An Experimentalist's View of the Role of Theory in Moving Up the Materials Pyramid

Digital Design of Materials Workshop Boston University September 27-29

B. C. Sales Correlated Electron Materials Group Materials Science and Technology Division Oak Ridge National Laboratory





Outline of Presentation

• Overview of Correlated Electron Materials Group- Who we are and what we do. (Where I am coming from)

• New Materials and The Materials Pyramid

•An experimentalist's perspective of the role of theoretical approaches in the discovery of new materials

- Issues with Digital Design of Materials
- •Theoretical successes
- Examples of the importance of an accurate crystal structure and/or composition –Fe based superconductors
- Historical Example: A "new" Permanent Magnet Nd₂Fe₁₄B
- Importance of "Mesoscale" (metallurgy, microstructure, defects) in magnetic performance of $Nd_2Fe_{14}B$

• Screening guide for identifying superconductors with an Managed by UF unusual pairing mechanism



Our research Correlated Electron Materials Group

Materials Science and Technology Division Oak Ridge National Laboratory



Group Leader





Claudia Cantoni











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Michael McGuire

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Jonathan Mitchell

Complex electronic and magnetic materials relevant to energy technology:

- thermoelectrics (transition metal compounds, heat transport, phonons)
- superconductors (iron pnictides and selenides, cuprates, magnetism)
- permanent magnets (non-rare earth alternatives)
- unusual magnetic ground states (helimagnets, frustration)

Materials synthesis and single crystal growth; structural, thermal, magnetic, transport properties; chemical manipulation of physical properties; materials and samples relevant for neutron scattering experiments.



We grow unique crystals: Science Driven Synthesis

Relationship between dielectric constant and carrier mobility? Magnetic fluctuations and superconductivity? Magnetic fluctuations and thermal conductivity? Microscopic origin of low thermal conductivity in (Ag,Sb) Te crystals? Why does $SrTcO_3$ magnetically order at such a high temperature? (T_N = 1000 K)

BaFe₁₂O₁₉ permanent magnet room temp multiferroic grown under 100 bar oxygen pressure



AgSbTe₂



 Mo_3Sb_7





TIFe_{1.6}Se₂



CrSb₂



FeTe_{0.75}Se_{0.25}



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Where do new materials come from?





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Moving up the Materials Pyramid

- 1. Identify a scientific or technological problem that would benefit from a new material
- 2. Study the literature to learn which materials do the job pretty well. Identify shortcomings and how those shortcomings might be addressed, and identify a candidate in the lower tier of the pyramid that might do it. (Crystal chemistry rules, phenomenological rules)
- 3. Form a team of researchers whose specialties and interests are complimentary, make the material and test to see if your idea is right. Include theory to help interpret your results.
- 4. Make the new material in the form needed to determine if the material has any chance of being useful in the device of interest.
- 5. Transfer new material to industry and eventually to society

Physics Viewpoint: R. J. Cava http://link.aps.org/doi/10.1103/Physics.4.7

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An Experimentalist perspective on theory and Materials Discovery

(Inorganic Crystalline Materials)

Different classes of theory and models:

a) **Density Functional Theory**- can sometimes predict properties (before experiments done!)- PbSe, some ferroelectric structures are example. Needs to know accurate positions of atoms and precise stochiometry (accurate crystal structure)

b) **LDA +U** - sometimes gives interesting results that helps interpret known experimental data. Results sometime suggest new experiments.

c) **Dynamical Mean Field Theory**- a serious attempt to develop techniques to treat strong correlations. Seems to stress correlations even when experimental data suggests otherwise. Helpful at understanding but not useful for finding new materials.

d) **Model Hamiltonians**- useful for providing insight into general behavior of complex correlated materials- not particularly useful at finding new materials

e) In general, theory not good at predicting new crystal structures- except perhaps in very simple cases- elements or simple cubic compounds. Some interesting results in literature- Zunger correctly accounted for 95% of structures of 565 binary AB compounds using pseudopotential radii [PRB **22** (1980) 5839] -crystal structure energy scale 10⁻³-10⁻⁴ of cohesive energy

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Serious Real-World Issues With Computational Design of Materials

- 1. Defects (vacancies, twins, chemical inhomogeneities) often dominate many material properties- even more so for correlated materials
- 2. Many very useful, and not so exotic materials are not equilibrium phases and are metastable (phases will be missed in theoretical phase diagrams):
 - Steel
 - PZT (piezeoelectric)
 - Glass
 - Plastics
 - Most composites
 - Diamond
 - Highly doped Silicon
 - etc



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Some theoretical successes at predicting materials properties

- 1. PbSe is a good thermoelectric material at high temperatures (Singh)
- 2. Ferroelectric superlattices (Rabe, Vanderbilt, Spaldin)- polarization enhancement in asymmetric superlattices
- 3. Topological insulators, $Bi_{1-x}Sb_x$, Bi_2Se_3 , etc.



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¹⁴ Managed by UT Sa Screening guide for identifying superconductors with an unusual pairing mechanism



Importance of Correct Composition

0.14

0.12

0.1

0.08

0.06

0.04

0.02

0

Ō

C/T (J mole⁻¹K⁻²)

- FeSe is a 7 K superconductor, initial electronic structure calculations suggested that FeTe should be a better superconductor- however FeTe doesn't exist- what forms is Fe_{1.07}Te
- 2. Can illustrate significance of excess Fe in $Fe_{1+x}Te_{0.75}Se_{0.25}$ exposing crystals to Te vapor at low temperatures.





Importance of Correct Crystal Structure in Understanding/Predicting Properties

- 1. $BaFe_2As_2$ is the parent phase of several electron and hole doped Fe based superconductors $(BaFe_{2-x}Co_xAs_2 \text{ or } Ba_{1-x}K_xFe_2As_2)$
- 2. Single crystal and powder structural data are consistent with $BaFe_2As_2$ having the tetragonal $ThCr_2Si_2$ structure- but this material has very strong magneto-elastic coupling a striking elastic properties- even at room temperature. What is the local structure of $BaFe_2As_2$ at room temperature.



Fernandes et al. PRL 105 (2010) 157003



Evidence that BaFe₂As₂ is not perfectly tetragonal at room temperature





Careful Analysis of Images indicate Fe layer shifted about 0.1 Å along Either [100] or [010] directions- Domains ≈ 12 nm in size



for the U.S. Department of Energy



Domains are ~ 10-20 nm in size!

blue ordered regions: modulated interlattice spacing along the probed direction **green, disordered regions:** non-modulated interlattice spacing along the probed direction



Empirical Correlation between L₃/L₂ Ratio, as measured with EELS and Fe Magnetic Moment -useful for family of similar Fe compounds with same formal oxidation state and local environment





Better theoretical justification for using L₂₃ Edge Spectra to obtain (1) Total number of holes in d band and (2) changes in distribution of same number of electrons within 3d orbitals



$$\frac{h_{5/2}}{h_{3/2}} = \frac{1}{6} \left[\frac{5I_{L_3}\omega_{L_2}}{2I_{L_2}\omega_{L_3}} - 1 \right]$$

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Careful Examination of EELS data from Series of Ba(Fe_{1-x}Co_x)₂As₂ Crystals



Minimum in variation of Fe moment with Co doping minimum value near "Lifshitz concentration"



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Distorted domains correlate with Fe local magnetic moment



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Case Study (circa 1980): New Permanent Magnet to Replace SmCo₅

- 1. Sm and Co too expensive, supply of Co uncertain due to political instability in Zaire.
- Compounds with same structure as SmCo₅ don't form with Fe (which is much cheaper). In analogy with SmCo₅ want uniaxial compound with large amount of Fe and a more abundant light rare earth.
- 3. In rare earth compounds with iron, spin of iron couples antiferromatically with spin on rare earth ion. For rare earths orbital moment is often much larger (than spin part) and for lighter rare earths is in direction opposite to spin moment. For light rare earths the net magnetic moment is in the same direction as the transition metal.
- Based on these considerations one should look for a Nd-Fe magnet- but no suitable binary compounds. Thus want small amount of third element to stabilize new crystal structure. Boron works well- Nd₂Fe₁₄B discovered
 1984 – 68 atoms in conventional tetragonal unit cell

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Current Rare Earth Permanent Magnets based on Nd₂Fe₁₄B



- Nd₂Fe₁₄B discovered/developed in 1984 to replace SmCo₅- partially as response to instability of cobalt supply from Zaire
- An expensive heavy rare earth, Dy, is added to improve magnet performance in electric motors and generators
- Both Nd and Dy in short supply



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Why is a new stronger permanent magnet such a big deal?

Relative Magnet Sizes



NATIONAL National Laboratory

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Solution: Develop/Discover New Permanent Magnets That Use Less Rare Earths

- Integrated synthesis and theory at Ames and Oak Ridge.
- Explore selected ternary phase compositions for transition metal rich magnets
- Theory used to understand results and suggest improved compositions
- New magnets with reduced rare earth content licensed to US manufacturers



Cost Constraints for New Materials



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Importance of "mesoscale" in permanent magnets

Large single crystals are useless as permanent magnets (multiple domain formation demagnetizes most of sample)



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Importance of "mesoscale" in permanent magnets

- Ideal microstructure for Nd₂Fe₁₄B: grains should be near 0.3 micron in size, with relatively smooth surface and grain boundary layer between grains. This microstructure is suppose to increase resistance to demagnetization and reduce nucleation of domain walls.
- In theory, a better control of the microstructure could increase the energy product (performance) by a factor of 2 or 3.



Modeling could help understand the role of microstructure and defects

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Thermal Conductivity of Superconductors



"Exotic" SC's with pairing partially due to magnetic fluctuations Kappa increases below T_c





Cody&Cohen, Rev. Mod Phys. 1964

Sologubenko et al. PRB 66 (2002) 014504 OAK

 T_c

а

100

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Normal Superconductors (cont'd)





Thermal Conductivity of Superconductors

YBa₂Cu₃O₇

"Exotic" SC's with pairing partially due to magnetic fluctuations Kappa increases below T_c



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Thermal Conductivity of Superconductors

"Exotic" SC's with pairing partially due to magnetic fluctuations Kappa increases below T_c



Machida et al. 78 (2009) 073705



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Compound	$T_{c}(K)$	к just below T _c	Observation of	Thermal
		Up (U) or	Spin resonance?	Conductivity
		Down (D)?	[20-24]	Data References
Al	1.17	D	-	[8]
Pb	7.2	D	-	[9]
Nb ₃ Sn	18	D	-	[10]
MgB ₂	39	D	Ν	[11]
CeCoIn ₅	2.1	U	Y	[12]
YBa ₂ Cu ₃ O ₇	92	U	Y	[13]
LaFeAsO _{0.89} F _{0.11}	26	U	Y	[14]
$Ba(Fe_{0.93}Co_{0.07})_2As_2$	22	U	Y	[15]
MgCNi ₃	8	D	Ν	[16]
UPd_2Al_3	2	D	Ν	[17]
$PrOs_4Sb_{12}$	1.82	D	-	[5]
YNi ₂ B ₂ C	15.5	D	Ν	[5]
Sr ₂ RuO ₄	1.4	D	Ν	[18]
$\kappa - (ET)_2 Cu(NCS)_2$	10	U	-	[19]
Mo_3Sb_7	2.1	U	-	This Work

Table 1. Thermal conductivity data for a variety of superconductors. There is a clear correlation between an increase in κ just below T_c and the observation of a spin resonance with neutron scattering.





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Ba(Fe_{0.94}Co_{0.06})₂As₂ Crystal



Model System for Studying Fe-based superconductors

Model system discovered by our group: $Ba(Fe_{1-x}Co_x)_2As_2$

*Sefat et al. PRL **101**, 117004 (2008)



Athena Sefat

40 Managed by UT-Battelle for the U.S. Department of Energy Phase Diagram Ba(Fe_{1-x}Co_x)₂As₂



Lester et al., PRB 79, 144523 (2009)





May et al PRB 88 (2013) 064502

Phase Diagram $Ba(Fe_{1-x}Co_x)_2As_2$





Andrew May



Superconductivity, unusual magnetism and a good thermoelectric: Mo₃Sb₇ and related alloys

Background for Mo₃Sb₇:

- 1. Cubic at room temperature (Im3m space group)
- Doped alloys show good thermoelectric performance at high temperatures (Ni, Mg, or Cu in cubic voids, or Ru for Mo or Te for Sb) – [Candalfi, 2007]- maximum ZT ≈0.9 at 1000 K
- Magnetic susceptibility exhibits Curie-Weiss behavior above 200 K and broad maximum at 150 K. Cubic to tetragonal phase transition ≈ 50 K, also possible spin gap, formation of Mo-Mo dimers? [Tran 2008]
- 4. Is superconductivity at 2.3 K unconventional?



Mo₃Sb₇ crystal structure showing only Mo atoms and dimers



Mo₃Sb₇ : Thermal Conductivity Increases Below T_c !

















In my experience, in finding a new material theory is at best akin to a compass rather than a GPS system



Rapid feedback between experiment and theory is still the best approach to speed the design of a new material for the foreseeable future.



Interesting Material Properties and Sample Availability Data Bases:

- <u>http://crystdb.nims.go.jp/index_en.html</u> (AtomWorks)
- https://materials.soe.ucsc.edu/home_ (Materials Advancement Portal)
- <u>https://materialsproject.org/</u> (Materials Project)







and.. new technologies





Magnons and Phonons can both Carry Heat $\kappa_i \approx C_i v_i d_i$





Heat Transport In Low Dimensional Magnetic Compounds Example: Spin Ladder Compound Ca₉La₅Cu₂₄O₄₁ Key Observation: Huge magnon contribution to heat transport- even at room temperature!





CrSb₂ Magnetic and Electrical Properties



Weak anomaly at T_N in magnetic susceptibility and resistivity data suggests low-dimensional magnetism



Resistivity data suggests two gaps: valence bandconduction band gap ≈ 100 meV and a donor level AK 14-16 meV below conduction band edge.

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CrSb₂: Elastic and Inelastic Neutron Scattering

Quasi 1-d Antiferromagnetic Semiconductor: CrSb₂ Orders Magnetically at 273 K







Stone et al. PRL 108 (2012) 054515



Thermal Conductivity Data from CrSb₂ 1000 к **(V m⁻¹K**⁻¹) к К C 10 К ab 1 50 100 150 200 250 300 0 T (K)

Below 50 K , κ similar in both directions- consistent with gap for magnetic excitations measured using neutron scattering (\approx 25 meV)

Deviation above 50 K consistent with either magnon heat conduction along c or increased scattering of phonons by magnons

Stone et al. PRL 108 (2012) 054515



Seebeck Data from CrSb₂



Hall Data from CrSb₂



Note sharp minimum in apparent carrier concentration. Similarity of Hall and Seebeck data below 30 K. A sharp minimum also can occur in doped Ge crystals





Simple Explanation of Hall Data from CrSb₂

Note sharp minimum in apparent carrier concentration. Similarity of Hall and Seebeck data below 30 K. A sharp minimum also can occur in doped Ge crystals, and FeSb₂





Below 50 K , κ similar in both directions- consistent with gap for magnetic excitations measured using neutron scattering (\approx 25 meV)

Deviation above 50 K consistent with either magnon heat conduction along c or increased scattering of phonons by magnons

Note: Large value of κ at 18 K consistent with phonon-drag mechanism as likely origin of large peak in Seebeck data For polycrystalline CrSb₂- both κ and S are 10 times smaller



Temperature(K)

No Evidence of Significant Mass Enhancement for Carriers in CrSb₂







Relevance of Research to DOE Energy Mission:



Superconducting generators for large (> 10 MW wind turbines)





Magnets for electric motors



Thermoelectric materials for waste heat recoveryfor cars to improve gas mileage

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CAK

for the U.S. Depart Note: All these energy applications require "bulk" quantities of material

Thermoelectric Properties of FeSi and Related Alloys: Evidence of strong electron-phonon interactions

Brian Sales, Olivier Delaire, Michael McGuire and Andrew May

Oak Ridge National Laboratory, Oak Ridge TN

Focus Session: Thermoelectric Materials

Session T20.00011

March Meeting 2011

Dallas, Texas

Research supported by the Materials Sciences and Technology Division, Basic Energy Sciences, U.S. Department of Energy



Unusual Crystal Structure of Cubic FeSi

FeSi

- No inversion symmetry
- Fe is coordinated by 7 Si: 2.294 Å, 2.341 Å (x3), 2.515 Å (x3)
- Si coordinated by 7 Fe
- Fe-Fe nnn 2.753 Å
- Fe-Fe nn distance in bcc Fe metal: 2.482 Å







Note: for this material and related monosilicides DFT seems to get the gap and the sharp peaks in the DOS pretty close to experiment





Transport Properties of FeSi (single crystal)

У



Transport properties of FeSi Polycrystalline sample with lower extrinsic carrier concentration



At 50K 99% of heat carried by phonons (WF) yet change in carrier concentration produces factor of 2 change in Kappa -electron-phonon scattering

Thermoelectric properties not very good (ZT≈0.013 at 70 K) Need to Dope it !







Greater decrease in kappa with Ir doping explainable by point defect scattering formula derived by Klemens (1955)

Goes like $(1-M_{dopant}/M_{av})^2$

66 Managed by UT-Battelle for the U.S. Department of Energy Thermoelectric values of all three properties better with Ir doping, but ZT_{MAX} = 0.08 at 90 K



Os (isoelectronic with Fe) vs Ir doping

Os doping- not much change in carrier concentration (relative to FeSi single crystal)

Ir and Os have similar masses :similar point defect scattering



Note common approximation of independent $\kappa_{Lattice}$: $\kappa = \kappa_{electronic} + \kappa_{Lattice}$ not valid with strong electron-phonon scattering





Greater decrease in kappa with Ir doping explainable by point defect scattering formula derived by Klemens (1955)

Thermoelectric values of all three properties better with Ir doping, but ZT_{MAX} = 0.08 at 90 K

Goes like (1-M_{dopant}/M_{av})²

What about nanostructuring FeSi alloys?



Nanostructuring to lower Lattice Thermal Conductivity without comparable lowering of electrical conductivity: Net increase in ZT

Key Idea : mean free path electrons << grain size << mean free path phonons



Examples of bulk systems where nanostructuring has improved ZT (Minnich et al. Energy&Env. Sci 2 (2009) 466)



Does "Nanostructuring" Fe_{0.96}Ir_{0.04}Si Increase ZT?

Samples of Fe_{0.96}Ir_{0.04}Si Prepared 3 different ways:

- 1. Arc-melt elements together, slow cooling mm size grains
- 2. Arc-melt elements/ coarse ball mill 1h/Spark Plasma Sintering (SPS) near theoretical density some smaller micron size grains,
- 3. Arc-melt elements/coarse ball mill 1h/ h SPS to theoretical density micron



planetary mill 40





Broadening of X-ray peaks from plan milled powder suggest grains ≈ 20 nm

ORNL Spark-Plasma Sintering System:



"Nanostructuring" Fe_{0.96}Ir_{0.04}Si- Transport Properties



Resistivity and Seebeck Not Effected by Grain Size

BUT


"Nanostructuring" - 50% increase in ZT max due to decrease in thermal conductivity





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Should Nanostructuring Fe_{0.96}Ir_{0.04}Si Work ?

Electron mean free path:

 $d_{electron} = 1.5\pi h/(e^2 k_F^2 \rho) \approx 3nm$

At 90 K (8meV) mainly acoustic phonons excited from

 $\kappa = 1/3C_v v_s d$

d_{phonon} ≈ 4nm Not very Encouraging! (analysis too simple!) Phonon wavelength not considered Which phonons are carrying heat?

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Summary and Conclusions

- Correlations don't seem to be that important in FeSi- DFT does a pretty good job. Many of other "anomalies" concerning material related to thermal disorder, small gap and effects of coupling between electrons and phonons – Discussed in next talk
- 2. Doping a semiconductor- need theory of which dopants will work the best
- 3. Illustrated why common approximation of independent $\kappa_{Lattice}$: $\kappa = \kappa_{electronic} + \kappa_{Lattice}$ clearly not valid with strong electron-phonon scattering
- 4. ZT of $Fe_{0.96}Ir_{0.04}Si$ enhanced by 50% by starting with small grains- need to increase further by a factor of 6 to be useful
- 5. To effectively use nanostructuring, there has to be a better fundamental understanding of which phonons (wavelength and energy) carry heat and how much.- theory



Collaborators and Contributors to this Work

Michael McGuire Hsin Wang Jane Howe Olivier Delaire David Singh David Parker David Mandrus Hu Longmire Andrew May Jie Ma

Thank You !



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Square nets of tetrahedrally coordinated iron LaFeAsO LiFeAs BaFe₂As₂ **FeSe** 2.80 Å 2.67 Å d(Fe-Fe) = 2.85 Å 2.67 Å 2.866 Å





FeAs / FeSe layer



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> Department of Materials Science and Engineering,

Effects of electronic structure on phonons (Main thrust of Olivier Delaire's research at ORNL)



FIG. 1: Trends in the temperature dependence of phonon energies for different electronic densities of states (DOS). The Fermi energy is denoted by $E_{\rm F}$, and $\langle \omega \rangle$ represents an average phonon energy, as function of temperature T.



First principles electronic structure Calculations for FeSi and CoSi



Phonon DOS from Inelastic Neutron Scattering Delaire et al. submitted PRL



Conclusions: Thermal disorder plus strong coupling between electrons and phonons in FeSi leads to anomalous softening of phonons with temperature. At the same time the renormalization of the electronic structure induces a semiconductor-to-metal transition with increasing temperature. Net Result: T=0 gap of FeSi (0.15 eV) closes at a relatively low temperature \approx 200-300 K.



Ball Mill vs Planetary Mill: Fe_{0.96}Ir_{0.04}Si

Ball Mill 2h/ Ar gas



80 Managed by UT-Battelle for the U.S. Department of Energy 10000 x ←→> 1 micron

Plan Mill 40 h/ He gas

