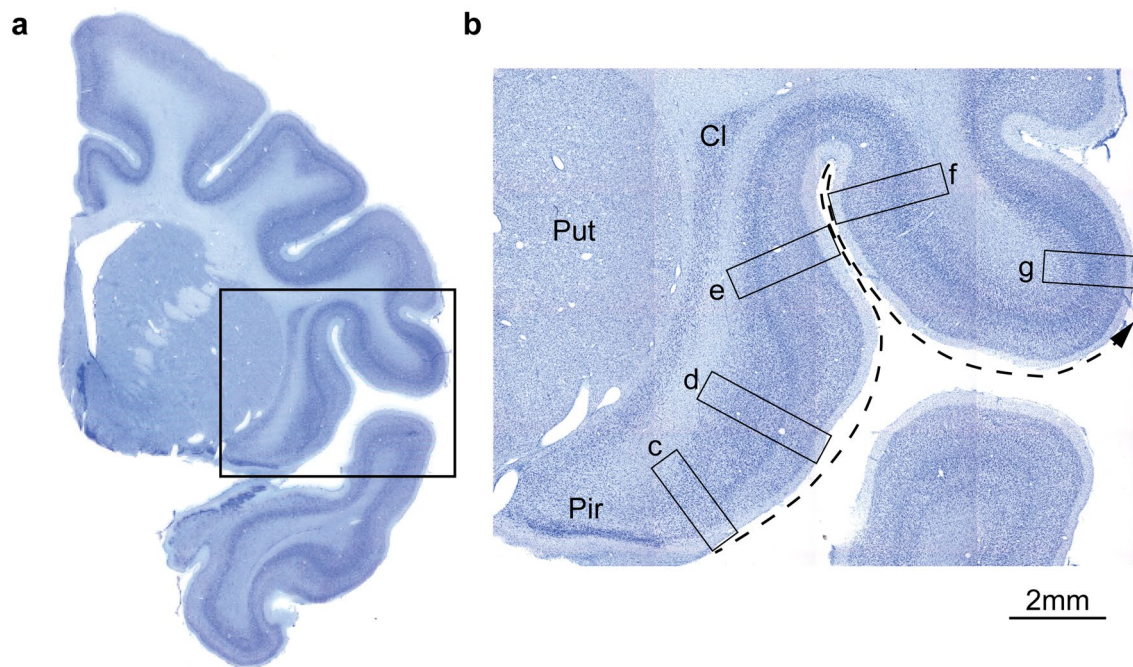


Fig. 1 Cortical types and laminar gradients of differentiation in the insula and adjacent frontal cortex of the rhesus monkey (Nissl staining). **a** Coronal section of the rhesus monkey brain at the level of the frontotemporal junction stained for Nissl. **b** Closer view of the insula and the frontal operculum shows the levels of photomicrographs in c–g; the dashed arrow shows the increasing trend of laminar differentiation. **c** The agranular insula (*Iag*) is next to the primary olfactory cortex (*Pir* in **b**) and lacks layer IV. **d** Dysgranular insula (*Idg*) has a rudimentary layer IV (dashed lines). **e** Layer IV (dashed lines) is better developed in the adjacent granular insula (*Ig*). **f** The secondary somatosensory area (*SII*) has a denser layer IV (dashed lines) and better differentiation of the other layers than the granular insula. **g** Area 3a, which is part of the primary somatosensory cortex, has thicker and denser layer IV (dashed lines) and its superficial layers are denser than in *SII*. *A3a* area 3a, *Cl* claustrum, *Iag* insula agranular, *Idg* insula dysgranular, *Ig* insula granular, *Pir* piriform cortex in the primary olfactory cortex, *Put* putamen, *SII* secondary somatosensory area, *WM* white matter. Roman numerals indicate cortical layers. Calibration bar in **g** applies to c–g

origin of the systematic variation of the cortex can be traced to development in ontogeny and evolution. In Box 1 we list the most relevant terms.

Box 1 Definitions of main concepts used in the text

Allocortex Ancestral part of the cerebral cortex, which includes the hippocampal formation (archicortex) and the primary olfactory cortex (paleocortex).

Cortical area A portion of the cerebral cortex with distinct cyto-, myelo-, and chemoarchitectonic features.

Cortical type Describes a category of cortical areas with comparable laminar differentiation, regardless of placement within a cortical sensory, high-order association or motor system.

Eulamine cortex Neocortical areas with well-developed layer IV.

Isocortex A term that also defines neocortex with six layers (eulamine).

Limbic cortex Neocortical areas found on the edge (limit) of the hemisphere; they form the base or stem of the cortex. Limbic areas are either agranular (lack inner layer IV) or dysgranular (have an incipient layer IV).

Periallocortex Neocortical areas neighboring the allocortex (agranular cortex).

Proisocortex Neocortical areas between periallocortex (agranular) and isocortex (eulamine) areas. Proisocortical areas are dysgranular.

Neocortex The cortex beyond the allocortex, which is the newest part of the cerebral cortex. Reptiles have a very small neocortex, whereas mammals have comparatively a large neocortex. The number of layers and their distinction increases in neocortical areas along gradients of laminar differentiation.

Theories and principles

Dual Origin of the Neocortex A hypothesis stating that the neocortex developed in evolution from two periallocortical (ancestral parahippocampal and paraolfactory) moieties (Dart 1934; Abbie 1940, 1942; Sanides 1962).

Structural Model A predictive model that relates the type of areas to their connections (Barbas 1986; Barbas and Rempel-Clower 1997), the plasticity/stability continuum, preferential vulnerability of areas to neurologic and psychiatric diseases, the timing of their development, and to the variety of neural progenitors.

Many cortical areas but few cortical types

Neuroscientists rely on maps to interpret data, whether obtained using anatomic, genetic, physiologic or functional imaging methods. Classical architectonic maps of the cortex were constructed by examining how cellular features vary between neighboring areas. Working from paper-thin sections stained to see all neurons and glia, investigators placed borders where they detected changes in the appearance of neurons and their arrangement in cortical layers. This is how Brodmann produced a human cortical map, which is the most frequently used map to this day (Brodmann 1909/1999).

The mapping method used by Brodmann (1909/1999) and others is simple in technique but difficult for analysis because differences between neighboring areas are often subtle. The inevitable variations among such maps have engendered skepticism by critics. In recent times, mapping areas following Brodmann's methodology has been reinforced by the use of new techniques and markers that help sharpen borders and layers of areas (Palomero-Gallagher et al. 2008, 2009, 2018; Henssen et al. 2016; Malikovic et al. 2016; Palomero-Gallagher and Zilles 2017).

A different approach to subdivide the cortex emerged with the studies of von Economo (1927/2009) and Sanides (1962). Working independently, these investigators observed that the laminar architecture of the cortex varies

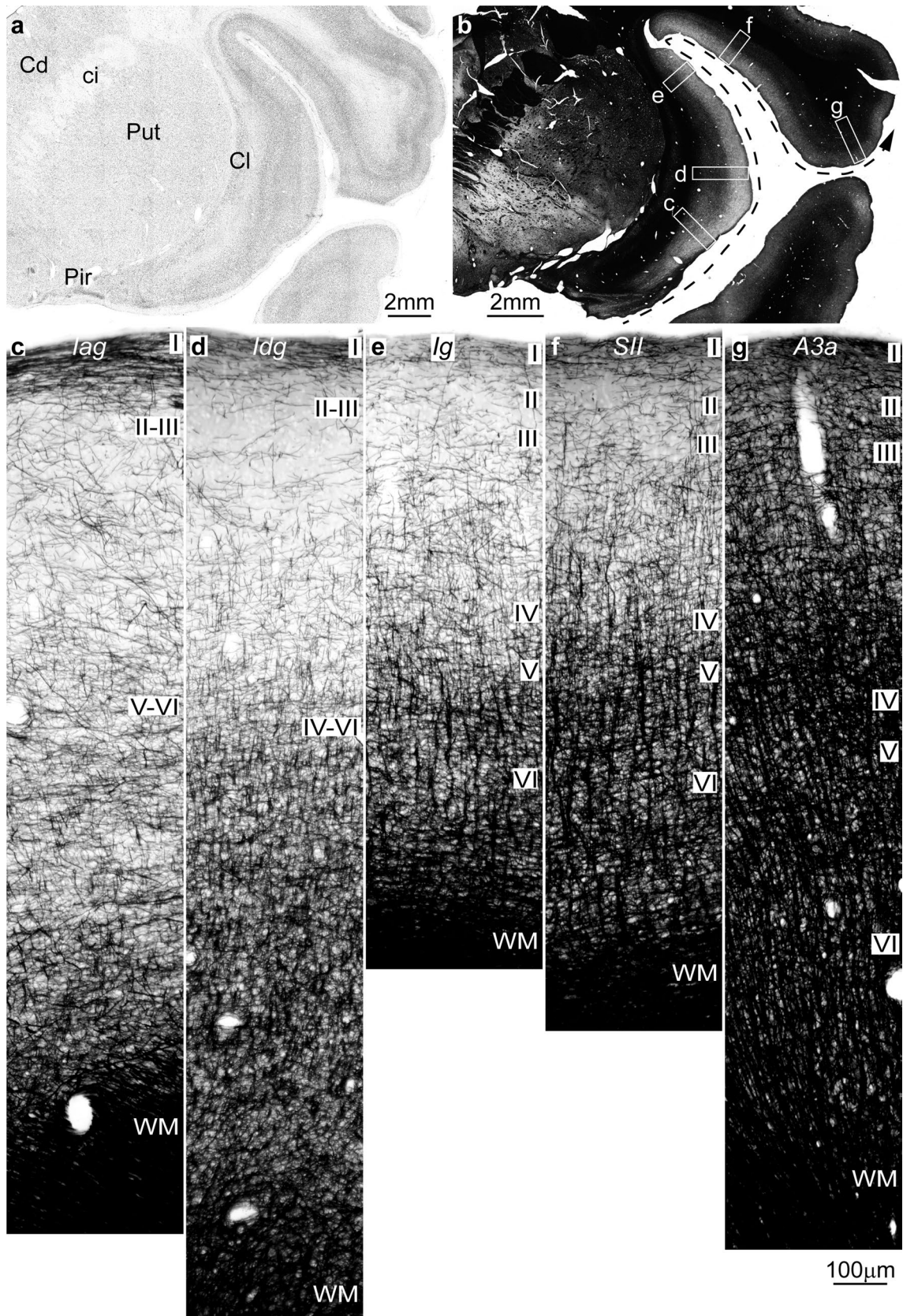


Fig. 2 Intracortical myelin varies systematically in parallel with laminar differentiation in the insula and adjacent frontal cortex in the rhesus monkey (Gallyas staining). **a** Coronal section of the rhesus monkey brain at the level of the frontotemporal junction stained for Nissl shows the insula and the frontal operculum. **b** Adjacent section to **a** is stained for myelin (black) and shows the levels of photomicrographs **c–g**; the dashed arrow shows the trend of increasing laminar differentiation. **c** The agranular insula (Iag) is next to the primary olfactory cortex (Pir in **a**) and has comparatively fewer myelinated axons than the adjacent area. **d** Dysgranular insula (Idg) is slightly better myelinated. **e** The granular insula (Ig) has more myelinated axons organized into vertical bundles than Iag and Idg. **f** The secondary somatosensory area (SII) has more myelinated axons than the insular areas with well-developed vertical bundles in the deep layers and abundant small horizontal axons in the middle layers. **g** Area 3a (A3a) in the primary somatosensory cortex has more myelinated axons than SII in all layers. *A3a* area 3a, *Cd* caudate, *ci* internal capsule, *Cl* claustrum, *Iag* insula agranular, *Idg* insula dysgranular, *Ig* insula granular, *Pir* piriform cortex in the primary olfactory cortex, *Put* putamen, *SII* secondary somatosensory area, *WM* white matter. Roman numerals indicate cortical layers. Calibration bar in **g** applies to **c–g**

systematically. This important observation provided a road map to subdivide the cortex in a consistent way. To begin with, von Economo and Sanides observed that some neocortical areas in the human cortex do not have six layers from the get-go, and remain that way during the life span. Some areas lack an inner layer IV and belong to the agranular cortical type. Nearby areas have an incipient layer IV and belong to the dysgranular type (Fig. 1). Easily spotted at the base of the cortex, we refer to agranular and dysgranular areas collectively as ‘limbic’, a term that describes their position on the edge of the hemisphere (Broca 1878), though not their architecture. Areas with six layers—which are eulaminate in type—are found beyond dysgranular areas. The principle of systematic variation of the cortex provided the framework for von Economo and Sanides to parcellate the cortex from a theoretical perspective.

Variation in the cortex can be described by the term ‘cortical type’, an abstraction that refers to the overall laminar structure of areas and not their topography or membership to a cortical system. Several structural features combine to define cortical type: the presence or absence of layer IV; the thickness or density of layer IV, when present; the distinction between adjacent layers; the relative population of neurons in the upper compared to the deep layers (Fig. 1). Other features correlate with but do not consistently capture cortical type. For example, myelin is a very useful marker because it increases in density from limbic to eulaminate areas (Fig. 2), but is not a universal proxy for cortical type (e.g., Barbas and Pandya 1989; Barbas and García-Cabezas 2015; García-Cabezas et al. 2017; Barbas et al. 2018; Zikopoulos et al. 2018a). Another example is the non-phosphorylated intermediate neurofilament protein SMI-32 (Fig. 3) that in the primate cortex labels a subset of pyramidal projection neurons (Campbell and Morrison 1989) and can be used as

a sensitive architectonic marker (Hof et al. 1995; Nimchinsky et al. 1996; Barbas and García-Cabezas 2015, 2016; García-Cabezas and Barbas 2017; Barbas et al. 2018; Joyce and Barbas 2018). Similarly, neuronal density is generally higher in sensory and association areas that have the clearest lamination (e.g., V1), but is not useful for the primary motor cortex, where neurons are large, yielding an overall lower density.

How many types of cortex are there?

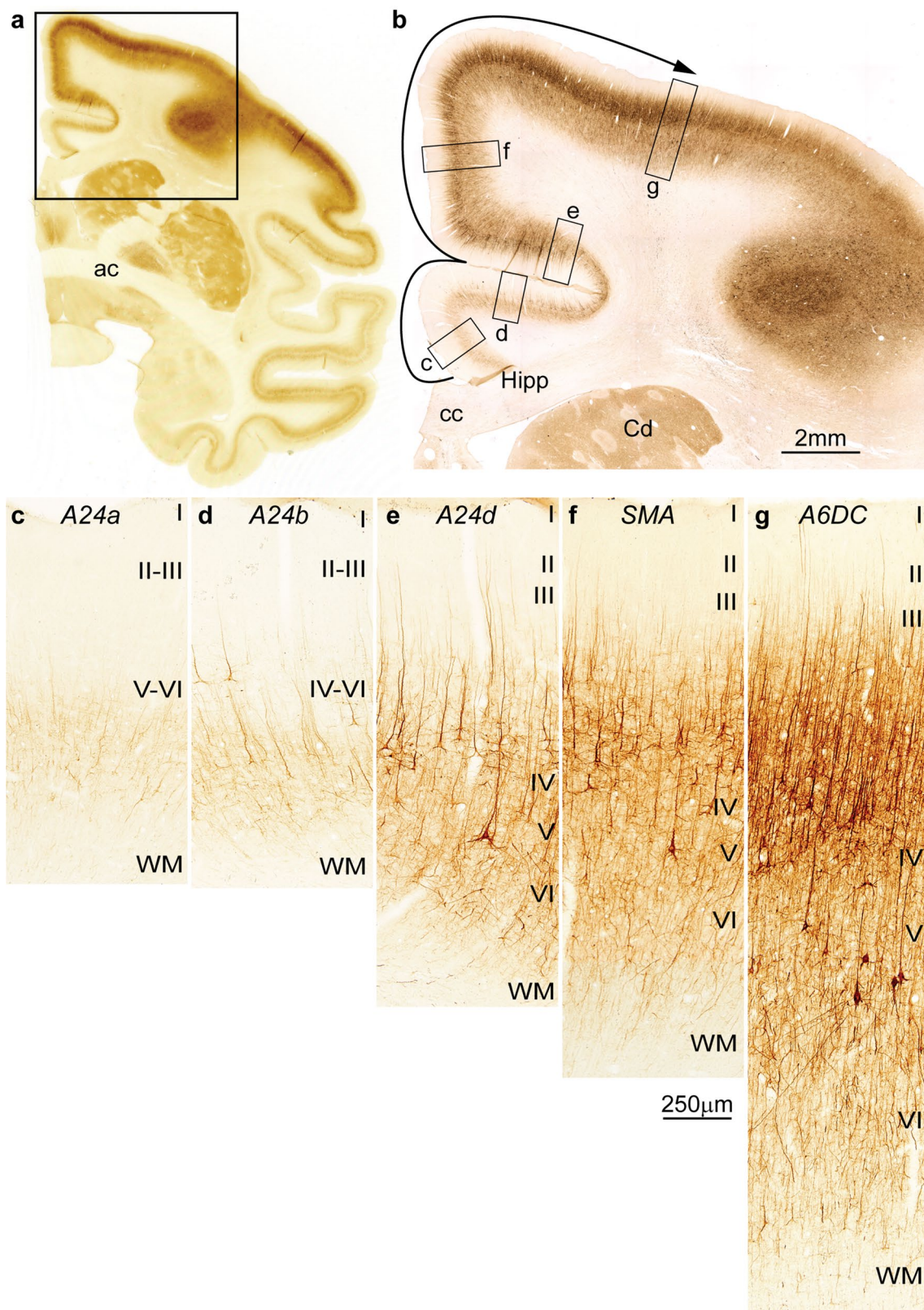
The limbic areas have the simplest architecture and form a ring at the base of the entire cortex, as in the stem of a mushroom (Fig. 4). Consequently, all cortical systems have a limbic component, whether they are visual, auditory, somatosensory or motor, as shown in previous studies (e.g., Sanides 1972; see also; Barbas 1988, 2015; Pandya et al. 1988, 2015). Eulaminate type cortices radiate from the basal stem to form the cap of the mushroom. In old-world primates and humans, the cap, and to a lesser extent the dysgranular limbic areas, is curled into complex convolutions due to the great expansion of the cortex.

The classification of types into agranular areas is straightforward. Dysgranular areas are interposed between agranular areas and eulaminate areas with a clearly identifiable layer IV. Dysgranular areas have a poorly developed layer IV, which is both thin and less dense than layer IV of eulaminate areas (Fig. 1). The rest of the cortex is composed of eulaminate areas, but the number of cortical types that can be distinguished reliably varies by species and system, in the range of about 3–8. The number of cortical types also varies by the degree of desired refinement. Some investigators lump areas with intermediate lamination together, whereas others make finer distinctions (e.g., Hilgetag et al. 2016; Zikopoulos et al. 2018a).

Cortical expansion obeys the topologic rule of the systematic variation of the cortex. Among eulaminate areas, lamination is least differentiated in areas that are near dysgranular areas, and most distinct in areas that are the most distant from the limbic areas (Figs. 1, 3). Based on the topological rule and gradual changes in type, areas that differ markedly from each other in laminar structure thus are not neighbors.

Cortical type versus cortical area

How does type differ from area? Area is a specific term, type is a general term. Area defines a small region that is unique in the cortex and can be identified by cellular and molecular markers, which distinguish it from neighboring areas. A recurrent theme in the literature is the discovery of new sensory or motor areas (e.g., Woolsey 1963; Kaas 2004). Using a variety of methods, investigators have subdivided



large regions of sensory cortex, based on representation of the sensory periphery in the visual system or the body surface in the somatosensory system.

The areas along a cortical sensory system have different physiological properties, but also differ in their laminar architecture, and thus represent different types of cortex.

Fig. 3 Cortical types and laminar gradients of differentiation in the cingulate and frontal cortex of the rhesus monkey (SMI-32 staining). **a** Coronal section of the rhesus monkey brain at the level of the anterior commissure (ac) stained for the non-phosphorylated neurofilament protein SMI-32. **b** Higher magnification shows the cingulate and dorsal frontal cortex and the levels of photomicrographs *c–g*; the solid arrow shows the trend of increasing laminar differentiation. **c** Agranular area 24a (A24a) in the cingulate cortex is next to the anterior extension of the hippocampal formation (Hipp in **b**) and has few SMI-32-labeled neurons restricted to the deep layers. **d** Area 24b (A24b) in the lower bank of the cingulate sulcus has few labeled SMI-32 neurons in the deep layers and some at the bottom of layer III. **e** Area 24d (A24d) in the upper bank of the cingulate sulcus has more SMI-32 neurons than the other cingulate areas in the deep layers and in layer III, demarcating a band of unstained tissue that corresponds to layer IV. **f** The supplementary motor area (SMA) and **g** premotor area 6 dorsocaudal (A6DC) have more neurons labeled for SMI-32 than the cingulate areas; in both SMA and A6DC, layer IV stands out as a band of unlabeled tissue between the bottom of layer III and the upper part of layer V. *A24a* cingulate area 24a, *A24b* cingulate area 24b, *A24d* cingulate area 24d, *A6DC* premotor area 6 dorsocaudal, *ac* anterior commissure, *cc* corpus callosum, *Cd* caudate, *Hipp* anterior extension of the hippocampal formation, *SMA* supplementary motor area, *WM* white matter. Roman numerals indicate cortical layers. Calibration bar in **f** applies to **c–g**

Within a given cortical system, be it visual, somatosensory, or motor, type differs along a functional gradient in the respective system. In the cortical sensory systems, the areas with the best delineated layers are the primary areas. The primary visual cortex, in particular, has the most distinct laminar architecture in the primate cortex. By function, areas with highly delineated laminar structure have specialized properties, such as small receptive fields in neurons of the primary visual (V1) or somatosensory cortex. Areas that are at an increasing distance from the primary areas have neurons with progressively larger receptive fields and more complex properties [reviewed in Hubel (1988); Rosa et al. (1997)].

Type is thus a general term because it applies across cortical sensory or motor systems. Type describes the general laminar structure of an area, regardless of modality. Below, we examine why type but not area underlies the organization of the cortex.

Cortical type allows testable predictions for cortical organization

The philosopher Karl Popper proposed that a given scientific theory can be tested only indirectly by confirming or rejecting predictions derived from such a theory. First, a hypothesis is advanced inspired by observations. Second, predictions are deduced logically from the hypothesis. Third, the predictions are experimentally supported or rejected. If empirical data show that the predictions are wrong, the hypothesis is falsified. If the data confirm the predictions,

then the hypothesis is provisionally supported. According to Popper, a scientific hypothesis can be accepted only provisionally, because a single instance of falsification with new data would be enough to reject it (Popper 1959).

The construct of cortical type—as embodied in the Structural Model—has been used to predict connections, synaptic plasticity, selective vulnerability, and the development of cortical areas. Cortical systematic variation also suggests the likely origin of the cortex in evolution. Below, we elaborate on the explanatory power of the Structural Model along multiple dimensions and its usefulness for guiding new research on the cerebral cortex. We propose that the systematic variation in cortical structure and the embedded construct of cortical type represent a theory that explains multiple levels of cortical organization that have been consistently verified by empirical data.

Cortical type is associated with connections

Studies based on the ablation-degeneration method to study anterograde pathways showed that sensory information flows sequentially from primary sensory to unimodal association areas, to multimodal association areas, and finally to limbic areas (Jones and Powell 1970; Mesulam 1998). The introduction of neural tracers in the early '70s provided a clear picture of the laminar origin and termination of cortical projection neurons. In the cortical sensory systems, pathways were called 'feedforward' if they originated from a cortical area that is closer to the sensory periphery than the area of termination, and 'feedback' if they proceeded in the opposite direction. These pathways have different laminar distributions (e.g., Rockland and Pandya 1979; Maunsell and Van Essen 1983). Feedforward connections originate in neurons in the upper layers (mostly layer III) and their axons terminate in the middle layers, which include layer IV. Feedback connections originate in the deep layers (V and VI) and their axons terminate in the upper layers, and especially layer I, to a lesser extent layer VI, but avoid the middle layers. Projections that originate in both upper and deep layers and terminate in all layers were previously lumped into one category called 'lateral' (Felleman and Van Essen 1991).

The interpretation of pathways by the direction of sensory signals (Felleman and Van Essen 1991) proved to be too restrictive, because non-sensory areas revealed similar patterns. Specifically, studies of the prefrontal cortex showed that connections do not depend on areas but depend on cortical type (Barbas 1986; Barbas and Rempel-Clover 1997). Areas of the simplest type, such as the limbic, project in a 'feedback' pattern to areas with more elaborate type. Connections in the reverse direction—from eulaminate to limbic—originate mostly in layer III and terminate in the middle (deep III to upper V) layers (see examples in Fig. 5).

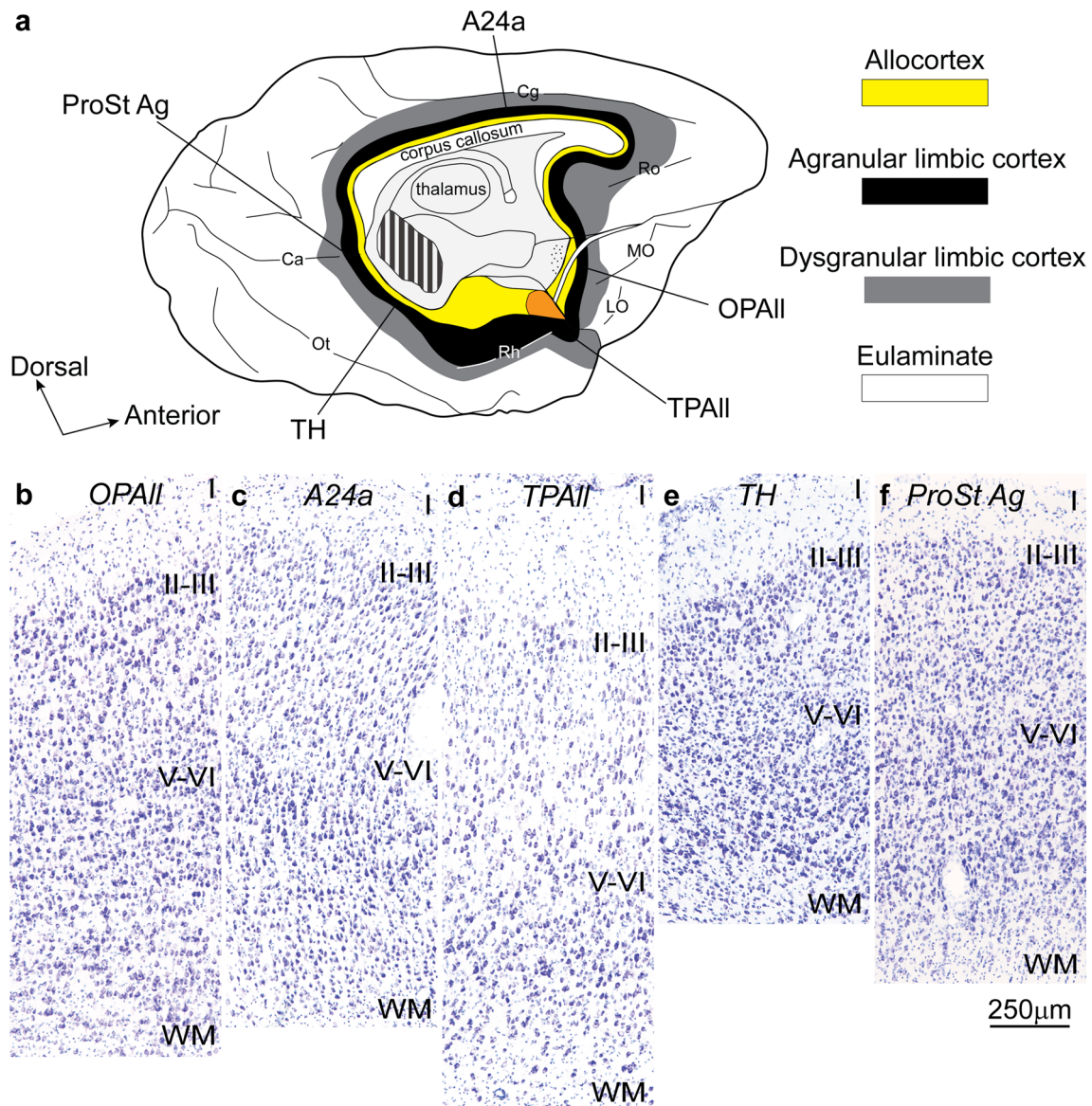


Fig. 4 Neocortical areas with the simplest laminar structure form a ring at the edge (base) of the hemisphere. **a** Tilted view of the macaque brain shows the medial and basal aspects of the left hemisphere. Allocortical areas (yellow) are at the edge (base) of the hemisphere; agranular limbic areas (black) are periallocortical; dysgranular limbic areas (gray) are between the periallocortex and eulaminate areas (white). Orange shows the place of the amygdala. **b–f** Agranular limbic areas without layer IV (Nissl staining). These areas are distrib-

uted along the periallocortical ring (black) of the neocortex at the foot of each cortical system; they have overall comparable laminar structure. *A24a* cingulate area 24a, *Ca* calcarine fissure, *Cg* cingulate sulcus, *LO* lateral orbital sulcus, *MO* medial orbital sulcus, *OPAll* orbital periallocortex, *Ot* occipitotemporal sulcus, *ProSt Ag* area prostriata agranular, *Rh* rhinal sulcus, *Ro* rostral sulcus, *TH* medial temporal area TH, *TPAll* temporal periallocortex. Calibration bar in **f** applies to **b–f**

Connections of prefrontal areas with the rest of the cortex show a regularity that parallels closely the systematic variation within each cortical system: connections are distributed in a variety of laminar patterns. The regularity of laminar connections and cortical systematic variation can be distilled into a new principle: connections depend on *the relationship of the type of connected areas* (Barbas and Rempel-Clover 1997). We have called this relationship the Structural

Model for connections [reviewed in Barbas (2015)]. When two areas differ markedly in laminar structure and they are connected, the pattern fits clearly within the ‘feedforward’ or ‘feedback’ categories. But because the cortex varies systematically, there are multiple permutations of type differences between linked areas and thus multiple patterns in the laminar distribution of connections. The closer the areas are in type, the more layers are involved in the connections.

For example, when two areas belong to the same type, the connections between them are columnar; projection neurons originate in all layers (except for layers I and IV) (Barbas 1986), and their axons terminate in all layers (Barbas and Rempel-Clower 1997). Connections in sensory and non-sensory cortices can be subsumed under the general rules of the relational Structural Model.

The Structural Model has successfully predicted the pattern of connections in a variety of systems and species (Barbas et al. 1999, 2005a; Grant and Hilgetag 2005; Medalla and Barbas 2006; Hilgetag and Grant 2010; Beul et al. 2015, 2017; Hilgetag et al. 2016; García-Cabezas and Barbas 2017; Goulas et al. 2017; Burt et al. 2018; Joyce and Barbas 2018). The model also predicts the strength of connections. Neighboring areas of a comparable type are strongly connected (Barbas and Pandya 1989), but so are areas that are at a considerable distance from each other, provided they belong to a similar cortical type (Pandya et al. 1988; Rempel-Clower and Barbas 2000; Medalla and Barbas 2006; Joyce and Barbas 2018; Zikopoulos et al. 2018a). Predictions of cortical connections based on the Structural Model have been verified without fail. On the other hand, models based on the anterior–posterior dimension of the cortex (Badre and D’Esposito 2007; Cahalane et al. 2012), the distance between areas (Ercsey-Ravasz et al. 2013) or cortical thickness (He et al. 2007) have met with contradictions, and thus are falsifiable (Goulas et al. 2014; Hilgetag et al. 2016; Beul et al. 2017; Burt et al. 2018).

The Structural Model can be applied to the cerebral cortex of humans to predict the laminar pattern and strength of connections in the absence of invasive tract tracing methods, as has been suggested (Barbas and Rempel-Clower 1997). These predictions can also be used to focus on specific networks to study typical development and disruption of circuit interactions in disease (Zikopoulos and Barbas 2010, 2013; Zikopoulos et al. 2018a, b), and provide the pathway basis for models of consciousness (Chanes and Barrett 2016). Recent findings from functional studies in humans (Wei et al. 2019) are consistent with the Structural Model.

The Structural Model predicts the degree of synaptic plasticity or stability

The architectonic features of limbic areas suggest high plasticity. Limbic areas express some developmental markers into adulthood that cease to be expressed in other areas after ontogeny (Barbas 1995). Recent evidence has corroborated this hypothesis by providing direct evidence that cortical type is linked to the cortical plasticity–stability continuum of areas. Markers of synaptic plasticity are more concentrated in limbic than in eulaminate areas. In contrast, markers of stability have a higher concentration in eulaminate than in limbic areas. These features and functions are relative, and

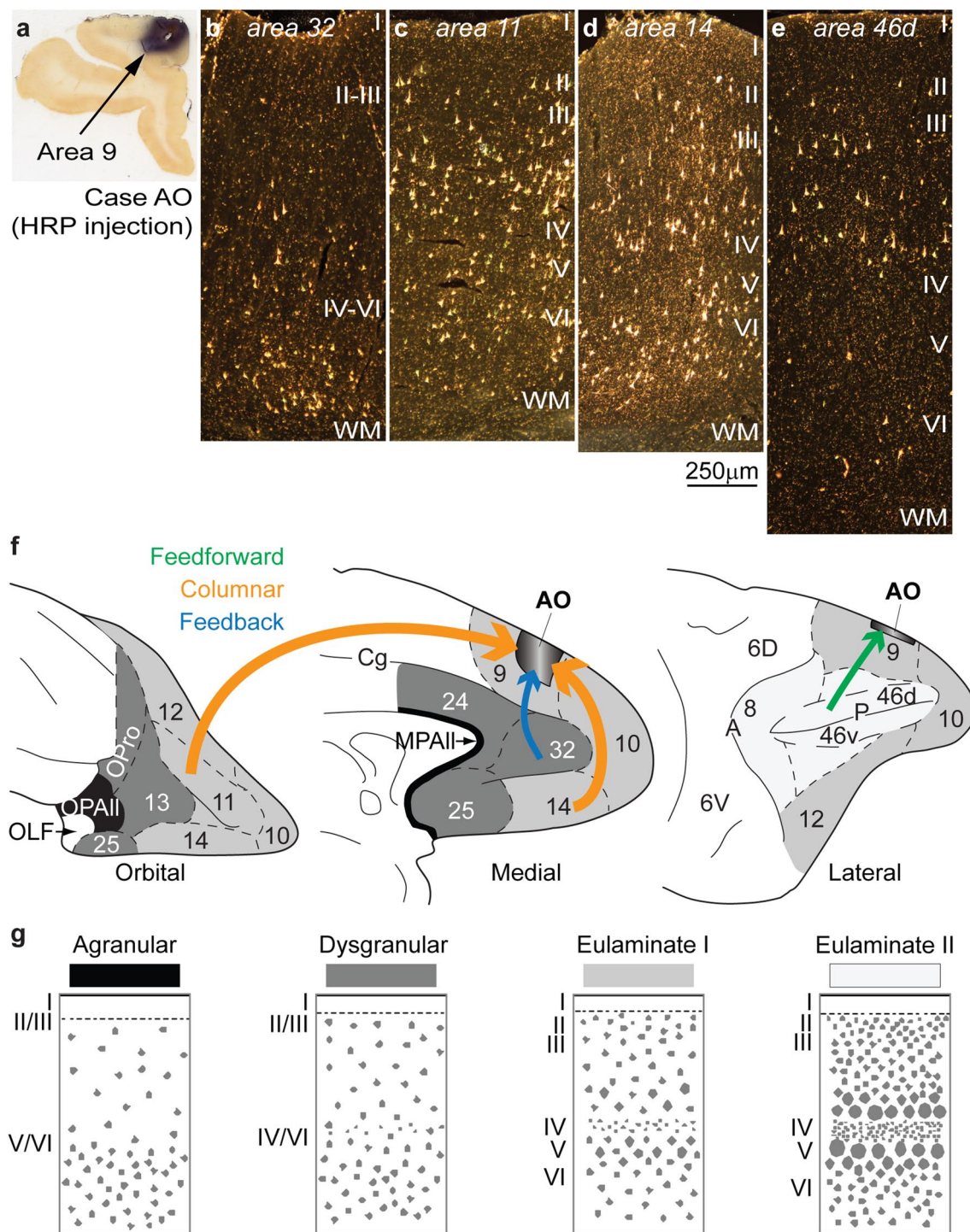
once again, consistent with the systematic variation of the cortex (Garcia-Cabezas et al. 2017).

We provide a few examples to illustrate this point. The growth-associated protein GAP-43 is found at the edge of growing axons in development, when it is universally expressed across cortices (Benowitz and Routtenberg 1997). In the adult cortex, however, GAP-43 is expressed in measurable amounts only in limbic areas in primates. On the other hand, markers associated with stability show the opposite trend. One of these is myelin, which is highest in areas with the best architectonic differentiation, and decreases gradually towards the limbic cortices (Vogt and Vogt 1919; Braitenberg 1962; Sanides 1970; Nieuwenhuys and Broere 2017). Interestingly, GAP-43- and myelin-associated proteins are mutually antagonistic (Kapfhammer and Schwab 1994). The high level of GAP-43 in the limbic anterior cingulate cortex, for example, helps explain the comparatively late myelination of this region (Zikopoulos and Barbas 2010), even though neuronal migration is completed early (Rakic 2002).

Limbic areas generally have a lower neuron density (Barbas and Pandya 1989; Dombrowski et al. 2001). Quantitative architectonic findings in the prefrontal cortex have shown that as a group the limbic areas have overall lower density in the upper layers than in the deep layers, when layer IV is considered separately (Dombrowski et al. 2001). This pattern is particularly prominent in subgenual area 25 in both rhesus monkeys and humans (Joyce and Barbas 2018; Zikopoulos et al. 2018a). Additional quantitative studies using unbiased methods are needed to test whether this pattern is found in other cortical systems as well. A lower density of neurons appears to be permissive for development of a rich dendritic tree with a high density of spines in limbic areas. Dendritic complexity decreases gradually through adjacent areas and is lowest in the well-laminated areas in all systems in primates (Elston 2003; Elston et al. 2005; reviewed in García-Cabezas et al. 2018). Markers of plasticity thus are expressed at higher levels in limbic than in eulaminate areas, whereas markers of stability show the opposite trend (Garcia-Cabezas et al. 2017).

The Structural Model predicts preferential vulnerability to disease

The high plasticity of limbic areas comes at a cost. Limbic areas are more vulnerable to neurodegenerative and psychiatric diseases. For example, pathological aggregation of aberrant proteins in Alzheimer’s and Parkinson’s diseases begins in limbic areas and spreads slowly to adjacent eulaminate areas (Arnold et al. 1991; Duyckaerts et al. 1998; Braak et al. 2006; Brettschneider et al. 2015; Braak and Del Tredici 2018). Limbic areas in the frontal and temporal lobes are also more vulnerable to epileptiform activity (Penfield and



Jasper 1954; Gloor et al. 1982; Holmes et al. 2004; Tucker et al. 2007), which spreads to adjacent eulaminate areas with which they are connected. We have suggested that in prefrontal limbic areas, a lower density of inhibitory parvalbumin neurons—which exercise strong perisomatic inhibition of nearby pyramidal neurons—may contribute to vulnerability to epileptiform activity (Barbas 1995; Dombrowski et al. 2001; Barbas et al. 2005b; Zikopoulos and Barbas 2013).

Limbic areas are also the preferred target of herpes virus infections (Damasio and Van Hoesen 1985).

Limbic areas are often implicated in psychiatric diseases as well, such as depression (Mayberg et al. 2005) and autism (Zikopoulos and Barbas 2010, 2013; Zikopoulos et al. 2018a, b). There is evidence that the size of neurons and the underlying white matter pathways of limbic areas are altered in individuals with autism (van Kooten et al. 2008; Wegiel

Fig. 5 Examples of connections predicted by the relational Structural Model. **a** Injection of the neural tracer horseradish peroxidase (HRP) in area 9 of the dorsolateral prefrontal cortex in a rhesus monkey brain. **b** Retrogradely labeled neurons in anterior cingulate area 32 are concentrated in the deep layers V–VI, typical of a feedback pattern of connections originating from an area with a simpler laminar structure (area 32) and directed to an area with a more elaborate laminar structure (area 9). **c, d** In area 11 (**c**) and in area 14 (**d**), there are labeled neurons in superficial layers II–III and in the deep layers V–VI, typical of a columnar pattern of connections between areas of a comparable type; note the absence of labeled neurons in layer IV, which do not project out of the cortex. **e** Retrogradely labeled neurons in the dorsal part of area 46d are concentrated in the superficial layers II–III, typical of a predominant feedforward pattern of connections, originating from an area with a more elaborate architecture (area 46d) to an area with comparatively simpler architecture (area 9). **f** Sketches of the prefrontal cortex of the rhesus monkey show the orbital (left), medial (center), and lateral (right) surfaces; agranular areas are shown in black, dysgranular areas in dark gray, and eulaminate areas are depicted progressively with lighter gray. Arrow color indicates type of connection; arrow thickness indicates relative strength of pathways; thicker arrows suggest more robust connections. **g** Cortical types (agranular, dysgranular, eulaminate I, and eulaminate II) are represented in four sketches. *HRP* horseradish peroxidase, *MPAII* medial periallocortex, *OLF* primary olfactory cortex, *OPAII* orbital periallocortex, *OPro* orbital proisocortex. Arabic numerals correspond to prefrontal cortical areas according to Barbas and Pandya (1989). Roman numerals indicate cortical layers. Calibration bar in **d** applies to **b–e**

et al. 2010; Zikopoulos and Barbas 2010; Santos et al. 2011; Jacot-Descombes et al. 2012; Zikopoulos et al. 2018a). This evidence suggests that limbic areas and their cortico-cortical pathways are more vulnerable to developmental disruption than other areas (García-Cabezas et al. 2018). In combination, these observations support the hypothesis that cortical type predicts a series of features that predispose areas to preferential vulnerability to disease.

The idea that vulnerability to disease is linked to plasticity has been firmly established for other body tissues. Cancer, for example, most frequently affects the most plastic tissues which have high turnover throughout life, such as epithelial tissue, which accounts for over 80% of all cancers (Siegel et al. 2018). In the nervous system, most cancers are glia related, which can proliferate in the adult nervous system (del Río-Hortega 1934/1962).

Laminar structure in the cerebral cortex is rooted in development

How do different types of cortex come about to underlie so many aspects of cortical organization? We hypothesized that differences in laminar structure among cortices emerge in development (Dombrowski et al. 2001). According to this hypothesis, limbic areas have a shorter ontogenetic period, and thus fewer neurons migrate to the cortex than in eulaminate areas. Moreover, because the mammalian

cortex develops from inside out (Sidman and Rakic 1973), a shorter ontogenetic period would result in fewer neurons in the upper layers, which develop last. This hypothesis needs to be addressed with developmental data in future studies.

There are many missing pieces on the development of the nervous system. A developmental study in fetal rhesus monkeys, however, included a mix of areas that could test our developmental hypothesis. The study showed that completion of neurogenesis in area 24 ends at embryonic day 70 (E70), earlier than in area 11 (E80), which ends earlier than in area 46 (E90) (Rakic 2002). By cortical type, area 24 is limbic, area 11 is an intermediate eulaminate area, and area 46 has the best lamination within the prefrontal cortex. The highly laminated primary visual cortex in rhesus monkeys continues to develop until E100, more than any other visual area, including the adjacent area V2 (Rakic 1974, 1976a). The development of these prefrontal and occipital areas is thus consistent with the hypothesis that areas belonging to different types have a predicted ontogenetic sequence.

We recently showed that cortical type in humans is directly linked to variation of progenitor zones below prospective areas that differ in cortical type (Barbas and García-Cabezas 2016). In addition to the classical ventricular zone (VZ), in mammalian species there is a subventricular zone (SVZ), found above the VZ. The SVZ is a complex embryonic layer that is further subdivided into inner (ISVZ) and outer (OSVZ) sectors. These discoveries reveal a wealth in progenitor cells [reviewed in Noctor et al. (2007); Borrell and Reillo (2012); LaMonica et al. (2012)]. The classical bipolar radial glial cells reside in the VZ. In the SVZ, there are translocated outer radial glial cells along with intermediate progenitor cells. The three progenitor classes differ by morphology, position, and expression of molecular factors (reviewed in Borrell and Reillo 2012; LaMonica et al. 2012; Dehay et al. 2015; Martinez-Cerdeno and Noctor 2016, 2018).

In the developing human brain, the specialized SVZ increases progressively in thickness and complexity in the direction from prospective limbic cortices to prospective eulaminate cortices. This evidence provides a developmental mechanism for the differences in neuronal density or other markers that are characteristic of different types of cortex. The difference in thickness is particularly striking in the OSVZ, which gives rise to the upper cortical layers, which we found to be denser with neurons in eulaminate than in limbic areas in adults, at least in the prefrontal cortex (Dombrowski et al. 2001; Joyce and Barbas 2018; Zikopoulos et al. 2018a). The OSVZ is very thin below prospective limbic areas and thickens progressively below prospective eulaminate areas in frontal (Barbas and García-Cabezas 2016), as well as in temporal areas, as shown in Fig. 6. The systematic variation of the adult cortex thus is explained by systematic differences in the distribution of

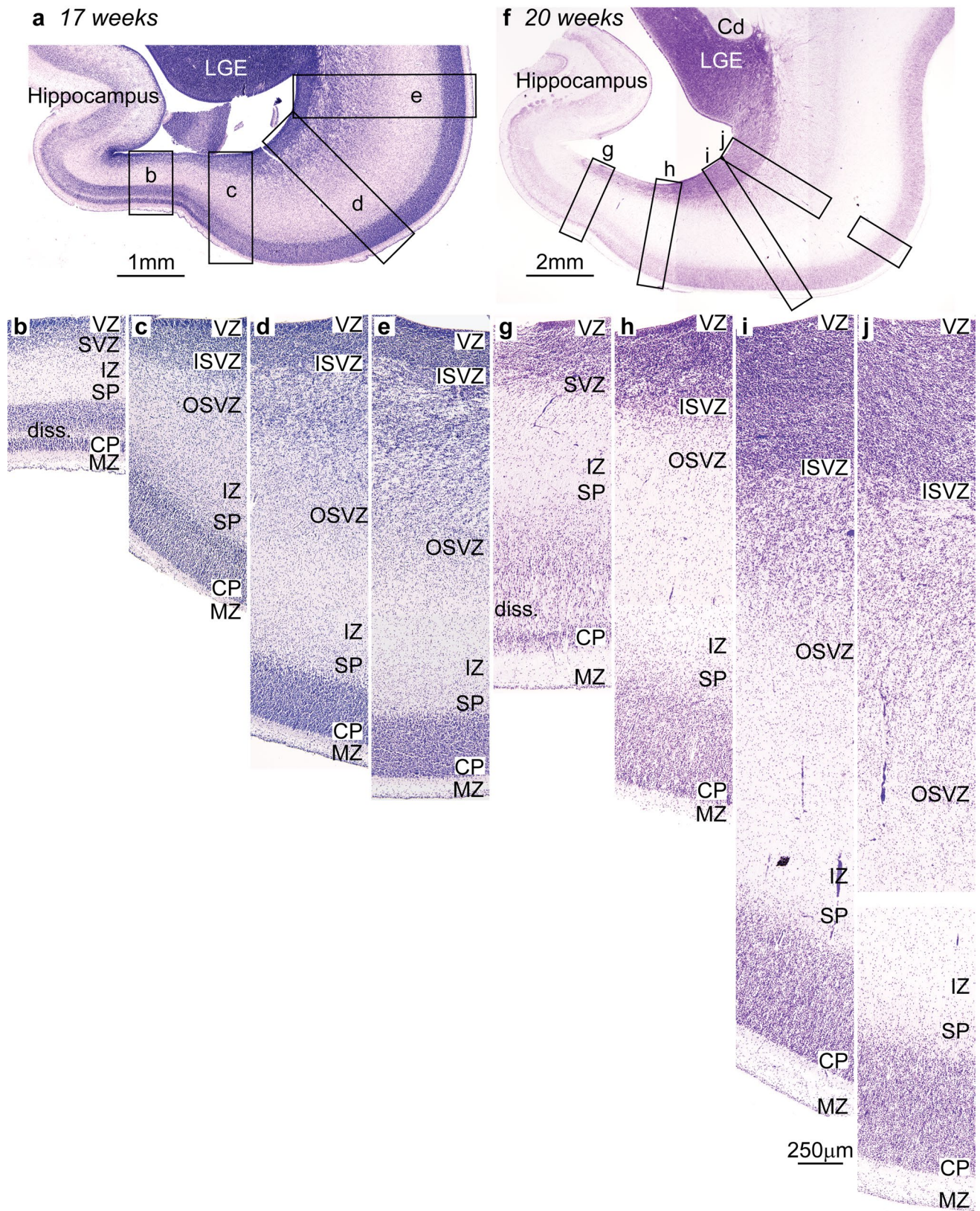


Fig. 6 Systematic variation of embryonic layers in the prospective temporal lobe of the human embryo (Nissl staining). Germinal zones and prospective cortical layers are named according to Smart et al. (2002) and Bystron et al. (2008). **a–e** Photomicrographs from a human fetus of 17 weeks gestational age stained for Nissl. **a** Low-power photomicrograph at the level of the temporal lobe shows the levels of photomicrographs **b–e**. **b** The germinal zones of the prospective entorhinal cortex next to the hippocampal formation are composed of thin ventricular (VZ) and subventricular (SVZ) zones; the SVZ has no sublayers. **c** The SVZ of the prospective perirhinal cortex is divided into inner (ISVZ) and outer (OSVZ) zones. **d** The OSVZ is more prominent in prospective lateral (eulaminate) temporal areas. **e** The cell density and the thickness of the OSVZ increases progressively in a lateral direction along a line of increasing laminar elaboration. **f–j** Photomicrographs from a human fetus of 20-week gestational age stained for Nissl. **f** Low-power photomicrograph at the level of the temporal lobe shows the levels of photomicrographs **g–j**. **g** The germinal zones of the prospective entorhinal cortex next to the hippocampal formation are thicker compared to **b**, but the SVZ still does not show two subzones. **h** The prospective perirhinal cortex has thicker VZ and SVZ; the latter is subdivided into ISVZ and OSVZ. **i** Prospective lateral eulaminate areas have thicker VZ and ISVZ than prospective entorhinal and perirhinal areas; the OSVZ predominates. **j** The thickness of the OSVZ increases progressively in the lateral direction consistent with increased laminar definition in the adult. *Cd* caudate, *CP* cortical plate, *diss* lamina dissecans, *ISVZ* inner subventricular zone, *IZ* intermediate zone, *LGE* lateral ganglionic eminence, *MZ* marginal zone, *OSVZ* outer subventricular zone, *SP* subplate, *SVZ* subventricular zone, *VZ* ventricular zone. Calibration bar in *i* applies to **b–e** and **g–j** [Note: this figure is a re-examination of material from an earlier paper (Reillo et al. 2011)]

progenitors along zones that give rise to different types of cortex. In the rat embryo, VZ and SVZ show less variation across areas and the SVZ is less developed compared to the human (Fig. 7).

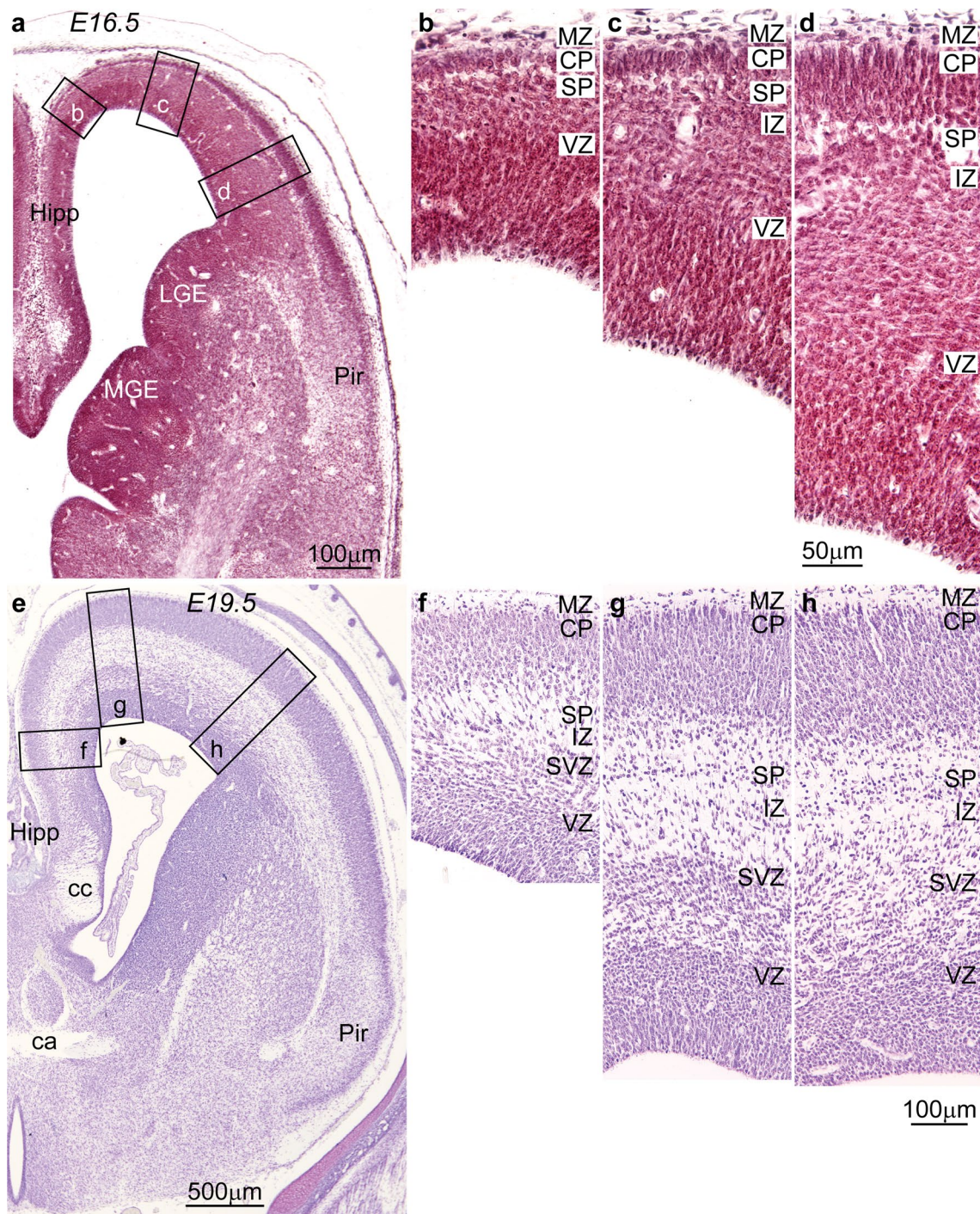
The linkage of different cortices to progenitor status was previously unsuspected because most developmental studies in primates had focused on the primary sensory areas (Smart et al. 2002; Lukaszewicz et al. 2005, 2006; Martinez-Cerdeno et al. 2012; Fame et al. 2017). A more inclusive study in the human quantitatively showed that prospective limbic cingulate and insular regions in the human embryo have fewer mitoses than prospective lateral eulaminate areas, which show higher proliferative activity in the OSVZ (Reillo et al. 2011).

Linkage of cortical type to cortical evolution

The developmental linkage of the systematic variation of the cortex suggests that it may also be traced to evolution. Ramón y Cajal described a process of simplification in the laminar structure of the cerebral cortex from humans to rodents. Mammals with comparatively simpler cortex do not generally have an inner granular layer IV and the other cortical layers are overall poorly differentiated (Ramón y Cajal 1904/2002), as seen in Fig. 8.

Two investigators after Cajal realized that the laminar structure of the cerebral cortex undergoes progressive differentiation across species, manifested by the gradual emergence of an inner granular layer (Dart 1934; Abbie 1940, 1942). They also proposed that the neocortex has a dual origin, one traced to the ancient olfactory cortex (dashed arrows in Figs. 1, 8), and the other to the ancestral hippocampal cortex (solid arrows in Figs. 3, 8; see Table 1 for nomenclature). These two anlagen were identified in comparative studies in non-mammalian species and were later discovered independently across mammalian species [reviewed in Sanides (1970); Reep (1984)]. The neocortical components that emerge from the dual origin show parallel laminar gradations that expand in evolution through successive rings. An ancestral ring of agranular type neocortex is present in all mammals. The last ring includes the well-laminated primary visual, somesthetic and auditory areas in primates [reviewed in Sanides (1970); Reep (1984); Pandya et al. (1988); Pandya et al. (2015)]. The topological relation of the rings is hard to visualize in primate gyrencephalic brains because the neocortex grew through successive rings beyond the ancestral limbic stem, like the cap of an oversized curly mushroom. Interestingly, data on neurogenesis across cortical areas in non-human primates (Rakic 1976b, 2002) are compatible with the emergence of systematic cortical variation in evolution; areas of progressively more elaborate laminar architecture finalize their development later. Gradients of lamination across prospective cortical areas during development (Barbas and García-Cabezas 2016) also support this hypothesis. Future studies comparing the developmental features of limbic and eulaminate areas across mammalian species and systems are needed to test the hypothesis of the Dual Origin of the Neocortex.

Further support for the hypothesis of the Dual Origin of the Neocortex emerged from modern genoarchitectonic studies in developing embryos of mice and non-mammalian species [reviewed in Puelles (2011); Nieuwenhuys and Puelles (2016); Puelles (2017)]. These investigators suggest that the neocortex appeared in evolution as an island surrounded by allocortex. Specification and patterning of the ancestral cortex is thought to be guided by two organizers: the cortical hem dorsally at the ancestral hippocampal anlage and the antihem at the olfactory anlage ventrally (Subramanian et al. 2009). These organizers secrete specific patterning molecules that diffusely help form complementary gradients that may have directed neocortical expansion (Medina and Abellan 2009; Subramanian et al. 2009; Montiel and Aboitiz 2015) (Fig. 9). This evidence from the developmental perspective is consistent with the hypothesis of the Dual Origin of the Neocortex. The conclusion from these studies is that the cortical type of a given area suggests its antiquity in phylogeny; the more elaborate the laminar architecture of a cortical area, the newer in evolution. This hypothesis needs



to be tested with further genoarchitectonic studies across mammalian species.

The fact that the Dual Origin of the Neocortex was proposed independently in so many species (Table 2), first in reptiles by Dart (1934), then in monotremes and marsupials by Abbie (1940, 1942), then in the human brain by Sanides (1962), is not likely to be a random coincidence. The independent discovery of the Dual Origin of the Neocortex rather suggests a common pattern in the development of the

cortex in evolution. These ideas were based on astute observations of cortical structure using simple stains for neurons and glia and are supported by modern genoarchitectonic studies, as summarized above.

Current ideas about the specialization in cortical systems in adult primates are consistent with the hypothesis of the Dual Origin of the Neocortex. The dorsal trend in the visual system includes a series of areas that have a bias for processing the spatial aspects of the visual environment (Ungerleider

Fig. 7 Systematic variation of embryonic layers in the prospective frontal cortex of the rat embryo (Nissl staining). Germinal zones and prospective cortical layers are named according to Bystron et al. (2008) and Reillo et al. (2011). **a–d** Photomicrographs from a rat embryo of 16.5-day gestational age stained for Nissl. **a** Low-power photomicrograph at the level of the interventricular foramen shows the levels of photomicrographs **b–d**. **b** The germinal zones of the prospective cingulate cortex next to the hippocampal formation (Hipp in **a**) are composed of the ventricular (VZ), the intermediate zone (IZ) which contains many cells migrating to the cortical plate, the subplate (SP), and the cortical plate (CP) which is thin. **c, d** Prospective lateral areas have slightly thicker VZ with progressive thicker CP; the IZ contains many cells migrating to the CP. At this stage, a subventricular zone (SVZ) cannot be distinguished with Nissl stain. **e–h** Photomicrographs from a rat embryo of 19.5-day gestational age stained for Nissl. **e** Low-power photomicrograph at the level of the anterior commissure (ca) shows the levels of photomicrographs **f–h**. **f** The prospective cingulate area shows well-differentiated VZ and SVZ. **g, h** In a lateral direction, the VZ and the SVZ are slightly thicker, but a clear division of the SVZ into inner and outer zones is not apparent. *ca* anterior commissure, *cc* corpus callosum, *CP* cortical plate, *Hipp* anterior extension of the hippocampal formation, *IZ* intermediate zone, *LGE* lateral ganglionic eminence, *MGE* medial ganglionic eminence, *MZ* marginal zone, *Pir* piriform cortex in the primary olfactory cortex, *SP* subplate, *SVZ* subventricular zone, *VZ* ventricular zone. Calibration bar in **d** applies to **b–d**; calibration bar in **h** applies to **f–h**. [Note: this figure is an examination of material from a gift of Dr. Alan Peters]

and Mishkin 1982). According to the dual origin hypothesis, the dorsal trend may be traced to the ancestral hippocampal cortex. The ventral trend includes visual areas that process the features of visual stimuli, having origin in an ancestral olfactory cortex, the key sensory system in reptiles. Primates rely heavily on the visual modality, and visual cortices have expanded considerably. Allman and Kaas have suggested that the many visual cortices in primates may reflect duplications in the genome (Allman 2000). Specializations into dorsal and ventral systems have also been reported for other cortical sensory systems (Romanski et al. 1999), as well as for the motor–premotor cortical system (Pandya and Barbas 1985; Barbas and Pandya 1987).

Summary and conclusions: the multiple uses of the Structural Model to guide research

The Structural Model was the first to link the pattern and strength of connections to the classical theory of cortical systematic variation [(Barbas 1986; Barbas and Rempel-Clover 1997): reviewed in Barbas (2015)]. The relational Structural Model allows predictions about patterns and strength of connections, the degree of synaptic plasticity, and the vulnerability of cortical areas to psychiatric and neurologic diseases. Cortical type, as embodied in the Structural Model, is rooted in the systematic variation of the cortex, which has expanded in laminar complexity through many rings in humans. Most rings beyond the basal limbic are not

present in mammals with simpler cortex. The prefrontal cortex of rodents is largely agranular (Krettek and Price 1977; Wise 2008). By contrast, the prefrontal cortex of primates has agranular and dysgranular as well as a predominant array of eulaminar cortices (Barbas and Pandya 1989; Petrides et al. 2012). This evidence suggests that limbic areas across mammalian species are homologous, defined as areas that have the same developmental origin (Wagner 1989; Nieuwenhuys and Puelles 2016), and likely share evolutionary origin. The construct of cortical type thus provides the basis to suggest homologies of cortical areas and facilitate comparisons across species. Based on this evidence, eulaminar areas with thick and dense layer IV in the primate brain (e.g., Fig. 1f, g) do not have homologous counterparts in the rodent cortex. Rodents have primary areas that lack the elaborate laminar definition of the primary areas in primates. This evidence suggests that primary areas in rodents and primates are analogous but not homologous.

Taking into account cortical type should help focus neurodevelopmental studies in primate embryos on the limbic areas that are understudied (e.g., Nowakowski et al. 2017) in spite of their predilection to pathology. Spatiotemporal analysis of gene expression along the primate developing cortex may help identify factors and time windows of neurodevelopmental disruption. Integrative single-cell analysis (e.g., Woodworth et al. 2017) applied to neurons of limbic areas in neurotypical humans and those with psychiatric diseases may help zero in on molecular factors that affect the structure and function in human diseases (García-Cabezas et al. 2018). Genetic and epigenetic studies of the human cortex (e.g., Lake et al. 2017; Roy et al. 2018) would benefit from a focus on cortical type differences to compare areas with different molecular, laminar, cellular, and connective profiles, as suggested (García-Cabezas et al. 2018). Predicting ontogenetic period by cortical type can provide information on windows of vulnerability and help explain the variability in diseases, such as autism (Zikopoulos and Barbas 2010, 2013; Zikopoulos et al. 2018a, b).

Conceptualizing the construct of cortical type as employed in the Structural Model opens up avenues to understand seemingly puzzling phenomena, such as similar expression of markers in areas that are not neighbors (Roy et al. 2018), or connections between them. Distant areas can be connected because they are sisters in type by membership to the same or neighboring ring of cortical differentiation, and not by their Euclidean distance [reviewed in Hilgetag et al. (2016)]. Novel markers that reveal patterns of expression in the cortex (Burt et al. 2018) support the classical principle of cortical systematic variation, notwithstanding the proliferation of new names to describe them (see Table 3). Novel markers may add to the dimensions that describe cortical type and sharpen its definition. Big data alone, however, do not provide insights for understanding

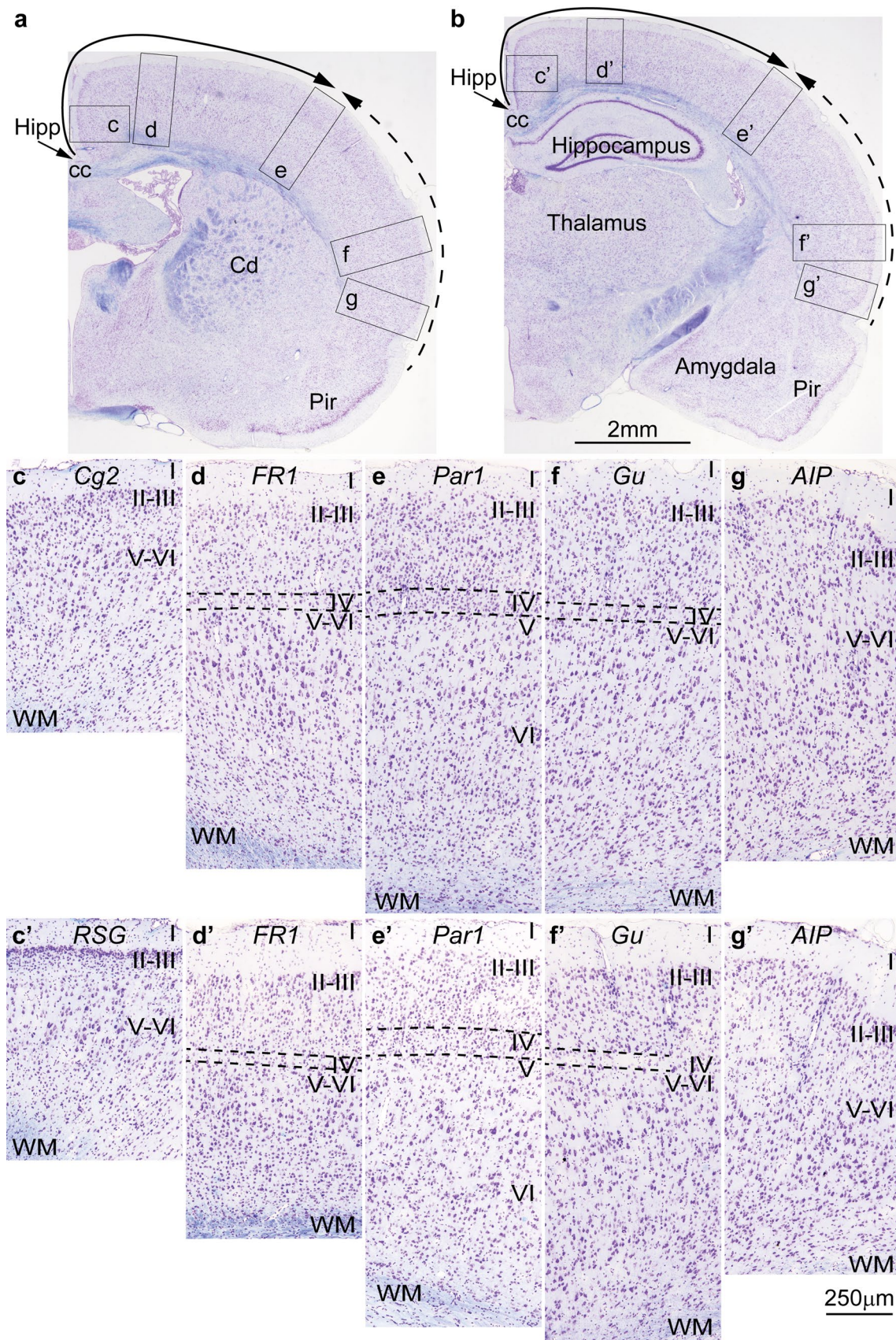


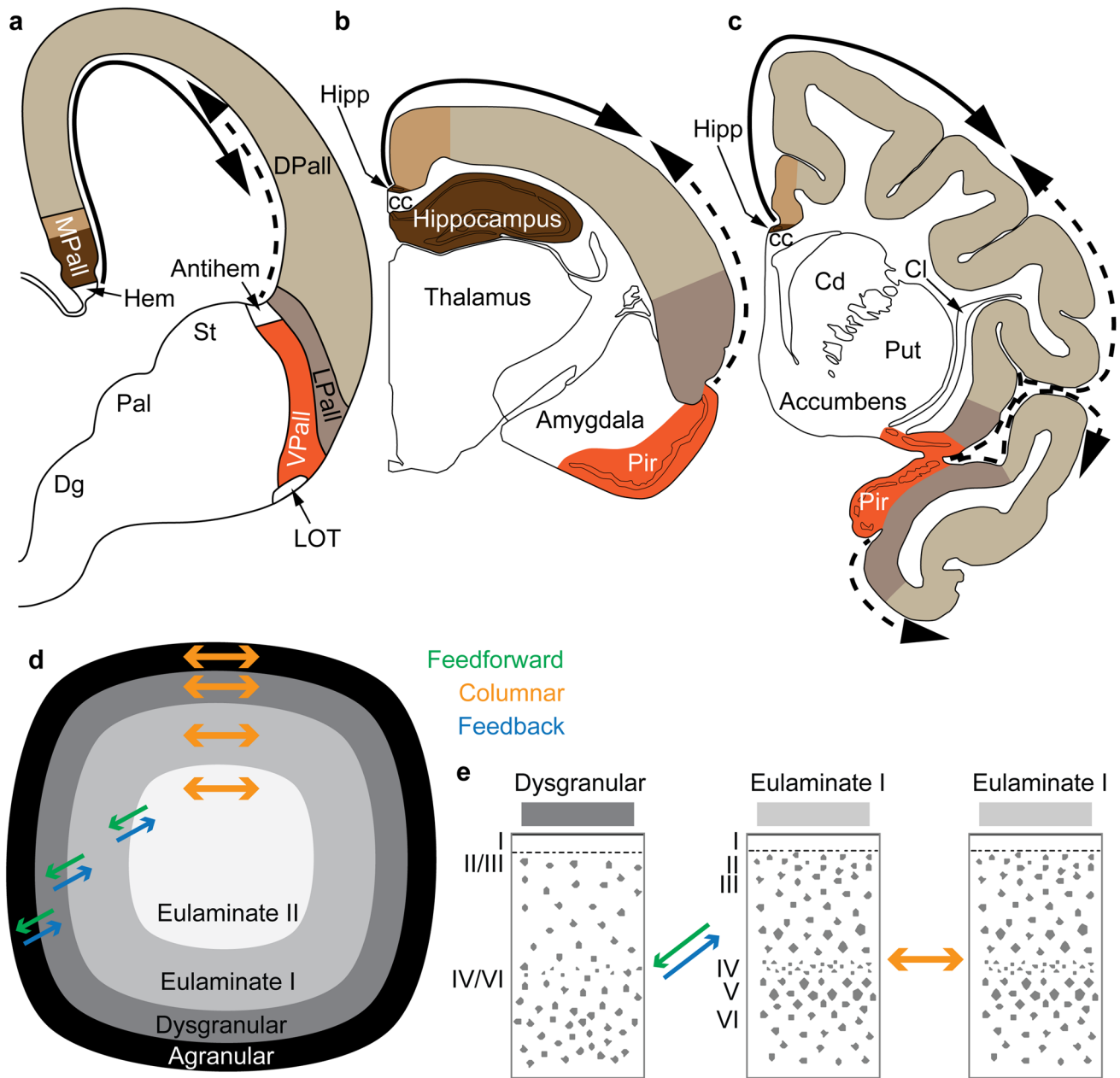
Fig. 8 Cortical types and laminar gradients of differentiation in the cerebral cortex of the adult rat (stained for Nissl—purple and myelin—blue). Areas are named according to Zilles (1985). **a, b** Photomicrographs of coronal sections in an adult rat brain stained for Nissl and myelin show the levels of photomicrographs *c–g* (from **a**) and *c'–g'* (from **b**); the solid and dashed arrows show trends of increasing laminar differentiation. **c–c'** Cingulate area 2 (Cg2) and the granular retrosplenial cortex (RSG) are found next to the anterior extension of the hippocampal formation (Hipp in **a, b**) and lack layer IV. **d–d'** Frontal cortex, area 1 (FR1, primary motor cortex) has rudimentary layer IV (dashed lines). **e–e'** Parietal cortex, area 1 (Par1, primary somesthetic cortex) has identifiable layer IV (dashed lines). **f–f'** The gustatory cortex (Gu) has rudimentary layer IV (dashed lines). **g–g'** The posterior part of the agranular insular cortex (AIP) is next to the piriform cortex (Pir in **a, b**) and lacks layer IV. *AIP* agranular insular cortex, posterior part, *cc* corpus callosum, *Cd* caudate, *Cg2* Cingulate area 2, *FR1* frontal cortex, area 1 (primary motor cortex), *Gu* gustatory cortex, *Hipp* anterior extension of the hippocampal formation, *Par1* parietal cortex, area 1 (primary somesthetic cortex), *Pir* piriform cortex in the primary olfactory cortex, *RSG* granular retrosplenial cortex, *WM* white matter. Roman numerals indicate cortical layers. Calibration bar in **b** applies to **a** and **b**. Calibration bar in **g'** applies to *c–g* and *c'–g'*. [Note: this figure is an examination of material from a gift of Dr. Alan Peters]

the brain, the same way that big data have not led to understanding cancer (Weinberg 2014). A theoretical framework is needed to provide context to organize new data and to provide insights into brain connections, function, and dysfunction in brain diseases.

Astute observations of animals, plants and rocks allowed Darwin to make a big leap and formulate the most enduring theory of evolution (Darwin 1859), as was the case for Cajal, who came up with the neuron doctrine after simple observations under a monocular microscope (Ramón y Cajal 1937). Neuroscience has a lot to learn from classical theories that are based on empirical observations to derive principles and test them rigorously with new data. A theoretical framework and new data can go hand-in-hand to inform and advance both.

Table 1 Nomenclature for cortical types

Brodmann (1909/1999)	Vogt and Vogt (1919)	Ariëns Kappers et al. (1936)	Filimonoff (1947)	Yakovlev (1959)	Sanides (1970)	Braak (1980)	This paper	Puelles (2017)
Heterogenetic	Allocortex	Archicortex (hippocampus)	Cortex incompletus (hippocampus and olfactory cortex)	Entopallium (hippocampus and olfactory cortex)	Allocortex (hippocampus and olfactory cortex)	Allocortex sensu stricto (hippocampus and olfactory cortex)	Allocortex (hippocampus and olfactory cortex)	Medial pallium
		Paleocortex (olfactory cortex)						Ventral pallium
Homogenetic	Isocortex	Neocortex	Cortex intermedius	Mesopallium	Periallocortex (agranular)	Mesocortex (Periallocortex)	Agranular limbic cortex	Lateral pallium
					Proisocortex (dysgranular)	Mesocortex (Proisocortex)	Dysgranular limbic cortex	Medial pallium
			Cortex completus	Ectopallium	Isocortex	Isocortex sensu stricto	Eulaminar	Dorsal pallium



Reptiles	Agama, Zonurus, Gecko, Testudo, Chameleon	Dart (1934)
Mammals	Monotremes	Abbie (1940)
	Marsupials	Abbie (1942)
	Placental	Sanides (1970) and this paper
		Morgane et al. (1990)
		Sanides and Hoffmann (1969)
		Sanides and Krishnamurti (1967)
		Sanides (1968)
		Pandya and Sanides (1973), Mesulam and Mufson (1982), Pandya and Barbas (1985), Pandya et al. (1988), Barbas and Pandya (1989) and this paper
		Sanides (1962, 1964) and Galaburda and Sanides (1980)
		Human

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Table 3 Different nomenclature for the same regularities and principles

Barbas (1986) and Barbas and Rempel-Clower (1997)	Laminar structure (cortical type)	Laminar pattern of connections	Structural Model: relation of laminar structure to cortical connections
van den Heuvel et al. (2015), van den Heuvel and Yeo (2017), Scholtens et al. (2018), Scholtens and van den Heuvel (2018) and Wei et al. (2019)	Microscale pattern of cortical organization	Macroscale pattern of connectivity	Bridging/linking/relating the micro- and macroscale organization of the cortex
Huntenburg et al. (2018)	Cortical microstructure	Macroscale connectivity	Sensorimotor-to-transmodal gradient of cortical organization ^a
Burt et al. (2018)	Microanatomy, microcircuit specialization, microscale properties	Hierarchical long-range interactions	Hierarchical gradients of microscale properties contribute to the macroscale specialization of cortical function

^aFirst proposed by Jones and Powell (1970) and then elaborated by Mesulam (1985)

Acknowledgements This work was supported by the National Institutes of Health (NINDS R01 NS024760; NIMH R01 MH057414; R01 MH117785; and R01 MH101209). The sections of rat brain shown in Figs. 7 and 8 were a generous gift from Dr. Alan Peters.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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