Visual-Inertial Filtering for Human Walking Quantification

Marc Mitjans^{1*}, Michail Theofanidis^{1*}, Ashley N. Collimore², Madelaine L. Disney³, David M. Levine³, Louis N. Awad², Roberto Tron¹

Abstract-We propose a novel system to track human lowerbody motion as part of a larger movement assessment system for clinical evaluation. Our system combines multiple wearable Inertial Measurement Unit (IMU) sensors and a single external RGB-D camera. We use a factor graph with a Sliding Window Filter (SWF) formulation that merges 2-D joint data extracted from the RGB images via a Deep Neural Network, raw depth information, raw IMU gyroscope readings, and estimated foot contacts extracted from IMU gyroscope and accelerometer data. For the system, we use an articulated model of human body motion based on differential manifolds. We compare the results of our system against a gold-standard motion capture system and a vision-only alternative. Our proposed system qualitatively presents smoother 3D joint trajectories when compared to noisy depth data, allowing for more realistic gait estimations. At the same time, with respect to the vision-only baseline, it improves the median of the joint trajectories by around 2 cm, while considerably reducing outliers by up to 0.6 m.

I. INTRODUCTION

Frail older adults constitute a fast-growing segment of the population. Many aged individuals suffer from multiple disorders associated with the loss or impairment of motor functions [1]. Modern health care systems fail on most accounts to detect the changes in a person's health status that often precede catastrophic events, thus leading to intensive, high-cost, and institution-based interventions that may have been avoided with better monitoring. Changes in physical activity and movement dysfunctions are often the first indicators of frailty. Home-based technologies that continuously assess real world mobility have high potential to facilitate early detection and treatments. In this light, we propose a multimodal movement estimation system that could be used for home-based mobility monitoring, and ultimately for the timely diagnosis of movement impairments.

Fig. 1 illustrates the overall architecture of the proposed system. In the current instance, we use a single stationary 3D depth-sensing camera and four Inertial Measurement Unit (IMU) sensors strapped on the lower body of the subject. We use a pre-trained Deep Neural Network (DNN)

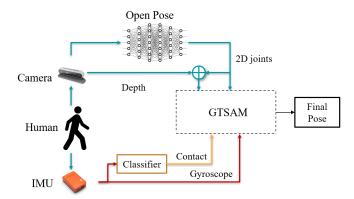


Fig. 1: Proposed System Architecture. The system fuses information from IMU sensors and pose information from a pre-trained DNN to provide more robust pose estimation.

model (OpenPose [2]) to extract 2-D joint data from the RGB images, and a Logistic Regressor (LR) classifier to estimate foot contacts. These computed measurements are then combined via a factor graph with raw depth and IMU gyroscope measurements, based on a differential manifold representation of an articulated human model; we use the Georgia Tech Smoothing and Mapping (GTSAM) [3] for inference on the factor graph.

Related Work. There is substantial interest in measuring human motion using vision and inertial measurement approaches. For example, the authors of [4] track the upper limb of patients undergoing rehabilitation with the use of two IMU sensors and a kinematic model. The authors of [5] and [6] similarly use a dynamic and kinematic model to estimate the pose of a pedestrian from a single RGB camera. Furthermore, the authors of [7] use IMU sensors to track the human body with the aid of a kinematic model from a Kinect sensor. Lastly, several algorithms that require RGB-D data [8], [9] such as of [10] and [11] propose multisegment tracking algorithms that decomposed RGB-D data volumetrically into regions that represent the human body skeleton. In comparison to these studies, the proposed system uses a tighter integration of machine learning and a filtering framework with an additional foot contact detector. This improves our system with the capabilities of machine learning algorithms, while still benefiting from the robustness of model based approaches.

In parallel, the fusion of vision and inertial measurements represents a cornerstone of state estimation for robots, typically referred to as Visual-Inertial Odometry (VIO). Approaches in this domain can be divided into two categories:

^{*} M. Mitjans and M. Theofanidis equally contributed to this work.

¹Department of Mechanical Engineering, Boston University, 110 Cummington Mall, MA 02215, USA {mmitjans, theofan, tron}@bu.edu. Affiliates are supported by NIH R01AG067394-02. M. Mitjans is additionally supported by "la Caixa" Foundation fellowship LCF/BQ/AA18/11680117. R. Tron is additionally supported by NSF NRI-1734454.

²College of Health and Rehabilitation Sciences: Sargent College, Boston University, 635 Commonwealth, MA 02215, USA {louawad,acollimo}@bu.edu. Affiliates are supported by AHA 18IPA34170487.

³Division of General Internal Medicine and Primary Care, Brigham and Women's Hospital, Harvard Medical School, 75 Francis St, Boston, MA 02115, USA {dmlevine,mdisney}@bwh.harvard.edu.

loosely coupled and tightly coupled [12], [13]. Loosely coupled approaches use the IMU for the update step of the estimation together with simple models (e.g., constant velocity) for the prediction. On the other hand, tightly coupled approaches use the IMU data directly in the prediction step, without requiring a priori models for the system dynamics. Virtually all recent VIO approaches are based on a tightly coupled architecture. These approaches can be further divided into two categories: filtering methods and nonlinear optimization methods. The most representative work for filtering methods is the Multi-State Constraint Kalman Filter (MSCKF) [14], [15], which expands upon the Extended Kalman Filter (EKF). Historically, filtering methods were mainly used for their low computational requirements, which easily allowed real-time performance. More recently, Sliding Window Filtering (SWF) approaches based on nonlinear optimization via factor graphs [16], [17] have emerged as the state of the art paradigm; these methods perform maximum likelihood estimation on a limited sliding window of measurements. Although computationally more intensive, they provide better performance [18].

Paper contributions. Our system applies recent advancements from the VIO literature to the domain of human motion estimation, showing that it is possible to augment the raw measurements with the outputs of machine learning algorithms, and that such outputs can be successfully integrated in factor graph methods. We report performance of the system when used as part of clinically-relevant mobility testing.

II. PRELIMINARIES

In this section we cover the mathematical background and preliminaries required to implement the proposed SWF for 3D human walker tracking. For additional details, we refer to existing literature on Lie groups [19], [20], optimization on manifolds [21], and factor graphs [3].

A. The rotation group SO(3)

The space of 3-D rotations is defined as $SO(3) = \{\mathbf{R} \in$ $\mathbb{R}^{3\times3}$: $\mathbb{R}^{T}\mathbb{R} = \mathbb{I}_{3}$ and is a Lie group (i.e., it has a group structure given by the matrix multiplication and is also a differential manifold). Its tangent space at the identity, the Lie algebra $\mathfrak{so}(3)$, is given by the space of 3×3 skew symmetric matrices; it can be identified with \mathbb{R}^3 via the *hat* operator, which maps a vector $\omega \in \mathbb{R}^3$ to a matrix $\omega^{\wedge} \in \mathfrak{so}(3)$ encoding the cross product, i.e., $\omega^{\wedge}v = \omega \times v$ for any $v \in \mathbb{R}^3$. Tangents to a time-varying curve R can be written in the form of $\dot{\mathbf{R}} = \mathbf{R}\omega^{\wedge}$. The exponential map at the identity, denoted by exp : $\mathfrak{so}(3) \mapsto SO(3)$, maps an element $\omega \in \mathfrak{so}(3)$ to a rotation R along the geodesic (under the standard Riemannian metric on SO(3) in the direction ω . The logarithm map $\log : SO(3) \mapsto \mathfrak{so}(3)$ is locally defined as the inverse of the exponential map. The left and right Jacobian of the exponential and logarithm maps give the relation between tangents of curves in $\mathfrak{so}(3)$ and tangents of curves on SO(3), and can be computed in closed form (see [22] for details).

B. Optimization on manifolds

Let \mathcal{M} be a Riemannian manifold. A *retraction* $\mathfrak{R}_{\mathcal{X}}$ on \mathcal{M} is a map from the tangent space at \mathcal{X} to the manifold (the exponential map exp defined in Sec. II-A is an example).

Let us consider $\min_{\mathcal{X} \in \mathcal{M}} g(\mathcal{X})$ as an optimization problem over \mathcal{M} . The cost function $g(\mathcal{X})$ can be *lifted* [23] via a retraction to the tangent space, i.e., $g(\mathcal{X})$ can be transformed to $\bar{g}(\mathfrak{R}_{\mathcal{X}}(\delta \mathcal{X}))$, where $\delta \mathcal{X}$ is an element of the tangent space. Algorithms for optimization on manifolds then follow a twostep process: 1) use the lifted cost function to determine a direction $\delta \mathcal{X}$ that locally decreases the cost; 2) use the retraction to move \mathcal{X} to $\mathfrak{R}(\mathcal{X})$. The first step typically requires computing the gradient of the lifted cost \bar{g} , which in turn requires the Jacobian of the retraction.

C. Factor graphs

A factor graph $\mathcal{G} = (\mathcal{S}, \mathcal{F})$ is a bipartite graphical model that represents a probability distribution given by the multiplication of factors $\mathcal{F} = \{f_k\}$ that are functions of subsets s_k of the states $\mathcal{S} = \bigcup_k s_k$ [24]; typically, each factor will depend also on a subset z_k of external measurements $\mathcal{Z} = \{z_k\}$. Factor graphs are at the base of the current stateof-the-art results in Simultaneous Location And Mapping (SLAM) [25]. More in detail, the likelihood of \mathcal{S} given \mathcal{Z} is defined as from the factors \mathcal{F} as

$$P(\mathcal{S}|\mathcal{Z}) \propto \prod_{k} f_k(s_k; z_k).$$
 (1)

The maximum likelihood (ML) estimate of S corresponds to maximizing (1), or equivalently, minimizing its negative log-likelihood. As it is common in the literature [3], [26], we assume that each factor f_k is given by residuals r_k that follow a zero-mean Gaussian distributions with a covariance Σ_k . The final optimization problem associated to \mathcal{G} can then be written as a nonlinear least-squares optimization problem:

$$\mathcal{S}^* = \underset{\mathcal{S}}{\operatorname{argmin}} - \ln \prod_k f_k(\mathcal{S}) = \underset{\mathcal{S}}{\operatorname{argmin}} \sum_k \|r_k(\mathcal{S})\|_{\Sigma_k}^2.$$
(2)

When S includes variables in Riemannian manifolds, this optimization problem is solved using the techniques reviewed in Sec. II-B. Intuitively, the covariances Σ_k in (2) define the relative weights of each factor; while some covariance matrices can be estimated via calibration (e.g., for inertial measurements), others are commonly treated as design parameters (e.g., for the output of machine learning algorithms).

The complexity for solving (2) increases with the total number of factors. In order to achieve real-time computations, we use a Sliding Window Filtering approach, where only a subset of the n most recent measurements are kept, leading to an approximately constant computational cost.

In applications where temporal data is factored in, filtering methods are required to limit its complexity.

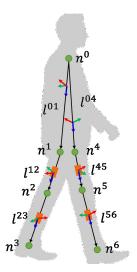


Fig. 2: Graphic representation of the human tree \mathcal{H} , with the links' length variables l^{ij} and their respective orientations (the z axes correspond to the blue arrows). The tree nodes n^k are depicted by green circles, and the IMUs are represented by orange rectangles.

III. PROBLEM FORMULATION

A. Human walker model

We represent a human walker by a tree $\mathcal{H} = (\mathcal{V}, \mathcal{E})$. The nodes $n^i \in \mathbb{R}^3$, $i \in \mathcal{V}$ correspond to 3-D joint positions expressed in a fixed inertial frame, and with i = 0 representing the root node). The edges $(i, j) \in \mathcal{E}$ correspond to links; for each one, we associate a length $l^{ij} \in \mathbb{R}$ that is independent of t_k , and a rotation $\mathbf{R}_k^{ij} \in SO(3)$ for each time t_k , defined as a rotation from the link to the fixed inertial frame with its z axis pointing downward along the direction of the link. See Fig. 2 for a graphical depiction of the model.

The kinematic relation for each edge $(i, j) \in \mathcal{E}$ is:

$$n_{k}^{j} = n_{k}^{i} + l^{ij} \mathbf{R}_{k}^{ij} e_{3} = n_{k}^{i} + l^{ij} \exp(r_{k}^{ij}) e_{3}, \qquad (3)$$

where $r_k^{ij} = \log(\mathbf{R}_k^{ij})$, and $e_3 = \begin{bmatrix} 0\\0\\1 \end{bmatrix}$ corresponds to the standard basis *z* axis coordinates. Our model parametrization (in contrast, e.g., to a naive Cartesian joint representation), allows us to separate extrinsic quantities (rotations) from intrinsic model parameters (lengths).

B. Measurements

We capture temporal data from Inertial Measurement Units (IMUs), and spatial data from an RGB-D camera, from which we extract four different types of measurements. The time instants t_k are given by the camera time stamps.

1) Inertial Measurement Units: We assume that the average angular velocities for four links (two thights and two shanks) are measured by IMUs placed in the middle of each link (see Fig. 2). We assume a consistent placement of the IMUs with respect to the body, so that the measurements can be expressed in the correct reference frame via a fixed rotation. Since IMU measurements are received at a higher rate than image-based measurements, we use *preintegration* [17] to combine multiple IMU measurements into a single relative rotation $\Delta \tilde{\mathbf{R}}_k^{ij}$ such

that $\Delta \tilde{\mathbf{R}}_{k}^{ij} = {\mathbf{R}_{k-1}^{ij}}^{\mathrm{T}} \mathbf{R}_{k}^{ij}$ in the absence of noise. We denote as $\mathcal{I}_{k} = \{\Delta \tilde{\mathbf{R}}_{k}^{ij}\}$ the full set of preintegrated IMU rotations at time t_{k} .

2) *RGB images:* Images are processed using the OpenPose DNN model [2], which outputs 2-D image plane pixel coordinates \tilde{x}_k^i , \tilde{y}_k^i for each joint $i \in \mathcal{V}$ in our human model and for each frame t_k . Note that OpenPose uses a cascade of regressors that results in holistic estimations of the joint position, i.e., the coordinates of hidden joints are sometimes inferred from the detections of the visible joints. We denote as \mathcal{P}_k the full set of coordinates for all the joints.

3) Depths: The RGB-D camera provides depth data frames synchronously with the RGB images at each time t_k . Using a known camera calibration matrix K, we back-project the 2-D coordinates from the OpenPose measurements \mathcal{P}_k measurements to the point cloud to recover the depth z_k^i for each joint. We denote as \mathcal{D}_k the full set of depths for all the joints at time t_k . Note that an alternative approach would be to combine image and depth measurements into a single 3-D joint estimate. However, this would couple two modalities that have very different noise models, making the determination of appropriate covariance matrices difficult.

4) Contacts: We use IMU data to extract additional foot contact measurements, which are used to introduce factors that fix the position of feet that are on the ground. To avoid the use of additional sensors for step detection (as in [16], [27]), some of which would require more cumbersome setups for the human subject, we produce estimates of the contact state between the feet and the ground by using a trained binary classifier on the angular velocity and acceleration data from the IMUs in Sec. III-B.1; specifically, we use input feature vectors in \mathbb{R}^{120} obtained by concatenating (non-preintegrated) gyroscope and accelerometer data over 30 successive measurements. For the outputs, we manually labelled 2722 keyframes in 18 datasets independent from the ones presented in Sec. V. We trained the classifier using 70%of the data for training, and 30% for testing the performance of the classfier. We tested both a Recurrent Neural Network (RNN) and a Logistic Regression (LR) model, and while both gave similar results, the latter was finally chosen due to its simplicity. To reduce the influence of outliers, the output of the LR classifier is further processed with a median filter. In the end, our classifier showed a 95% classification accuracy. We denote as C_k the set of contact estimations at time t_k .

IV. FACTOR GRAPH

We define the state \mathcal{R}_k of the human walker at time t_k by concatenating the root node position n_k^0 with all rotations \mathbf{R}_k^{ij} to form state, and we also define a calibration state \mathcal{L} containing all the lengths l^{ij} . As previously mentioned, we define the factor graph on a window of n states $\mathcal{S}_k = \{\mathcal{L}, \{\mathcal{R}_{k'}\}_{k'=k-n+1}^k\}$ over the time interval $(t_{k-n}, t_k]$. The corresponding set of measurements is defined as $\mathcal{Z}_k = \{\{\mathcal{I}_{k'}, \mathcal{P}_{k'}, \mathcal{D}_{k'}, \mathcal{C}_{k'}\}_{k'=k-n+1}^{k-1}, \mathcal{P}_k, \mathcal{D}_k\}$. Note that we have one less measurement vector for $\mathcal{I}_{k'}$ and $\mathcal{C}_{k'}$, as they are in-between keyframe measurements.

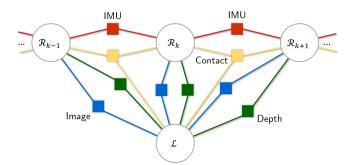


Fig. 3: A small window of the proposed factor graph. Connecting the orientation states \mathcal{R} and length state \mathcal{L} , it shows the IMU (red), image plane (blue), depth (green), and contact (yellow) factors.

Our goal is to compute the ML estimate of the states S_k given the measurements Z_k . By assuming statistically independent measurements, (2) can be used to formulate our problem with the factor graph shown in Fig 3, leading to the following optimization problem:

$$S_{k}^{*} = \operatorname{argmin}_{S_{k}} \sum_{k'=k-n}^{k-1} \|\mathbf{r}_{\mathcal{I}_{k'}}\|_{\Sigma_{\mathcal{I}_{k'}}}^{2} + \sum_{k'=k-n}^{k} \|\mathbf{r}_{\mathcal{P}_{k'}}\|_{\Sigma_{\mathcal{P}_{k'}}}^{2} + \sum_{k'=k-n}^{k} \|\mathbf{r}_{\mathcal{D}_{k'}}\|_{\Sigma_{\mathcal{D}_{k'}}}^{2} + \sum_{k'=k-n}^{k-1} \|\mathbf{r}_{\mathcal{C}_{k'}}\|_{\Sigma_{\mathcal{C}_{k'}}}^{2}$$
(4)

where $\mathbf{r}_{\mathcal{I}_{k'}}$, $\mathbf{r}_{\mathcal{P}_{k'}}$, $\mathbf{r}_{\mathcal{D}_{k'}}$ and $\mathbf{r}_{\mathcal{C}_{k'}}$, $\Sigma_{\mathcal{P}_{k'}}$, $\Sigma_{\mathcal{D}_{k'}}$, and $\Sigma_{\mathcal{C}_{k'}}$) are the residuals (covariance matrices) of the IMU, image plane, depth and contact factors, respectively.

In the remainder of this section, we give expressions for the residuals and their Jacobian matrices, such that (4) can be solved using GTSAM (see Sec. II-B). We use superscripts iand ij to denote quantities that refer to a singular joint or link (full expressions are obtained by stacking these quantities).

A. IMU factor

The IMU factor computes the rotation error of each link between two consecutive keyframes based on the residual

$$\mathbf{r}_{\mathcal{I}_{k}}^{ij} = \log\left(\left(\Delta \tilde{\mathbf{R}}_{k}^{ij} \right)^{\top} (\mathbf{R}_{k-1}^{ij})^{\top} \mathbf{R}_{k}^{ij} \right)$$
(5)

Note that we do not model IMU bias in our factor graph. The factor above uses only gyroscope data, for which we empirically determined that the bias is negligible. Moreover, the accelerometer data is only used for contact detection, for which bias does not produce a significant difference.

1) Jacobians: The Jacobians of $\mathbf{r}_{\mathcal{I}_{k}^{ij}}$ with respect to the Lie representation of the rotations, r_{k-1}^{ij} and r_{k}^{ij} , are:

$$\mathbf{J}_{\mathcal{I}_{k}^{ij}}(r_{k-1}^{ij}) = -\mathbf{J}_{\mathbf{r}}^{-1}(\mathbf{r}_{\mathcal{I}_{k}^{ij}}) \cdot (\mathbf{R}_{k}^{ij})^{\top} \mathbf{R}_{k-1}^{ij}$$
(6)

$$\mathbf{J}_{\mathcal{I}_{k}^{ij}}(r_{k}^{ij}) = \mathbf{J}_{\mathbf{r}}^{-1}(\mathbf{r}_{\mathcal{I}_{k}^{ij}})$$
(7)

where $\mathbf{J}_{\mathbf{r}}^{-1}(\cdot)$ is the right Jacobian of the log map.

2) *Covariance:* The factor covariance for each link is given by the corresponding preintegrated IMU covariance; we refer to [17] for detailed expressions.

B. Image plane factor

The image plane factor computes a residual in 2-D metric coordinates:

$$\mathbf{r}_{\mathcal{P}_{k}}^{j} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \left(\frac{1}{z_{k}^{j}} \begin{bmatrix} x_{k}^{j} \\ y_{k}^{j} \\ 1 \end{bmatrix} - K^{-1} \begin{bmatrix} \tilde{x}_{k}^{j} \\ \tilde{y}_{k}^{j} \\ 1 \end{bmatrix} \right), \quad (8)$$

where x_k^j, y_k^j, z_k^j are the 3-D coordinates of the joint n^j in S, and the camera calibration matrix K is used to transform the pixel coordinates from OpenPose to metric coordinates.

1) Jacobians: To compute the Jacobians with respect to parent rotations \mathbf{R}_{k}^{pq} and link lengths l^{pq} , we start from the kinematic relation (3):

$$\begin{bmatrix} x^{j} \\ y^{j} \\ z^{j} \end{bmatrix} = \begin{bmatrix} x^{0} + \sum_{(p,q)}^{0,\dots,j} l^{pq} [\mathbf{R}^{pq}]_{02} \\ y^{0} + \sum_{(p,q)}^{0,\dots,j} l^{pq} [\mathbf{R}^{pq}]_{12} \\ z^{0} + \sum_{(p,q)}^{0,\dots,j} l^{pq} [\mathbf{R}^{pq}]_{22} \end{bmatrix}$$
(9)

where, we omitted the subscript k for the sake of readability, $[\mathbf{R}]_{ab}$ denotes the a, b-th element of the matrix \mathbf{R} , and the summations run over the the links (p,q) in the kinematic chain from the root to j. Then, for a given joint n^j we can compute its Jacobians with respect to a parent link (p,q). The Jacobian for the link length l^{pq} is

$$\mathbf{J}_{l^{pq}}^{j} = \begin{bmatrix} \frac{\partial(x^{j}/z^{j})}{\partial l^{pq}}\\ \frac{\partial(y^{j}/z^{j})}{\partial l^{pq}} \end{bmatrix} = \frac{1}{z^{j}} \begin{bmatrix} [\mathbf{R}^{pq}]_{02} - \frac{x^{j}}{z^{j}} [\mathbf{R}^{pq}]_{22}\\ [\mathbf{R}^{pq}]_{12} - \frac{y^{j}}{z^{j}} [\mathbf{R}^{pq}]_{22} \end{bmatrix}.$$
 (10)

Similarly, for the link orientation r^{pq} we have:

$$\mathbf{J}_{r^{pq}}^{j} = \begin{bmatrix} \frac{\partial(x^{j}/z^{j})}{\partial r^{pq}} \\ \frac{\partial(y^{j}/z^{j})}{\partial r^{pq}} \end{bmatrix} = \frac{l^{pq}}{z^{j}} \begin{bmatrix} e_{1}^{\top}J^{pq} - \frac{x^{j}}{z^{j}}e_{3}^{\top}J^{pq} \\ e_{2}^{\top}J^{pq} - \frac{y^{j}}{z^{j}}e_{3}^{\top}J^{pq} \end{bmatrix}, \quad (11)$$

where $J^{pq} = [-\mathbf{R}^{pq}e_2, \mathbf{R}^{pq}e_1, \mathbf{0}_{3\times 1}] \in \mathbb{R}^{3\times 3}$.

Finally, the Jacobian for joint n^j with respect to the system variable n^0 corresponds to:

$$\mathbf{J}_{n^{0}}^{j} = \begin{bmatrix} \frac{1}{z^{j}} & 0 & \frac{-x^{j}}{(z^{j})^{2}} \\ 0 & \frac{1}{z^{j}} & \frac{-y^{j}}{(z^{j})^{2}} \end{bmatrix}$$
(12)

2) Covariance: Since it is difficult to obtain a principled quantification of the covariance in the OpenPose estimates, we treat $\Sigma_{\mathcal{P}_k}$ as a design parameter. The fine-tuned parameter to present the results in Sec. V is $\Sigma_{\mathcal{P}_k} = 7 \cdot 10^{-4} \mathbf{I}_2$.

C. Depth factor

The residual for the depth factor is simply defined as

$$\mathbf{r}_{\mathcal{D}_k}^j = z_k^j - \tilde{z}_k^j \tag{13}$$

1) Jacobians: The Jacobian with respect to a parent link length l^{pq} is trivial, and can be directly extracted from (9):

$$\mathbf{J}_{l^{pq}}^{j} = e_3^{\top} \mathbf{R}^{pq} e_3 \tag{14}$$

By following a reasoning similar to the previous factor, $\mathbf{J}_{r^{pq}}^{j}$ and $\mathbf{J}_{n^{0}}^{j}$ are obtained with:

$$\mathbf{J}_{r^{pq}}^{j} = l^{pq} e_{3}^{\top} J^{pq} \tag{15}$$

$$\mathbf{J}_{n^0}^j = e_3^\top \tag{16}$$

where J^{pq} is computed in a similar manner as for (11).

2) Covariance: We experimentally observed that the noise variance in the depth measurements increases with the distance to camera. We therefore define a depth-dependent covariance matrix as follows. We captured a sequence of images in which a subject stood still in front of the camera at different distances, and captured the depth of all the joints as described in Sec. III-B.3. Assuming that joints at the same depth are identically distributed, we fit a logistic model $\sigma(\tilde{z})$ mapping the sample joint depth mean to the sample standard deviation. The covariance of the depth factor is then obtained from an empirically re-scaled version of σ with a tuning factor used to balance the scaling with other factors:

$$\Sigma_{\mathcal{D}_k}(\hat{z}_k) = 0.02 \ \sigma(\tilde{z})^2 = 0.02 \left(\frac{1}{1 + \exp(-(\tilde{z} - 4))}\right)^2$$
(17)

D. Contact factor

Contact measurements $C_k \in \{0, 1\}$ indicate whether the right foot (0) or the left foot (1) is in contact with the ground. This information is very useful to prevent unrealistic jittering of the estimated model along the z axis (especially at larger distances, where the depth data is more noisy), and to reduce the influence of misdetection errors from OpenPose.

The residual for the factor is derived from a simple constantposition model on the coordinates of the selected foot, n^{foot} :

$$\mathbf{r}_{\mathcal{C}_k} = n_{k+1}^{foot} - n_k^{foot}.$$
 (18)

1) Jacobians: Similarly to Sec. IV-C, we can compute the required Jacobians by recovering J^{pq} from (11):

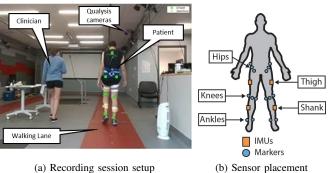
$$\mathbf{J}_{l^{pq}}^{foot} = \mathbf{R}_{k+1}^{pq} e_3^\top - \mathbf{R}_k^{pq} e_3^\top$$
(19)

$$\mathbf{J}_{r_{k+1}^{poot}}^{foot} = l^{pq} J_{k+1}^{pq} \tag{20}$$

$$\mathbf{J}_{r_{\cdot}^{pq}}^{foot} = -l^{pq}J_{k}^{pq} \tag{21}$$

$$\mathbf{J}_{n_{k+1}^{0}}^{foot} = -\mathbf{J}_{n_{k}^{0}}^{foot} = \mathbf{I}_{3}$$
(22)

2) Covariance: Although the synthetic contact measurements are obtained from IMU data, modeling their statistical correlation with the preintegrated rotation measurements is complex; hence, we opt to make the approximation that C_k is statistically independent from \mathcal{I}_k . Moreover, as in the case of image plane measurements, we treat the choice of the covariance as a tuning parameter for the model. For the experiments, we selected $\Sigma_{C_k} = 10^{-3} \mathbf{I}_3$.



(a) Recording session setup

Fig. 4: Experimental Setup. The left figure depicts a participant performing the 10MWT by walking on a lane in front of the camera according to the instructions of a clinician. The right figure provides a graphical illustration of the marker and IMU placement.

V. EXPERIMENTAL STUDY

A. Experimental Setup

We evaluate the proposed system on healthy human subjects using recordings of the 10-meter walk test (10MWT), a common clinical test consisting of an acceleration phase, a constant speed phase, and a deceleration phase. Fig. 4a shows the physical recording set up. The participant is outfitted with the four IMU sensors of our system (thighs and shanks), in addition to motion capture markers (ankles, knees, and hips) for recording ground-truth trajectories (see Fig. 4b for a diagram of the sensor placement). The RGB-D camera is placed longitudinally in the direction of the walking lane (Fig. 4a gives a sample RGB image).

The motion capture data are recorded by an 18-camera Qualysis Ogus-7 camera system at 200hz. The RGB-D camera used is a Realsense d435 camera (Intel) capturing data at 30 fps. The four Xsens MTW-Awinda IMU sensors captured data at 120 hz. The data from our system is managed via the Robotic Operating System (ROS) [28] middleware. The extrinsic calibration (i.e., rigid pose transformation) between the Qualysis and the RGB-D camera is performed before every recording session by collecting data on a calibration target with a fiducial (AprilTag [29]) aligned with motion capture markers, followed by a standard 3-D to 3-D alignment procedure; the temporal calibration is performed through communication between the proprietary Qualisys recording software and ROS.

B. Analysis of Results

In total, we collected data from five healthy participants under the IRB protocol Mass General Brigham 2020P003474, with each participant completing six trials of the 10MWT. In three 10MWT trials, the participant walked toward the RGB-D camera; during the other three trials, the participant walked away from the camera. Fig. 4a provides a graphical illustration of the experimental setup by showing a trial with a participant performing the 10MWT by walking away from the camera. During each trial, we record six Cartesian trajectories from the marker data that represent the motion of the right and left hip, knee, and ankle joints. The system then computes an

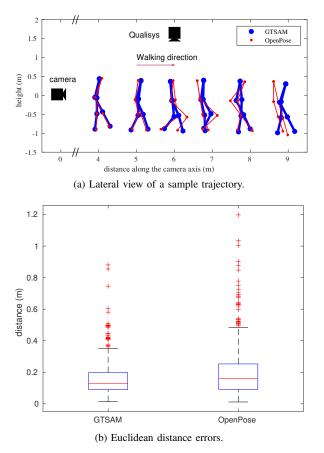


Fig. 5: GTSAM vs standalone OpenPose comparison on a signle dataset. At the top, GTSAM smooths out noisy depth values. At the bottom, the box plot presents the Euclidean errors of the trajectories of all joints with respect to the Qualisys ground truth.

initial estimation of these trajectories by projecting the pose information of OpenPose to the cloud data. Furthermore, the initial Cartesian trajectories are fused with the measurements of the IMU sensor by utilizing GTSAM to provide final estimations of the six captured trajectories.

Fig. 5a shows a sample trial with selected keyframes from the trajectories of the hips, knees and feet estimated by OpenPose and GTSAM with a 150-SWF. Note that, as the participant walks away from the camera, the estimations of OpenPose become more inaccurate due to the increased noise from the depth sensor. Additionally, our estimation produces more plausible gait trajectories. To compare quantitatively the performance of GTSAM and OpenPose, we calculated how close to the ground truth the estimations of GTSAM and standalone OpenPose are. For every joint of the skeleton in every keyframe, we compute the Euclidean distances of GTSAM and OpenPose with respect to the ground truth data. Fig. 5b presents a box plot that illustrates the four quartiles for the mentioned Euclidean joint errors in the trial represented in Fig. 5a. We can see that GTSAM with a 150-SWF reduces the outliers of OpenPose by more than 30 cm, and the values of the 75th percentile and median by a few centimeters.

Fig. 6 presents the Euclidean errors of OpenPose and GTSAM with two SWFs, of sizes 150 and 50, compared

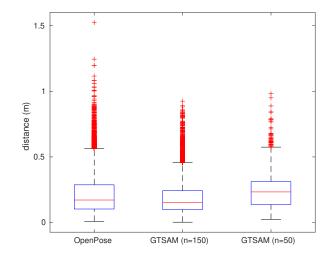


Fig. 6: Euclidean distance errors of OpenPose vs GTSAM for window sizes n = 150 and n = 50. The errors are computed for all the joint trajectories that were recorded in all the experiments.

to Qualysis data for all the joint trajectories in all the trials. Again, for a SWF of size 150 we notice a similar pattern than in Fig. 5, with GTSAM reducing the maximum outliers with respect to OpenPose by about 0.6 m, and the median by a few centimeters. Fig. 6 also provides a third boxplot for a SWF of size 50. This time, although GTSAM removes the outliers of OpenPose, its overall performance decreased. This phenomenon can be attributed to the fact that an increased number of states allows retaining more past information than a filter with reduced size. A large filter size, however, comes with the added cost of increased computational complexity, which is an important factor when considering real-life applications. On average, the factor graph optimization for windows of size 150 took 0.22 s, while the optimization for windows of size 150 took 0.53 s.

VI. CONCLUSION AND FUTURE WORK

In this paper, we presented a system that uses a human model and factor graph inference to fuse skeleton information from OpenPose with IMU data to estimate lower body human motion. The novelty of our work lies in the application of GTSAM (which is traditionally employed for localization and mapping in robots) to the domain of motion estimation for human walking, allowing the use of a human model based on manifolds, and the fusion of different sensor modalities with the output of different machine learning algorithms. In our experiments, we show that a sufficiently large Sliding Window Filter based on factor graphs qualitatively and quantitatively improves the 3D pose estimations with respect to a vision-only approach. In the future, we plan to investigate the use of our system in a home setting (where the use of the motion capture gold standard is not feasible), as well as the integration of the factor graph in the learning of the machine learning models, and the use of our system for automatic activity-based clinical assessment.

REFERENCES

- X. Song, A. Mitnitski, and K. Rockwood, "Prevalence and 10-year outcomes of frailty in older adults in relation to deficit accumulation," *Journal of the American Geriatrics Society*, vol. 58, no. 4, pp. 681–687, 2010.
- [2] Z. Cao, G. Hidalgo, T. Simon, S.-E. Wei, and Y. Sheikh, "OpenPose: realtime multi-person 2D pose estimation using Part Affinity Fields," *arXiv preprint arXiv:1812.08008*, 2018.
- [3] F. Dellaert, "Factor graphs and gtsam: A hands-on introduction," Georgia Institute of Technology, Tech. Rep., 2012.
- [4] L. Bai, M. G. Pepper, Y. Yan, S. K. Spurgeon, M. Sakel, and M. Phillips, "Quantitative assessment of upper limb motion in neurorehabilitation utilizing inertial sensors," *IEEE Transactions on Neural Systems and Rehabilitation Engineering*, vol. 23, no. 2, pp. 232–243, 2014.
- [5] M. A. Brubaker, D. J. Fleet, and A. Hertzmann, "Physics-based person tracking using simplified lower-body dynamics," in 2007 IEEE Conference on Computer Vision and Pattern Recognition. IEEE, 2007, pp. 1–8.
- [6] —, "Physics-based person tracking using the anthropomorphic walker," *International journal of computer vision*, vol. 87, no. 1-2, p. 140, 2010.
- [7] Y. Tian, X. Meng, D. Tao, D. Liu, and C. Feng, "Upper limb motion tracking with the integration of imu and kinect," *Neurocomputing*, vol. 159, pp. 207–218, 2015.
- [8] P. Wang, W. Li, P. Ogunbona, J. Wan, and S. Escalera, "RGB-D-based human motion recognition with deep learning: A survey," *Computer Vision and Image Understanding*, vol. 171, pp. 118–139, 2018.
- [9] M. Vondrak, L. Sigal, and O. C. Jenkins, "Physical simulation for probabilistic motion tracking," in 2008 IEEE Conference on Computer Vision and Pattern Recognition. IEEE, 2008, pp. 1–8.
- [10] B. Allain, J.-S. Franco, and E. Boyer, "An efficient volumetric framework for shape tracking," in *Proceedings of the IEEE Conference* on Computer Vision and Pattern Recognition, 2015, pp. 268–276.
- [11] O. Oreifej and Z. Liu, "Hon4d: Histogram of oriented 4d normals for activity recognition from depth sequences," in *Proceedings of the IEEE conference on computer vision and pattern recognition*, 2013, pp. 716–723.
- [12] S. Leutenegger, S. Lynen, M. Bosse, R. Siegwart, and P. Furgale, "Keyframe-based visual-inertial odometry using nonlinear optimization," *The International Journal of Robotics Research*, vol. 34, no. 3, pp. 314–334, 2015.
- [13] C. Campos, R. Elvira, J. J. G. Rodríguez, J. M. Montiel, and J. D. Tardós, "ORB-SLAM3: An accurate open-source library for visual, visual-inertial and multi-map SLAM," *arXiv preprint arXiv:2007.11898*, 2020.
- [14] A. I. Mourikis and S. I. Roumeliotis, "A multi-state constraint kalman filter for vision-aided inertial navigation," in *Proceedings 2007 IEEE International Conference on Robotics and Automation*. IEEE, 2007, pp. 3565–3572.
- [15] M. Li and A. I. Mourikis, "High-precision, consistent ekf-based visualinertial odometry," *The International Journal of Robotics Research*, vol. 32, no. 6, pp. 690–711, 2013.
- [16] R. Hartley, M. G. Jadidi, L. Gan, J.-K. Huang, J. W. Grizzle, and R. M. Eustice, "Hybrid contact preintegration for visual-inertial-contact state estimation using factor graphs," in 2018 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS). IEEE, 2018, pp. 3783–3790.
- [17] C. Forster, L. Carlone, F. Dellaert, and D. Scaramuzza, "IMU preintegration on manifold for efficient visual-inertial maximum-aposteriori estimation." Georgia Institute of Technology, 2015.
- [18] L. E. Clement, V. Peretroukhin, J. Lambert, and J. Kelly, "The battle for filter supremacy: A comparative study of the multi-state constraint kalman filter and the sliding window filter," in 2015 12th Conference on Computer and Robot Vision. IEEE, 2015, pp. 23–30.
- [19] E. Eade, "Lie groups for 2D and 3D transformations," URL http://ethaneade. com/lie. pdf, revised Dec, vol. 117, p. 118, 2013.
- [20] G. S. Chirikjian, Stochastic Models, Information Theory, and Lie Groups, Volume 2: Analytic Methods and Modern Applications. Springer Science & Business Media, 2011, vol. 2.
- [21] N. Boumal, "An introduction to optimization on smooth manifolds," Available online, May, 2020.
- [22] J. Sola, J. Deray, and D. Atchuthan, "A micro lie theory for state estimation in robotics," arXiv preprint arXiv:1812.01537, 2018.
- [23] P.-A. Absil, R. Mahony, and R. Sepulchre, *Optimization algorithms on matrix manifolds*. Princeton University Press, 2009.

- [24] F. R. Kschischang, B. J. Frey, and H.-A. Loeliger, "Factor graphs and the sum-product algorithm," *IEEE Transactions on information theory*, vol. 47, no. 2, pp. 498–519, 2001.
- [25] F. Dellaert, M. Kaess et al., "Factor graphs for robot perception," Foundations and Trends® in Robotics, vol. 6, no. 1-2, pp. 1–139, 2017.
- [26] H.-A. Loeliger, "An introduction to factor graphs," *IEEE Signal Processing Magazine*, vol. 21, no. 1, pp. 28–41, 2004.
- [27] M. Susi, V. Renaudin, and G. Lachapelle, "Motion mode recognition and step detection algorithms for mobile phone users," *Sensors*, vol. 13, no. 2, pp. 1539–1562, 2013.
- [28] Stanford Artificial Intelligence Laboratory et al., "Robotic Operating System." [Online]. Available: https://www.ros.org
- [29] J. Wang and E. Olson, "Apriltag 2: Efficient and robust fiducial detection," in 2016 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS). IEEE, 2016, pp. 4193–4198.