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Structural complexity biases vegetation greenness measures

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Vegetation 'greenness' characterized by spectral vegetation indices (VIs) is an integrative measure of vegetation leaf abundance, biochemical properties and pigment composition. Surprisingly, satellite observations reveal that several major VIs over the US Corn Belt are higher than those over the Amazon rainforest, despite the forests having a greater leaf area. This contradicting pattern underscores the pressing need to understand the underlying drivers and their impacts to prevent misinterpretations. Here we show that macroscale shadows cast by complex forest structures result in lower greenness measures compared with those cast by structurally simple and homogeneous crops. The shadow-induced contradictory pattern of VIs is inevitable because most Earth-observing satellites do not view the Earth in the solar direction and thus view shadows due to the sun-sensor geometry. The shadow impacts have important implications for the interpretation of VIs and solar-induced chlorophyll fluorescence as measures of global vegetation changes. For instance, a land-conversion process from forests to crops over the Amazon shows notable increases in VIs despite a decrease in leaf area. Our findings highlight the importance of considering shadow impacts to accurately interpret remotely sensed VIs and solar-induced chlorophyll fluorescence for assessing global vegetation and its changes.

Terrestrial vegetation plays important roles in carbon, water and energy exchanges between the land and the atmosphere. Changes in global vegetation structure and function have been quantified as changes in so-called vegetation greenness, an integrative measure of leaf chlorophyll content, leaf area, species composition, and canopy cover and structure. Spectral vegetation indices (VIs) and the green leaf area index (LAI) from Earth-observing satellites are commonly used as proxies of vegetation phenology and provide quantitative, timely and accurate information on the vegetation growing status¹⁻⁶. These VIs are typically calculated as the ratio of surface reflectance

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VI-informed greenness is not explicit about the underlying physical mechanisms and can result in important ambiguities, thus misinterpretation. For example, VIs from satellites are typically sensitive to the variation of seasonal sun-sensor geometry and thus have been suggested to be normalized to a nadir view direction and a constant solar zenith angle or at local solar noon^{16,17}. This angular normalization is critical because geometry-induced seasonal VI changes can be misinterpreted as ecological signals, leading to controversial debates, for example, seasonality in the Amazon rainforest^{11,17,18}. In addition, sensors onboard satellites sample only a small and variable fraction of the total canopy-reflected photons in a certain view direction, and, therefore, there remains a large gap between the satellite-observed signal and the total signal reflected by the canopy. Recent advances in radiative transfer theory bridge such a gap by introducing a new physical parameter, namely, the photon escape probability (fesc)^{3,19}. The concept of *fesc* was initially introduced to measure the photon escape probability of solar-induced chlorophyll fluorescence (SIF)¹⁹, and subsequent research³ has demonstrated that *fesc* can also be used for characterizing the ratio of canopy-reflected photons (that is, top-of-canopy reflectance) in the visible-NIR region and thus the derived VIs such as NIRv.

The *fesc* is defined as the ratio of photons escaping from the canopy that are observed by the sensor to the photons intercepted and then reflected or emitted by the canopy. This directly measurable parameter is primarily dependent on the canopy structure and sun-sensor geometry. It can be considered as a physical structural property of vegetation, which could be used as a key metric to diagnose and explain biases between the satellite-received light and the light intercepted and then reflected or emitted by the canopy. The fesc in the NIR band can be approximated by the ratio of NIRv to the fraction of photosynthetically active radiation (FPAR)¹⁹, and is approaching the NIR reflectance or albedo for dense canopies, which means that shaded canopies lead to smaller reflectance or albedo and fesc. The *fesc* may help answer questions regarding our long-standing view of the global distribution and trends of vegetation greenness, as the typical VIs do not fully take into account the structural complexities of vegetation canopies²⁰ in their derivations. Different degrees of structural complexity of biomes will lead to varying levels of fesc and result in incomparable spectral greenness measures; that is, the fraction of sensor-measured signal to total signal interacting with the canopy differs^{3,19,21}. Thus, the use of VIs would be particularly challenging in explaining patterns across different biomes where canopy structural complexity varies strongly, for example, forests versus croplands.

Meanwhile, the green LAI, as a measure of abundance of green leaves, is also widely used to represent vegetation greenness^{4,22}. Remotely sensed LAI is typically retrieved from a comprehensive radiative transfer model that explicitly considers the vegetation structural characteristics, including diverse biome types, shadows and sun-sensor geometry^{5,11,22}. So, in contrast to VIs, LAI-based vegetation greenness analyses account for shadows and theoretically do not suffer the impacts of the complex vegetation canopy structure²³. Given the theoretical foundation for *fesc*, we hypothesize that higher structural complexity in forest ecosystems associated with smaller *fesc* complicates spectral greenness and biases global vegetation greenness relative to the satellite LAI products as the reference and, therefore, may result in inconsistent findings of the variations of vegetation greenness. We combine three independent investigations to test our hypothesis (Methods).

Results and discussion

Contrasting patterns over the Corn Belt and Amazon rainforest

First, we examine the spatial patterns of satellite-derived global VIs (NDVI, EVI and NIRv) and LAI together with fesc in the NIR band (Fig. 1). At the global scale, we find that all VIs derived from the Moderate Resolution Imaging Spectroradiometer (MODIS), except NDVI, exhibit similar spatial patterns during the peak growing season of crops in the Northern Hemisphere summer. Specifically, these VIs show greater values over cropland-dominated regions compared with those over denselv forested regions covering most tropical rainforests, although densely forested regions have higher LAIs (Extended Data Fig. 1). This contrasting pattern is most obvious in the Amazon rainforest region and the US Corn Belt during the Northern Hemisphere summer, even though this period corresponds to the relatively dry season in the Amazon, when the rainforest there may not necessarily have the largest LAI values over a year. We focus on these two dense biomes as representatives of the structurally complex forest and uniform cropland, respectively. Over the US Corn Belt, we observe a higher EVI (mean \pm s.d., 0.61 \pm 0.06) and NIRv (0.33 ± 0.04) compared with those over the Amazon (EVI = 0.55 ± 0.04 , NIRv = 0.27 ± 0.02), whereas the rainforest has a higher LAI (5.7 ± 0.6) than the Corn Belt (2.6 ± 0.3 ; Fig. 1 and Extended Data Fig. 2). As hypothesized, this contrasting pattern of VIs and LAI is strongly associated with fesc in the NIR band; that is, a high LAI with low fesc (0.31 ± 0.03) in the Amazon leads to low VIs, whereas a low LAI with high fesc (0.45 ± 0.04) in the Corn Belt produces a high EVI or NIRv. The responsive degree of VIs (EVI and NIRv) to the LAI theoretically can be an empirical indicator of fesc¹⁹. For instance, the slope of LAI-EVI over the Corn Belt was at least twice as high as the slope over the Amazon rainforest (Extended Data Fig. 3), implying a lower (or higher) fesc in the Amazon (or Corn Belt). This suggests that the spatial or temporal analysis of VI-based greenness across biomes could be biased because the same VI can correspond to dramatically different amounts of leaves (that is, LAI). To avoid the possible anomaly of VIs and LAI caused by climate extremes in certain years, the yearly data in August in 2001-2019 were evaluated (Extended Data Fig. 4) as a comparison with the long-term average data in Fig. 1. A few more global VI and LAI products, including the MOD13 NDVI and EVI, Geoland (GEOV) LAI and Global LAnd Surface Satellite (GLASS) LAI, were used for the analysis (Methods and Extended Data Fig. 5) as a comparison with the MCD43 NDVI and EVI, and MODIS LAI, in Fig. 1 and the MCD43-based difference vegetation index, the two-band version of the EVI and the newly proposed kernel normalized difference vegetation index²⁴ in Extended Data Fig. 2. The green-red vegetation index (GRVI)²⁵ was used as a proxy of canopy pigment pools to evaluate the contrast under the control of similar pigments (Extended Data Fig. 6). A forest region in North America near the Corn Belt with the same season and a similar latitude and sun-sensor geometry was included for the analysis (Extended Data Fig. 7). The observation-based findings are consistent across different LAI data from different algorithms and satellite data (Extended Data Fig. 5), years (Extended Data Fig. 4), regions (Extended Data Fig. 7) and VIs (Extended Data Fig. 2).

Structural complexity biases spectral vegetation greenness

We then use multi-angular satellite observations to examine the role of vegetation structure and associated shadow impacts on the identified discrepancy of VIs over the Amazon rainforest and the Corn Belt (Fig. 2). Two important indicators are used, that is, angular variation

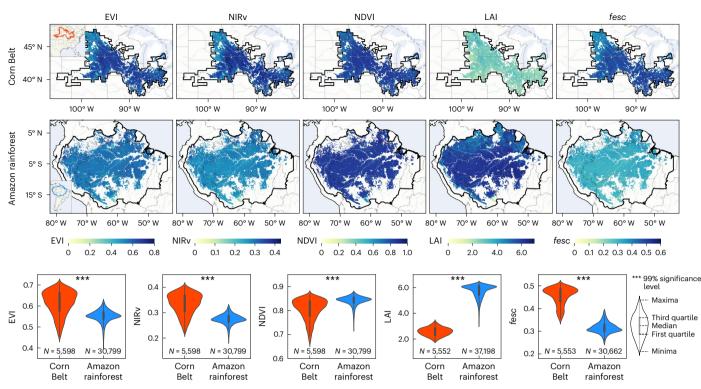


Fig. 1 | Comparisons of VIs, LAI and *fesc* between the US Midwest Corn Belt (43° N and 94° W, 824,871 km²) and the Amazon rainforest (5° S and 62° W, 7,755,160 km²) in August in 2001–2019. The corresponding violin plots display the quartiles and means of the target variables. The MODIS EVI, NIRv and *fesc* were lower over the Amazon rainforest compared with those over the Corn Belt owing to the stronger shadows in view. By contrast, the MODIS LAI was higher in the Amazon rainforest than in the Corn Belt.

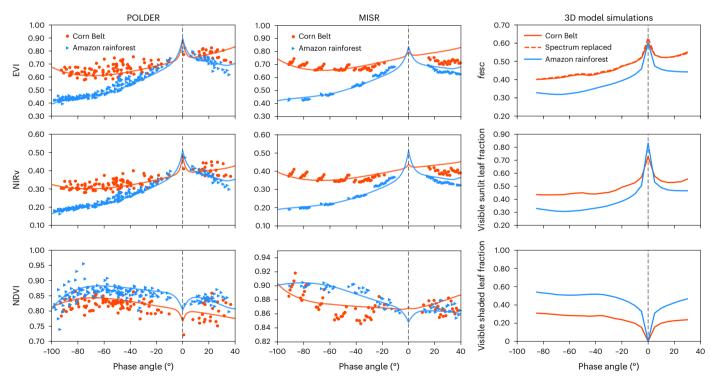


Fig. 2 | **POLDER (July–August 2008), MISR (July–August 2017) and 3D ray-tracing model simulated multi-angular observations in summer.** The EVI, NIRv, *fesc*, and visible sunlit and shaded leaf fractions of the Corn Belt and Amazon rainforest are similar at the hotspot (phase angle = 0 when the solar and sensor directions coincide), whereas the EVI, NIRv, *fesc* and visible sunlit leaf fraction of the Corn Belt are always much higher than those of the Amazon rainforest at the off-hotspot directions (phase angle » 0, for example, at nadir view, but the solar zenith angle is 45° for the standard Multi-Angle Implementation of Atmospheric Correction surface reflectance product). The phase angle is the angle between the solar and sensor directions. 'Spectrum replaced' represents the simulation of the corn field, and the soil–leaf spectrum was replaced by the spectrum of the Amazon rainforest. The minimal changes after replacing the soil–leaf spectrum suggest the *fesc* was primarily driven by the canopy structure and sun–sensor geometry instead of the leaf optics.

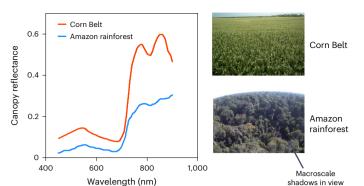
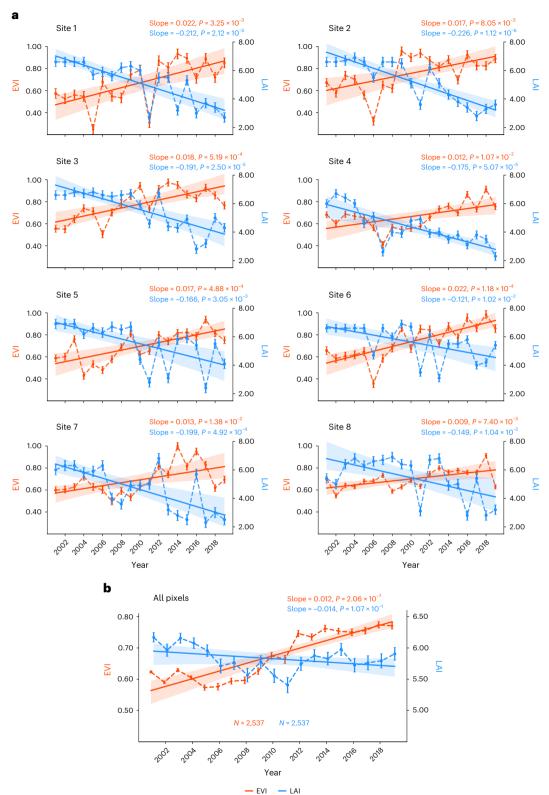


Fig. 3 | **An example of the canopy spectrum in summer over the Amazon rainforest and the Corn Belt acquired by the Earth Observing-1 Hyperion sensor.** A greater proportion of shadows in the field of view per unit area is observed over the Amazon rainforest than over the Corn Belt in the PhenoCam images (https://phenocam.nau.edu/webcam). The sample spectrum over the Corn Belt was acquired on DOY 210 in 2014, and the spectrum over the Amazon rainforest was acquired on DOY 221 in 2002. The Hyperion images were atmospherically corrected with the available visibility data to convert radiance to surface reflectance. Photo credits: data from ref. 58; images from PhenoCam under a Creative Commons licence CC BY 3.0.

of VIs and hotspot-direction VIs. The angular variation of VIs can be a proxy of canopy structural complexity because a more complex canopy casts more shadows leading to a larger angular variation. The hotspot-direction VIs offer valuable insights into surface vegetation by minimizing shadows in view and maximizing fesc, regardless of vegetation type. Note that the hotspot direction is an observing condition when the sun and sensor directions coincide (that is, phase angle $\gamma = 0$). Two multi-angle imaging satellites are used: Polarization and Directionality of the Earth's Reflectances (POLDER) and Multi-angle Imaging SpectroRadiometer (MISR)^{26,27}. Both multi-angular observations from POLDER and MISR capture general hotspot angular signatures of VIs, revealing larger angular variations over the Amazon rainforest compared with the Corn Belt in the EVI and NIRv (Fig. 2). For the EVI, the coefficient of variation (defined as the ratio of the standard deviation to the mean) of POLDER (MISR) across the entire angular range was 0.20 (0.16) over the Amazon rainforest, whereas it was only 0.09 (0.06) over the Corn Belt. Similarly, the coefficient of variation of NIRv from POLDER (MISR) was 0.27 (0.22) over the Amazon rainforest, whereas it was only 0.12 (0.07) over the Corn Belt. At the condition of angular normalization we used for the MODIS ($\gamma = 28.8^{\circ} \pm 2.1^{\circ}$ for the Corn Belt and 19.4° ± 6.0° for the Amazon rainforest), we detect similar VI patterns observed from the MODIS, that is, a higher EVI and NIRv over the Corn Belt compared with those over the Amazon rainforest. However, this pattern over the two biomes is reversed when the sensors see vegetation canopy at the hotspot direction ($y = 0^{\circ}$). As the sensor direction deviates from the solar direction (that is, the phase angle increases), there are different change rates for the two biomes: the Amazon rainforest EVI and NIRv on POLDER (MISR) decrease as large as 7.8% (7.3%) and 9.5% (9.3%), respectively, for every 10° increase in phase angle, whereas the Corn Belt EVI and NIRv on POLDER (MISR) decrease only 3.7% (3.4%) and 3.8% (3.7%), respectively. This suggests that VI-based greenness (away from the hotspot direction) could be impacted differently by the structure complexities whereas the impact may be minimized if the VIs are angularly normalized to the hotspot direction. Unlike the EVI and NIRv, the NDVI in the hotspot direction is observed to be lower than those in other directions, whereas there is no obvious NDVI change along the range of phase angles, which is possibly due to the saturation of the NDVI over dense canopies²⁸ and *fesc* partially cancelling out from the numerator and denominator of the ratio-based VIs but not cancelling out for the NIRv with the additional NIR scalar. We also find no significant angular variations in POLDER and MISR NDVIs for both biomes, with changes no more than 2.0% observed for every 10° increase of the phase angle (Kolmogorov–Smirnov test: p < 0.05). This suggests that the issue of shadow-induced bias affects many commonly used VIs, except that the impact is smaller for some purely ratio-based indices like NDVI.

Finally, we use a state-of-the-art three-dimensional (3D) photonray-tracing model (large-scale remote-sensing simulation, LESS)²⁹ to explicitly quantify the roles of the visible sunlit or shaded leaf fraction in view together with fesc (Fig. 2). The vegetation structure parameters and leaf-soil spectrum of the two regions are prepared from the field data, airborne light detection and ranging (LiDAR) measurements and literature review (Supplementary Table 1 and Extended Data Fig. 8)^{12,30}. The angular signatures of the VIs over the biomes from the LESS capture vegetation-photon interactions at various sun-sensor geometries and structural complexities of the vegetation canopy (Fig. 2). Our analysis points out that the visible sunlit leaf fraction in view and fesc for the two biomes decrease, but their change rates are greater in the Amazon rainforest (10.5% and 7.7% per 10° phase angle) compared with the US Corn Belt (6.6% and 5.1% per 10°), similar to how EVI and NIRv behave. Similarly, the visible shaded leaf fraction in view for the two biomes increases but their change rates are also greater in the Amazon rainforest (8.4% per 10°) compared with those in the Corn Belt (4.7% per 10°). These simulated angular variations conform to the patterns observed in two multi-angle imaging satellites. To mediate impacts of soil-leaf optic characteristics in the two biomes, we repeated the simulation of the corn field by adopting the soil-leaf spectrum of the Amazon rainforest. The simulation of the corn field with the soil-leaf spectrum from the Amazon rainforest reveals minimal changes. Additional sensitivity experiments show that fesc in view was primarily driven by the shadowing impacts from the canopy structure and sun-sensor geometry instead of the leaf and soil optical properties (Fig. 2). For most satellites, when the phase angle is larger than 15° or at nadir view, such as in Landsat, the visible sunlit leaf fraction in view and fesc for the Corn Belt can be always larger than those for the Amazon rainforest (Fig. 2).

We further conduct both the remote-sensing observations (Extended Data Fig. 7) and 3D model simulation-based sensitivity analyses (Extended Data Fig. 9) for a more systematic and unbiased comparison. First, we control the chlorophyll content, soil background and LAI parameters (Supplementary Table 2) in LESS to evaluate the shadow effect under a possible range of canopy structural and leafsoil optical properties (Extended Data Fig. 9). The EVI and NIRv under the control of similar LAIs (2.5 or 5) are smaller over forests than over crops, especially when the LAI is relatively large at 5. Second, as the chlorophyll content could potentially impact the VIs in the analysis based on remote-sensing data, we further test the GRVI as a proxy of canopy pigment pools to examine the impacts of the pigments. We add the comparisons under the control of similar GRVIs (such as 0.1-0.15, 0.15-0.20, 0.20-0.25; Extended Data Fig. 6). The results suggest that the MODIS EVI, NIRv and fesc under the control of similar GRVIs are smaller over the Amazon rainforest than over the Corn Belt, whereas the MODIS LAI shows the opposite pattern. Lastly, considering that the forest in the Amazon has much larger LAI values than the crops in the Corn Belt, we have compared the Corn Belt and a nearby forest region in North America. These two regions have more similar LAIs and share the same season, similar latitudes and sun-sensor geometry (Extended Data Fig. 7). Extended Data Fig. 7d suggests that the MODIS EVI under the control of similar LAIs (2.0-2.5, 2.5-3.0, 3.0-3.5) and GRVIs (0.1-0.15, 0.15-0.20, 0.20-0.25) is smaller over the nearby forest region in North America than over the Corn Belt. After we compare the VIs under the control of similar LAIs and canopy pigment content, the results in the comparison of VIs between forest and cropland draw a solid conclusion that the observed VIs are strongly affected by the shadow effect.



- EVI

Fig. 4 | The opposite trend of LAI and EVI time series in land-cover transition. a, The opposite trend of the annual maximum MODIS LAI (insignificant or significant decrease) and EVI (significant increase, p < 0.05) time series in 2001– 2019 at eight deforestation locations (Extended Data Fig. 10) with the land-cover change from forest to crops within 2005-2015 over the Amazon rainforest. The error bars represent the standard error of the mean for each annual maximum EVI or LAI time series. b, The opposite trend of the annual maximum MODIS LAI (insignificant decrease, p > 0.05) and EVI (significant increase, p < 0.05) time series for all the deforestation pixels from forest to crops (within 2005-2015)

over the whole Amazon rainforest. Note that crops can have a higher EVI than forests at the same LAI owing to less impact of shadows. The LAI or EVI data were normalized using the equation (LAI or EVI - mean)/mean. The dots mark the mean, and the error bars represent 95% confidence intervals. These intervals were calculated by conducting a two-sided t-test on the sample data, with no adjustments made for multiple comparisons. The trendlines were fitted with ordinary least-squares regression. The translucent region around the trendlines represents the 95% confidence interval, estimated using the bootstrap method.

The impact of macroscale shadows due to forest canopy structure (Fig. 3) has been ignored in conventional VI-based greenness studies^{4,17}. In this study, we find that even if the satellite observations are normalized to nadir viewing geometry and at local solar noon following the common recommendation¹⁷, there still can be varying proportions of macroscale shadows in view for forests and crops with different complexities of vegetation structures. These shadows play a first-order role in further complicating the remote-sensing signals. The observed shadow impact also applies to remotely sensed SIF because SIF and optical photons at the same wavelengths undergo similar radiative transfer processes and share a common *fesc*^{19,31}. Our supplemental analysis of SIF and PAR-normalized SIF shows a consistent global pattern with those of EVI and NIRv, displaying a higher SIF over the Corn Belt compared with the Amazon rainforest, but this pattern is opposite to that of LAI (Extended Data Fig. 2).

Spectral greenness bias in land-cover transition

We have shown that shadows driven by complex vegetation structures and observing geometry conditions can bias the vegetation greenness derived from satellite spectral VIs, especially over the Amazon rainforest. The consequences of these findings extend far beyond the technical interpretation of satellite data. First, the stronger negative greenness bias observed by satellite VIs for forests than for crops can lead to unexpected biases in quantifying and interpreting ecological processes, especially regarding their spatial patterns. More importantly, satellite VIs may become more difficult to interpret when abrupt land conversion from forest to crops occurs, for example, deforestation and crop expansion^{10,32}. Our analysis of the MODIS EVI and LAI over Amazon forests experiencing a recent land conversion from forest to crops reveals divergent trends in the EVI and LAI at site (see the eight randomly selected sites in Fig. 4a and Extended Data Fig. 10) and regional scale (EVI = 1.69% per year, LAI = -0.24% per year; Fig. 4b) with the relative variation (divided by the multi-year mean). For post-disturbance (fire, insect and so on) recovery, VIs may recover much faster as simpler structures replace complex structures after large disturbances.

Implications for ecological studies

The shadows driven by a complex vegetation structure can decrease the surface reflectance and albedo (Fig. 3), which can reconcile the seemingly contradictory conclusions about the change of land surface albedo due to Earth greening across biomes. Surface albedo has been considered to decrease over greener crops and grassland without strong shadowing effects^{33,34}, whereas an unexpected increase of albedo is reported in greener forests because of the decreasing dark shadows and increasing bright sunlit canopy coverage^{33,35,36}. For short and sparse canopies, the canopy coverage and the leaf-soil spectrum contrast are the main impact factors of the greening-albedo changes, whereas for tall and dense canopies with less soil background impacts, the shadows driven by a complex structure are another important factor that determines the greening-albedo trends. The impact of shadows may become another layer of uncertainty in several downstream applications including canopy nitrogen estimations (Fig. 4 in ref. 37), highlighting the need to consider biome-specific calibrations in accounting for varying canopy structures.

Meanwhile, a complex vegetation structure with stronger shadows can also increase the photon multiple scattering and re-absorption within the canopy. This, in turn, enhances photon capture capability and reduces the impact of pigment pools on achieving the same level of light capture efficiency. Extended Data Fig. 7d suggests that an increase in GRVI in the forest region does not lead to many changes in EVI. However, in the cropland region, an increase in GRVI is accompanied with an increase in EVI. As EVI used to be considered as a proxy of potential photosynthetic capacity^{38,39}, this observation suggests that, even with the same LAI, there can be variations in the potential photosynthetic capacity. The increase in pigment pools, which is associated with changes in greenness, has a greater impact on crops than forests for vegetation photosynthesis. Recognizing and minimizing the impact of structural complexity on greenness measures also help improve the estimation of large-scale plant photosynthesis (that is, gross primary production) through satellite remote sensing.

In addition, VIs are widely used as a proxy for FPAR which is a critical component of remote-sensing-based models of terrestrial carbon (for example, gross primary productivity)⁴⁰⁻⁴² and water (for example, evapotranspiration)⁴³⁻⁴⁵ cycles. As shown in our study, EVI and NIRv are 11.5% and 21.8% higher in terms of the mean value in the Corn Belt than in the Amazon rainforest, whereas FPAR is 14.5% lower (Fig. 1 and Extended Data Fig. 2). Therefore, it is unlikely that there exists a universal VI-FPAR relationship across different biomes (Extended Data Fig. 3a), which brings an additional challenge in parameterizing global remote-sensing-based carbon and water models. Although the NDVI is less impacted by shadows than many other VIs, such as the difference vegetation index and NIRv, the slope of the NDVI-FPAR relationship still varies owing to different soil backgrounds and the saturation of NDVI over dense canopies. To minimize the shadowing effect, it is more ideal to use the surface reflectance at the hotspot direction, which is often not directly measured by most satellite missions but possible to infer from kernel-driven bidirectional reflectance models.

Conclusion

Because nearly all the satellite sensors do not view the Earth in the hotspot directions and thus macroscale shadows in view are inevitable, the direct use of the EVI or the NIRv to indicate vegetation greenness can be biased, especially for structurally complex forests. This leads to spatial biases of global vegetation greenness from crops to forests with different complexities of vegetation structure. Considering that cropland expansion is being driven by increasing food production demand, omitting the complexity of vegetation structure might result in greater uncertainty in assessing vegetation changes and growth trends, hindering the accurate quantification of regional and global carbon budgets. Our results highlight such biases and limitations of the widely used VI-based remote-sensing greenness over past decades. However, it is important to clarify that shadows are a real component of vegetation canopies and the shaded cohort usually contributes a large portion of the whole vegetation canopy carbon, water and energy budget⁴⁶. Therefore, satellite products do not necessarily need to be corrected for shadows in many applications, but to minimize the ambiguities in global vegetation greenness with spatio-temporal biome changes, it is beneficial to correct satellite-observed VIs to the hotspot directions⁴⁷.

Methods

Datasets and processing

MODIS surface reflectance, VIs and LAI products. We used the daily MODIS MCD43A4 V6.1 Nadir Bidirectional Reflectance Distribution Function-Adjusted Reflectance¹⁶, the 8 day MOD15A2H V6.1 combined LAI and FPAR⁴⁸, and the 16 day MOD13A2 V6.1 EVI and NDVI products, and the yearly MCD12Q1 V6.1 Land Cover Type datasets⁴⁹. All MODIS datasets from 2001 to 2019 with 500 m spatial resolution were obtained from the Google Earth Engine platform⁵⁰. We used only the snowfree MODIS Nadir Bidirectional Reflectance Distribution Function-Adjusted Reflectance and other data with good quality assurance and quality control flags. The GEOV LAI product was acquired at https://land.copernicus.eu/global/products/lai, and the GLASS LAI product was acquired at http://www.glass.umd.edu/LAI/MODIS/0.1D/. We used the International Geosphere-Biosphere Programme classification layer in the MCD12Q1 data to calculate the proportions of each land-cover type within a given pixel. The data were aggregated to monthly temporally and 0.1° spatially. For our analysis, we excluded all pixels in which non-vegetation types (such as snow and ice, water,

barren land and urban areas) comprised more than 50% of the total area. In addition, we analysed only homogeneous pixels composed of more than 80% forest or crops within vegetated areas.

TROPOMI SIF and EPIC PAR. We used the TROPOspheric Monitoring Instrument (TROPOMI) far-red daily SIF dataset with a spatial resolution of 7 km \times 3.5 km at nadir⁵¹ from ftp://fluo.gps.caltech.edu/data/ tropomi and the Deep Space Climate Observatory Earth Polychromatic Imaging Camera (EPIC)-derived daily photosynthetically active radiation (PAR) data with 0.1° \times 0.1° spatial resolution^{52,53}. The SIF normalized by PAR was calculated and compared with VIs. We used the time period from March 2018 to February 2019 to be consistent with the TROPOMI SIF dataset, which started in March 2018.

POLDER and MISR multi-angular surface reflectance. This study utilized multi-angular observations of surface reflectance from POLDER and MISR during the summer season over the Corn Belt and the Amazon rainforest. The POLDER observations were obtained between July and August 2008, with a spatial resolution of approximately 6.2 km (ref. 26). The MISR observations were collected between July and August 2017, with a spatial resolution of 1.1 km and a temporal resolution of 9 days^{11,54}.

Earth Observing-1 Hyperion-based canopy spectrum. Two Earth Observing-1 Hyperion images acquired over the Amazon rainforest and the Corn Belt in summer were used in this study. The Hyperion image over the Corn Belt was acquired on day of year (DOY) 210 in 2014, and the Hyperion image over the Amazon rainforest was acquired on DOY 221 in 2002. The Hyperion images were atmospherically corrected with the available visibility data to convert radiance to surface reflectance⁵⁵. The spectrum <500 nm over the Corn Belt was extrapolated owing to the imperfect atmospheric correction of the Hyperion data at shorter wavelengths.

LiDAR dataset and 3D ray-tracing model simulations. The point cloud data were collected during LiDAR surveys over a forest research site (54.99° E, 3.37° S) at the Amazon rainforest in Brazil in 2018³⁰. The LiDAR data were collected to characterize the canopy profile and leaf area density, which were used to drive the 3D ray-tracing model simulations. In this study, the LiDAR data of a 100 m × 100 m area were extracted and simulated, and this dataset was available through the Oak Ridge National Laboratory Distributed Active Archive Center at https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds id=1644. The 3D radiative transfer simulation framework, LESS (large-scale remote-sensing data and image simulation framework over heterogeneous 3D scenes), was used in this study to incorporate the soil-leaf-canopy structure and optical properties²⁹. Typical parameters of the row-planted corn field were used in the comparative LESS-based simulations⁹. The 3D LESS model can simulate the multi-angular surface reflectance and visible sunlit and shaded leaf fractions in view. The corresponding multi-angular VIs and fesc were calculated based on the surface reflectance and FPAR. To avoid the potential impact of soil-leaf optics and isolate the impact of the canopy structure and sun-sensor geometry, we added a comparative simulation of the corn field with the soil-leaf spectrum replaced by the spectrum of the Amazon rainforest.

Statistical methods

First, we examine spatial patterns of spectral VIs (EVI, NDVI and NIRv) and the LAI from MODIS. The LAI, defined as one half of the total leaf surface area per unit ground area, is also related to vegetation greenness and, more importantly, can serve as the reference to different VI-based greenness owing to its clear physical interpretation. Second, we use multi-angular satellite VI observations at different sun-sensor geometries with different macroscale shadows in view. Third, for mechanistic understanding, we use a 3D photon-ray-tracing model to evaluate the impact of macroscale shadows in view with vegetation

structures reconstructed using airborne LiDAR data to more directly quantify what is observed by the sensor that leads to the biases.

We mainly focus on the US Corn Belt and the Amazon rainforest, as they are representative landscapes with relatively homogeneous (Corn Belt) and complex (Amazon rainforest) canopy structures, and are crucially important regions for global agricultural production, the terrestrial carbon cycle and biodiversity conservation. To ensure a fair comparison between forest pixels in the Amazon and cropland pixels in the US Corn Belt, we analysed only homogeneous pixels composed of more than 80% forest or crop. Our analyses excluded non-forest regions, such as savannah in the eastern part of the Amazon and grassland in the southern part of the Amazon, as identified by the MCD12 land-cover product (Fig. 1). We also have conducted a comparison between the cropland in the Corn Belt and a nearby forest region in North America with the same season and similar latitude and sunsensor geometry (Extended Data Fig. 7).

To make the comparison more reliable and systematic, we have conducted both remote-sensing observation and 3D model simulation-based sensitivity analyses. In the simulation-based analysis, we control the chlorophyll content, soil background and LAI parameters in Supplementary Table 2, and the corresponding results at different levels of LAI are shown in Extended Data Fig. 9. In the analysis based on remote-sensing data, we further test GRVI as a proxy of canopy pigment pools and conduct the comparisons under the control of similar LAIs (2.0–2.5, 2.5–3.0, 3.0–3.5) and GRVIs (0.1–0.15, 0.15–0.20, 0.20–0.25) as shown in Extended Data Fig. 7d.

To shed light on how vegetation structure biases the interpretation of temporal vegetation greenness changes, we further evaluated the temporal changes of the MODIS EVI and LAI at Amazonian regions where rapid land-cover transition occurred from rainforest to crops in 2001–2019, as identified by the MCD12 land-cover product.

Reporting summary

Further information on research design is available in the Nature Portfolio Reporting Summary linked to this article.

Data availability

The data are available through figshare⁵⁶ at https://doi.org/10.6084/ m9.figshare.23677407.v1.

Code availability

The source code is available through figshare⁵⁷ at https://doi.org/ 10.6084/m9.figshare.23677260.v1.

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Author contributions

Y.Z., M.C., D.H. and T.P. conceptualized the study, designed the research and methodology, and wrote the initial draft of the paper. P.Z., A.H., R.M., Y.K., R.N., P.K., C.F., J.B., F.L., Y.G. and F.J. contributed to the data collection and result interpretation. J.Q., J.H., B.L. and F.J. contributed to the 3D ray-tracing model simulation and sensitivity analysis. F.L., Y.G. and F.J. drew and polished the figures. All authors reviewed and edited the paper and made substantial contributions to the improvement of the paper.

Competing interests

The authors declare no competing interests.

Additional information

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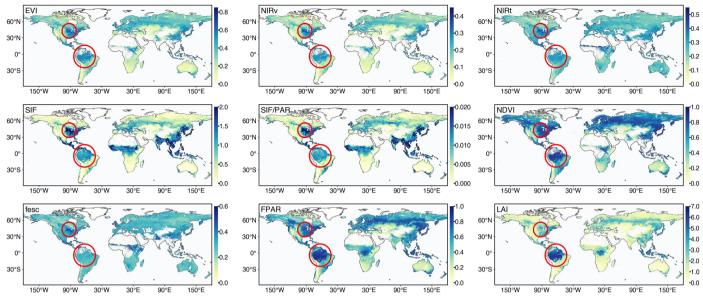
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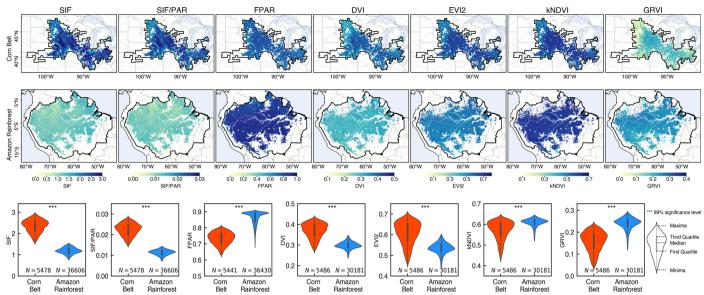
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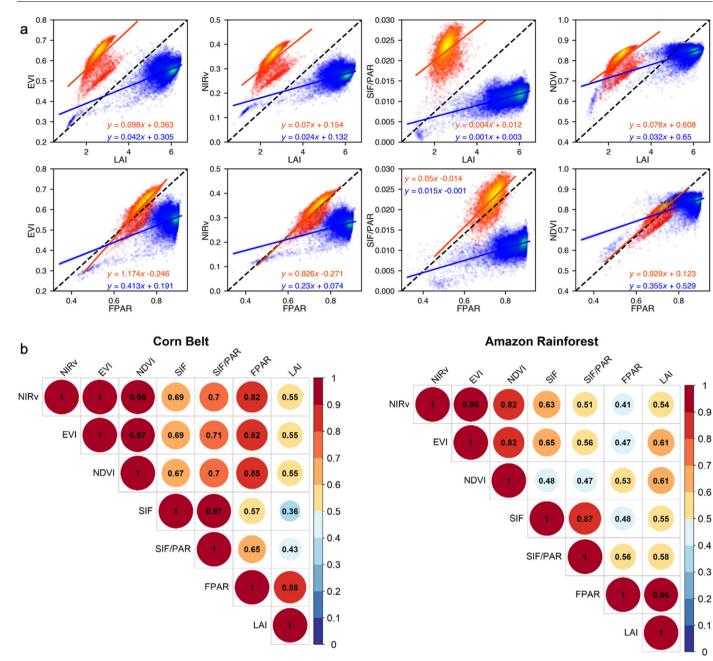


Extended Data Fig. 1 | **The contrasting patterns between the Corn Belt (upper circle) and Amazon rainforest (lower circle) in August during 2001–2019 at global maps.** The same as in Fig. 1, the MODIS EVI, NIRv and *fesc* were smaller over the Amazon rainforest than over the Corn Belt, while the MODIS LAI shows

the opposite pattern. This effect is also found in the cropland in Northeast Asia, the rainforest in Southeast Asia, and the eastern forest region in North America near the Corn Belt with the same season and similar latitude.

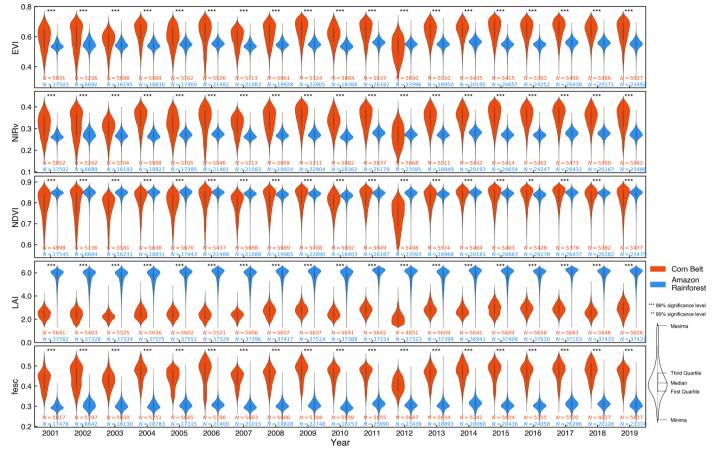




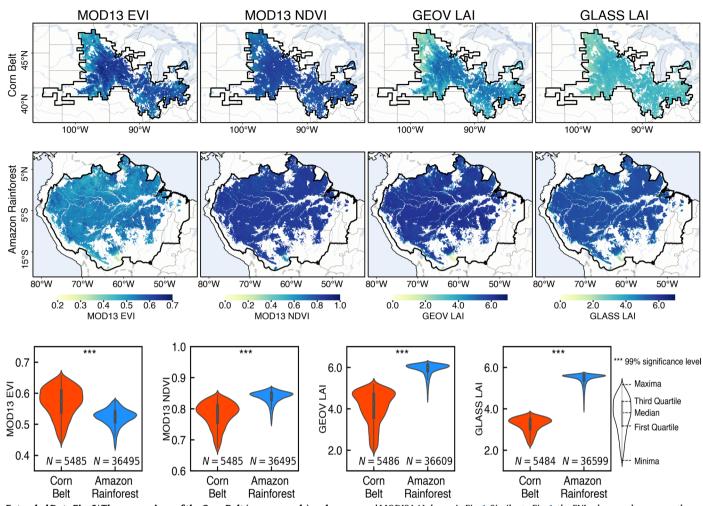


Extended Data Fig. 3 | **The relationship among LAI, FPAR, SIF and VIs over the Corn Belt and Amazon rainforest. (a)** The relationship between LAI or FPAR with EVI, NIRv, SIF/PAR and NDVI over the Corn Belt (in red) and Amazon rainforest (in blue) in August during 2001–2019. The linear slopes over the Corn Belt were at least twice as high as those over the Amazon rainforest. In each

panel, the degree of point transparency represents the point density. **(b)** The correlation coefficient among LAI, FPAR, SIF and VIs over the Corn Belt and Amazon rainforest in August during 2001–2019. The relationship among VIs and SIF were much higher than they were compared to FPAR and LAI, especially over the Corn Belt.

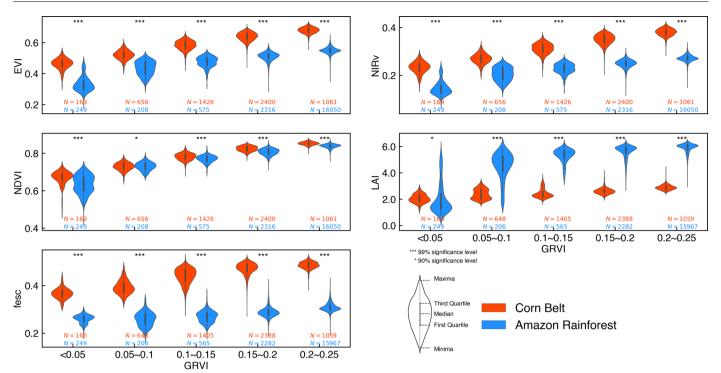


Extended Data Fig. 4 | Comparisons of VIs, LAI and *fesc* between the Corn Belt and Amazon rainforest in August of each year during 2001–2019.

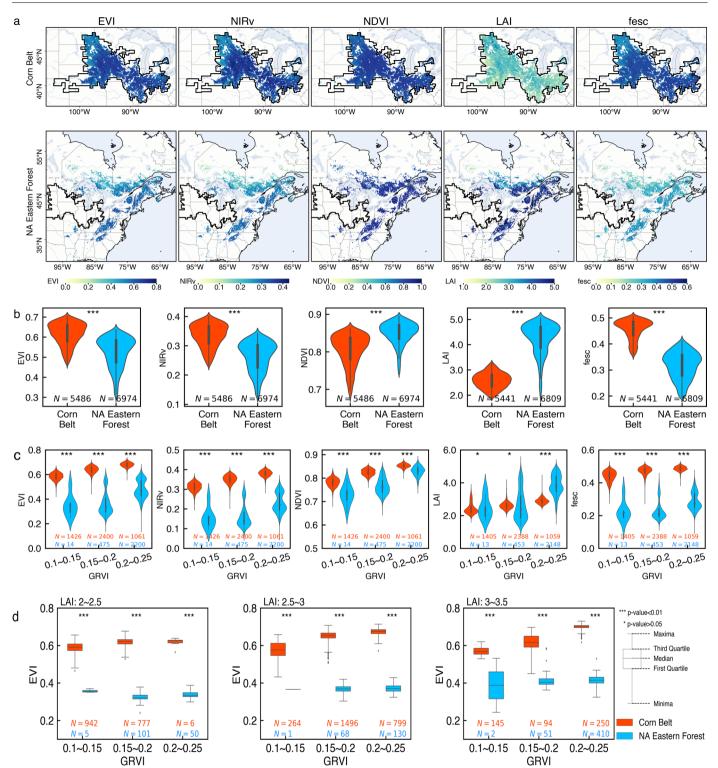


Extended Data Fig. 5 | The comparison of the Corn Belt (upper panels) and Amazon rainforest (lower panels) in August during the period of 2001–2019. Additional global VI and LAI products, including MOD13 NDVI/EVI, GEOV LAI and GLASS LAI, were used for the analysis in addition to the MCD43 NDVI/EVI

and MODIS LAI shown in Fig. 1. Similar to Fig. 1, the EVI values are lower over the Amazon rainforest compared to the Corn Belt, while the LAI shows the opposite pattern, with larger values observed in the Amazon rainforest.

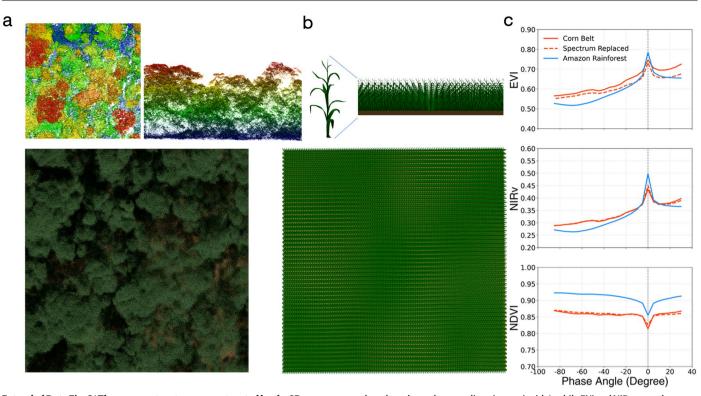


Extended Data Fig. 6 | Comparison of VIs, LAI and *fesc* between the Corn Belt and Amazon rainforest in August during 2001–2019 at different levels of GRVI, which was used as a proxy of canopy pigment pools.

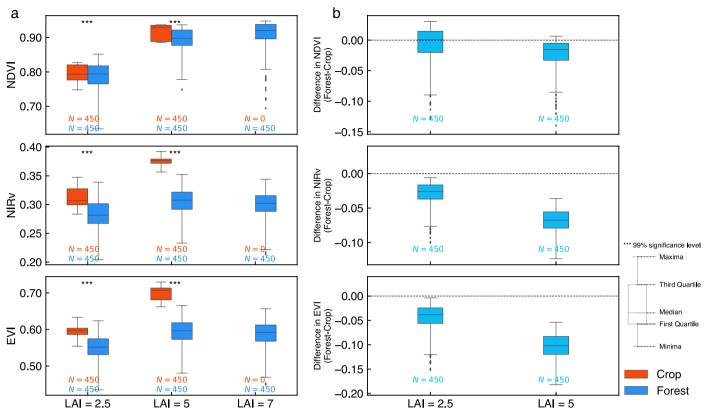


Extended Data Fig. 7 | Comparisons of VIs, LAI and *fesc* between the US Corn Belt (upper panels in a) and a nearby forest region in the Northeastern North America (NA, lower panels in a) in August during 2001–2019. (b) The MODIS EVI, NIRv and *fesc* were lower over the forest region compared to the Corn Belt, due to the stronger shadows in view. In contrast, the MODIS LAI was higher in the forest region than in the Corn Belt. The corresponding violin plots display

the quartile and mean of the target variables, and as well as their distributions. (c) Comparison of VIs, LAI and *fesc* between the Corn Belt and the nearby forest region in North America in August during 2001–2019 at different levels of GRVI, which was used as a proxy of canopy pigment pools. (d) Comparison of EVI between the Corn Belt and the nearby forest region in North America in August during 2001–2019 at different levels of GRVI and LAI.

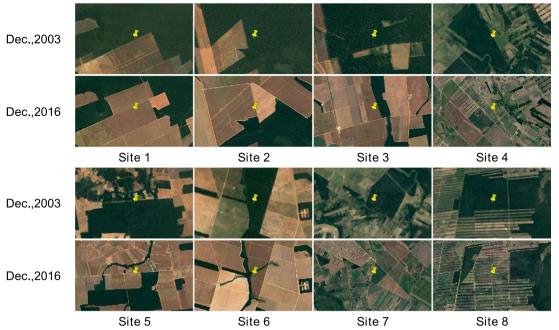


Extended Data Fig. 8 | The canopy structure reconstructed by the 3D raytracing model over the Amazon rainforest (a, 100 m × 100 m) and the corn field (b,50 m × 50 m). The Amazon rainforest structure was extracted by the LiDAR data acquired over a site located at (E 54.99, S 3.37) in 2018²². Detailed input soil-leaf-canopy parameters were as in Supplementary Table 1. Multi-angular EVI, NIRv and NDVI simulations by the 3D ray-tracing model over the Corn Belt and Amazon rainforest (c) had close values at the hotspot direction (phase angle = 0 when the solar and sensor directions coincide), while EVI and NIRv were always much higher over the Corn Belt than the Amazon rainforest at the off-hotspot directions (phase angle > 0, for example, at nadir view but the solar zenith angle is 45° for the standard MAIAC surface reflectance product). The phase angle in (c) is the angle between the solar and sensor directions. The 'Spectrum Replaced' represents the simulation of the corn field while the soil-leaf spectrum was replaced by the spectrum of the Amazon rainforest (c).



Extended Data Fig. 9 | Sensitivity analysis by the LESS 3D ray-tracing model simulations of forest and crops with varied parameters in Supplementary Table 2, especially under the control of the same LAI. (a) The separate simulated VIs of forest and crops. (b) The differences of simulated VIs between

forest and crops. The same as the contrast in Extended Data Fig. 7d, the EVI and NIRv under the control of similar LAI (2.5 or 5) were smaller over forest than crops, especially when the LAI was relatively large at 5.



Extended Data Fig. 10 | The eight deforestation locations in Fig. 4a with the land cover change from forest to crops within 2005–2015 over Amazon rainforest.

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Reporting Summary

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| | \boxtimes | A description of all covariates tested | | | | | |
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Software and code

| Policy information about availability of computer code | | | | | | | | | | |
|--|---|--|--|--|--|--|--|--|--|--|
| Data collection | No software was used in the data collection. | | | | | | | | | |
| Data analysis | Code used to generate figures and results is available through Figshare at https://doi.org/10.6084/m9.figshare.23677260.v1. The freely accessible platform Google Earth Engine (https://earthengine.google.com/platform/) was used for the MODIS data processing, and the LESS model (http://lessrt.org/) was used for the LiDAR dataset processing and 3D ray-tracing model simulations. | | | | | | | | | |

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The data are available through Figshare at https://doi.org/10.6084/m9.figshare.23677407.v1

April 2023

Research involving human participants, their data, or biological material

Policy information about studies with <u>human participants or human data</u>. See also policy information about <u>sex, gender (identity/presentation)</u>, <u>and sexual orientation</u> and <u>race, ethnicity and racism</u>.

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| Study description | By synthesizing evidence from radiative transfer theory, multi-angular satellite observations, and state-of-the-art simulations, we reveal that macroscale shadows cast by complex forest structures lead to lower greenness measures compared to structurally simple and homogeneous crops. | | | | | |
|--------------------------|--|--|--|--|--|--|
| Research sample | Global MODIS surface reflectance, VIs and LAI products during 2001-2019 with 500 m spatial resolution; Global TROPOMI SIF and EPIC PAR products during the period of 2018.03-2019.02; POLDER and MISR multi-angular surface reflectance products in the Corn Belt and Amazon rainforest; Earth Observing-1 Hyperion based canopy spectrum in the Corn Belt and Amazon rainforest; LiDAR dataset and 3D ray-tracing model simulations in the Amazon rainforest. | | | | | |
| Sampling strategy | We used all available remote sensing samples except for those as described and justified in "Data exclusions". | | | | | |
| Data collection | All MODIS datasets from 2001-2019 with 500 m spatial resolution were obtained from the Google Earth Engine (GEE) platform. The GEOV LAI product was acquired at https://land.copernicus.eu/global/products/lai The GLASS LAI product was acquired at http://www.glass.umd.edu/LAI/MODIS/0.1D/ The TROPOMI far-red daily SIF dataset with a spatial resolution of 7 km × 3.5 km at nadir was acquired at ftp://fluo.gps.caltech.edu/ data/tropomi The LiDAR dataset was available through the Oak Ridge National Laboratory (ORNL) Distributed Active Archive Center (DAAC) at https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1644 The site imageries at Tapajos and Mead1 were acquired at PhenoCam (https://phenocam.nau.edu/webcam/sites). | | | | | |
| Timing and spatial scale | The daily MODIS MCD43A4 V6.1 NBAR, the 8-day MOD15A2H V6.1 LAI/FPAR, the 16-day MOD13A2 V6.1 EVI/NDVI, and the yearly MCD12Q1 V6.1 Land Cover Type products were acquired during 2001-2019 with 500 m spatial resolution. The data were aggregated to monthly temporally and 0.1° spatially. The TROPOMI far-red daily SIF dataset has a spatial resolution of 7 km × 3.5 km at nadir with the time period of 2018.03-2019.02. The POLDER observations were obtained between July and August 2008, with a spatial resolution of approximately 6.2 km. The MISR observations were collected during July and August 2017, with a spatial resolution of 1.1 km and a temporal resolution of 9 days. The Earth Observing-1 Hyperion image over the Corn Belt was acquired on Day of Year (DOY) 210 in 2014, while the Hyperion image over the Amazon rainforest was acquired on DOY 221 in 2002. The point cloud data was collected during LiDAR surveys at the Amazon rainforest in Brazil in 2018. | | | | | |
| Data exclusions | We have used all relevant data according to the physical term definition and product standard quality. Our analyses excluded non- forest regions, such as savanna in the eastern part of the Amazon and grassland in the southern part of the Amazon, as identified by the MCD12 land cover product. We excluded all pixels in which non-vegetation types (such as snow/ice, water, barren land, and urban areas) comprised more than 50% of the total area. Additionally, we analyzed only homogeneous pixels composed of more than | | | | | |



80% forest or crop within vegetated areas. We only used snow-free MODIS NBAR and other data with good Quality Assurance (QA)/ Quality Control (QC) flags.

| Reproducibility | We have shared the code in a publicly accessible repository. | | |
|-----------------|---|--|--|
| Randomization | In the land conversion from forest to crops, we have explored both the eight randomly selected sites as examples, and the regional- scale with all the deforestation pixels. | | |
| Blinding | Blinding is not relevant because this work was not experimental in nature. | | |

Did the study involve field work? \Box_{Yes} No

Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Materials & experimental systems

Methods

| n/a | Involved in the study | n/a | Involved in the study |
|-------------|-------------------------------|-------------|------------------------|
| \boxtimes | Antibodies | \boxtimes | ChIP-seq |
| \boxtimes | Eukaryotic cell lines | \boxtimes | Flow cytometry |
| \boxtimes | Palaeontology and archaeology | \boxtimes | MRI-based neuroimaging |
| \boxtimes | Animals and other organisms | | |
| \boxtimes | Clinical data | | |
| \boxtimes | Dual use research of concern | | |
| | | | |