Evolution of Membrane Fouling Revealed by Label-Free Vibrational Spectroscopic Imaging

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ABSTRACT: Membrane fouling is the bottleneck that restricts the sustainability of membrane technology for environmental applications. Therefore, the development of novel analytical tools for characterizing membrane fouling processes is essential. In this work, we demonstrate a capability of probing the chemical structure of foulants and detecting their 3-dimensional spatial distribution on membranes based on stimulated Raman scattering (SRS) microscopy as a vibrational spectroscopic imaging approach. The adsorption process of foulants onto membrane surfaces and their aggregation process within membrane pores during the microfiltration of protein and polysaccharide solutions were clearly monitored. Pore constriction and cake layer formation were found to be the coupled membrane fouling mechanisms. This work establishes an ultrafast, highly sensitive, nondestructive and label-free imaging platform for the characterization of membrane fouling evolution. Furthermore, this work provides new insights into membrane fouling and offers a powerful tool for membrane-based process exploration.

INTRODUCTION

Water pollution and energy scarcity are among the most critical challenges of modern society. The development of efficient and sustainable techniques for tapping unconventional sources of water and energy is therefore of great importance. In recent decades, membrane-based technologies have become a rising star in the areas of water purification and sustainable energy regeneration.1−3 Membrane filtration systems, such as microfiltration, ultrafiltration, nanofiltration, and forward/reverse osmosis, can effectively remove colloidal particles and dissolved organics with excellent disinfection capability for water cleaning.4,5 Furthermore, taking advantage of membrane platforms, low-grade heat energy can be converted into mechanical work for energy harvesting.5,6 However, the widespread application of membrane technologies is still limited because of membrane fouling, an accumulation of organic, inorganic, or biological foulants on the membrane surface and/or inside the membrane pores, which reduces membrane permeation flux, deteriorates product quality, and increases energy consumption.7−9 Proteins and polysaccharides are the key components of most substances in natural and engineered systems, for example, dissolved organic matter (DOM)8−10 and microbial extracellular polymeric substances (EPS),11,12 and are thus recognized as the main factor for membrane fouling. The mechanism behind the membrane fouling induced by proteins and polysaccharides remains unclear due to the complexity of fouling behaviors, coupled mechanical and chemical kinetics, and the lack of effective analytical tools to sufficiently explore the membrane fouling process.

Membrane fouling is affected by a variety of factors, including membrane materials and structure, feed properties, and operating conditions.7,7,8,13−18 Different types of fouling forms have been proposed, including pore constriction, pore blockage, and deposit-forming cake layers.7,16−18 In addition to the conventional approaches, various new techniques have been utilized to characterize membrane fouling processes, such as ultrasonic time domain reflectometry,19,20 electrical impedance spectroscopy,7,12 and 3-dimensional (3D) optical coherence tomography (OCT) imaging.21 Some of them can provide real-time information on membrane fouling, such as change in porosity and fouling layer formation, but fail to discriminate the different chemical compositions of foulants, which is considered crucial to the evaluation of the roles of foulant species in fouling process.

Optical and spectroscopic techniques have been extensively used to explore the chemical structure of foulants, and various modifications have been performed to enhance the sensitivity and resolution of these techniques. For example, confocal scanning laser microscopy was used to explore the fouling behavior of EPS components on polycarbonate and mixed ester...
membranes, and a lower-molecular-weight dextran was found to enhance fouling.\textsuperscript{24} Surface-enhanced Raman scattering (SERS) has been used as a sensitive tool to probe the blocking of membrane pore at initial fouling stages and to monitor the development of biofilms.\textsuperscript{25−28} However, these techniques require fluorophores or SERS substrates, which themselves cause fouling. Raman spectroscopy has been demonstrated as an effective technique for real-time fouling monitoring.\textsuperscript{25,30} Another spectroscopic technique, infrared attenuated total reflection spectroscopy, is a label-free method that has been used to reveal the structural information on foulants and examine membrane porosity changing profiles.\textsuperscript{31−33} However, this technique is time-consuming, offers poor spatial resolution and lacks the ability to provide depth information. Recently, synchrotron Fourier transform infrared mapping was applied as a novel approach for membrane fouling characterization, and has been proven to have a potential for both qualitative and quantitative characterizations of membrane fouling layer.\textsuperscript{34} There remains a need for the development of a fast and label-free analytical tool with a high sensitivity and spatial resolution to reveal the real-time chemical information on foulants and elucidate the fouling mechanisms.

Stimulated Raman scattering (SRS) microscopy, based on nonlinear optics, is an emerging vibrational spectroscopic imaging method with ultrafast, highly sensitive, label-free and noninvasive characteristics, and has been recently applied for the qualitative and quantitative analyses of tissue samples in biomedical engineering.\textsuperscript{35−38} Coupled with multivariate curve resolution-alternative least-squares (MCR-ALS) analysis, hyperspectral SRS imaging is a powerful tool for investigating cellular machinery, disease diagnoses, and drug discovery in complicated microenvironments.\textsuperscript{39,40} With an SRS microscope, the penetration depth of samples can be as far as 200 μm. While MCR-ALS analysis can discriminate proteins, polysaccharides, and nucleic acids because of their characteristic Raman peaks, its spectral resolution is above 20 cm\textsuperscript{-1}, which may not be sufficient for resolving the spectral contributions of protein and polysaccharide species in membrane fouling processes. If integrated, however, SRS microscopy might become an elegant platform for 3D chemical imaging of membrane fouling. However, SRS imaging has not been applied in membrane studies yet.

Therefore, the main objective of this work was to rapidly differentiate the chemical compositions of membrane foulants and precisely detect their 3D distributions in a label-free manner. A vibrational spectroscopy-based SRS microscopic platform was established for noninvasive membrane fouling imaging. The results can be applied to, but not limited to, membrane fouling study. Bovine serum albumin (BSA) and dextran were respectively selected as the model proteins and polysaccharides for membrane fouling tests. The capabilities of the SRS imaging approach for spectroscopic, spatial and temporal characterization of membrane fouling were evaluated. Also, the corresponding membrane fouling mechanisms caused by proteins and polysaccharides were elucidated.

**EXPERIMENTAL SECTION**

**Reagents and Filtration Procedures.** Analytical grade BSA (66 kDa), phycocyanin, dextran (70 kDa), and dextran-fluorescein (FITC) were purchased from Sigma-Aldrich. BSA and dextran were dissolved in 1X PBS solution (pH 7.4) with different mass ratios (1:0, 5:3, 1:1, 3:5, 0:1), and the total concentration was 1 g/L.

The membrane filtration system is illustrated in Supporting Information (SI) Figure S1. PVDF microfiltration membranes (GVWP02500) with 0.22 μm-pore sizes and 25 mm-diameters were purchased from Millipore Inc. The effective filtration area was 4.1 cm\textsuperscript{2}. A stirring cell with a volume of 10 mL (Amicon 8200, Millipore Inc.) was used for the filtration experiments. The flow rate and stirring speed were kept constant at 2.4 mL/min cm\textsuperscript{2} and 250 rpm, respectively, during filtration. Prior to filtration, each fresh membrane was primed by filtering with ultrapure water for 20 min. After the filtration, the membrane was rinsed with deionized water and then clamped by two microscopic slides for the subsequent SRS imaging.

**Raman Microscopy.** Spontaneous Raman measurements were conducted using a spectrometer (Shamrock SR-303i-A, Andor Technology, Belfast, UK) mounted to a microscope setup described in previous study,\textsuperscript{31} the pump laser was tuned to 707 nm with 100 mW power for Raman excitation. The Raman signal was reflected to the spectrometer by a long-pass dichroic mirror (720DCSP, Chroma Co.). The spectrometer was equipped with a 1200 g/mm grating, and the integration time was 30 s.

**SRS Imaging Platform for Membrane Fouling Imaging.** In the SRS system, two beams at 798 and 1040 nm from a femtosecond laser (Insight Deepsee, Spectra Physics Inc.) served as the pump and Stokes lasers for SRS excitation, and their powers before entering the microscope were adjusted to 20 and 80 mW, respectively. For the measurement of retinoic acid, the pump laser was tuned to 893 nm. The Stokes beam was modulated by an acousto-optic modulator (1205C, Isomet Inc.) at 2.3 MHz. A motorized optical delay stage (T-LS28E, Zaber Co.) was placed in the pump light path to scan the delay between two beams. The pump and Stokes beams were collinearly aligned by a dichroic mirror and chirped by four 12.7 cm long SF57 glass rods, in place of two rods to improve the spectral resolution. The Raman shift was thus controlled by the temporal delay of these two chirped beams. The beams were sent to an upright microscope (IX71, Olympus Inc., Japan) with customized galvo scanner, passing through a spectral focusing unit (SF57, Lattice Optics Inc.). A 60X water immersion objective lens (N.A. 1.2, LUMPlanFL, Olympus Inc., Japan) was used to focus the light onto the membrane sample. An oil condenser (N.A. 1.4, U-AAC, Olympus Inc., Japan) was used to collect the signal. The stimulated Raman loss of pump beam was detected by a Si photodiode (S3994-01, Hamamatsu Inc., Japan) with a lab-built resonant circuit and extracted using a digital lock-in amplifier (HF2LI, Zurich Instruments Inc., Switzerland). The Stokes signal was blocked by a filter (825/150 nm, Chroma Technologies Co.) before the photodiode.

The hyperspectral SRS imaging of membrane samples were recorded from approximately 2800 to 3050 cm\textsuperscript{-1} over 70 frames. The imaging dwell time was 10 μs per pixel, and each image consisted of 200 × 200 pixels with a pixel length of 0.6 μm. Thus, the total acquisition time for each hyperspectral SRS image was approximately 1 min. For Z-stack imaging, the depth step was 2 μm; 11 slices were recorded for a total penetration depth of 20 μm from the membrane surface.

**Imaging Data Processing.** The images were denoised and the signal falloff at the edge of the images was removed by FFT filter. All processes were performed using ImageJ software (U.S. National Institute of Health), and a 3D reconstruction of image stacks was carried out using the volume viewer plugin. The
display intensity was adjusted for optimal image contrast for each individual image.

The original hyperspectral SRS stacks were decomposed by MCR-ALS analysis to differentiate the membrane substrate and the foulants. The spectral profiles of the components and their concentration matrices were retrieved by a Matlab-based MCR-ALS toolbox. The concentration and spectrum values were set non-negative as constraints, and 0.01% as the convergence criterium, ensuring that small deviations in the initial estimations did not cause inconsistencies within the results. Detailed descriptions about the procedures of MCR-ALS algorithm can be found elsewhere.42

■ RESULTS AND DISCUSSION

Development of SRS Imaging Platform. A schematic illustration of our membrane fouling imaging platform is shown in Figure 1. The frequency difference between the pump and Stokes pulses was centered at 2916 cm$^{-1}$, that is, the stretching vibration of C–H bonds, which are prevalent in membrane filtration systems. The system Raman shift with respect to the pump-Stokes pulse delay was calibrated before SRS imaging by using a set of known Raman peaks of standard chemicals, including dimethyl sulfoxide and methanol, after normalizing with the two-photon absorption signal of Rhodamine 6G (SI Figure S2). A linear fit between delay stage and Raman shifts indicated that these two laser pulses were linearly chirped. Using a 1951 USAF resolution test grid, the spatial resolution of our imaging system was estimated to be 0.50 μm in the $x$ direction and 0.57 μm in the $y$ direction (SI Figure S3).

A spontaneous Raman spectrum of standard retinoic acid (RA) is presented in Figure 2a. An isolated RA vibrational resonance was observed at 1580 cm$^{-1}$ with a 9.6 cm$^{-1}$ full width at half-maximum (fwhm). Figure 2b shows the comparison of RA SRS spectral profiles collected using the present system with 4 rods and using a previous setup with 2 rods; their fwhm’s were 17.2 and 28.5 cm$^{-1}$, respectively. The fwhm of RA in the SRS spectra was wider than that in the spontaneous Raman spectra. This was attributed to a high order chirp for SRS.
technique, which sacrificed spectral resolution as a trade-off for a higher sensitivity. The spectral resolution of our modified system was estimated to be 14 cm$^{-1}$, which was greatly improved compared with the original setup (25 cm$^{-1}$). Such a resolution is sufficient for interrogating the molecular vibrations of chemical species in membrane fouling process.

To validate the feasibility of SRS imaging for membrane fouling, the spontaneous Raman and SRS spectral profiles of polyvinylidene fluoride (PVDF) membrane, BSA, and dextran were compared. The spontaneous Raman spectra of these three species (Figure 2c) showed strong signals in the C–H vibration region with distinct differences in both shape and peak position. PVDF polymer exhibited a stretching vibration of $-\text{CH}_2-$ framework at 2969 cm$^{-1}$, whereas the C–H bond stretching vibrations of proteins and polysaccharides were located at 2920 and 2885 cm$^{-1}$, respectively. In the SRS spectra (Figure 2d), the peaks were broadened, but the positions remained the same when compared with the spontaneous Raman spectra. Furthermore, the peak shapes were substantially different. These comparisons are the foundation for discriminating different foulants and the membrane substrate in the SRS imaging of membrane fouling.

**SRS Imaging of BSA/Dextran-Induced Membrane Fouling.** Hyperspectral SRS imaging of the pure and BSA/dextran filtrated membranes was carried out. The SRS spectral profiles of the pure PVDF, BSA- and dextran-filtrated membranes at the end of the filtration period (100 min) are shown in Figure 3a. Compared with the pure PVDF membrane, which only exhibited one characteristic peak of PVDF at 2969 cm$^{-1}$, additional peaks appeared at 2910 and 2890 cm$^{-1}$, for the BSA- and dextran-filtrated membranes, respectively. These results suggest that foulants adsorbed or deposited onto the surface or inside the membranes during filtration.

The SRS imaging of the pure PVDF membrane at 2969 cm$^{-1}$ showed uniformly distributed membrane pores (Figure 3b), indicating the capability for morphological characterization of membrane surfaces by SRS imaging. After BSA filtration, the SRS imaging of BSA foulant and membrane substrate were displayed at 2920 and 2969 cm$^{-1}$ (Figure 3c), respectively. The BSA foulants were thready and unevenly distributed on the membrane, indicating that the foulants aggregated at the membrane-solution interface. The membrane substrate was agglomerated, suggesting the collapse and contraction of membrane pores due to foulant adsorption. In comparison, the dextran foulants exhibited a much weaker intensity and smaller size. Furthermore, the agglomeration of membrane substrate was less severe, as shown in Figure 3d. Since the spectral intensity of the membrane substrate is a function of the local porosity of the membrane, a higher absorbance indicates a lower porosity. Therefore, the SRS intensities of PVDF membrane at 2969 cm$^{-1}$ before and after the filtration of BSA/dextran solution (Figure 3c, d, and e) were compared (SI Table S1). Clearly, after the membrane fouling, the SRS intensity of PVDF increased, indicating the decrease in membrane porosity and the occurrence of pore blockage. Thus, pore constriction was mainly responsible for the membrane fouling during the individual filtration of BSA or dextran. Furthermore, the BSA-fouled PVDF membrane exhibited a much higher intensity than the dextran-fouled membrane, suggesting more severe membrane fouling caused by BSA than by dextran. This result is confirmed by the pure water permeability change before and after the filtration, and the flux declined by 90% and 85% after the filtration of BSA and dextran, respectively.

Figure 3. SRS imaging of membrane fouling during individual filtration of BSA/dextran. (a) SRS spectral profiles of pure, BSA- and dextran-fouled membranes; (b) SRS imaging of pure membrane at 2969 cm$^{-1}$; and (c, d) SRS imaging of (c) BSA- and (d) dextran-fouled membrane. SNR: 600.
To obtain the thickness of the fouling layer, we performed SRS imaging of the fouled membranes along the $z$-direction to a depth of 20 $\mu$m. Cross sections along the $xz$ plane and 3D reconstructed images of BSA/dextran are shown in Figure 4. The display images of PVDF substrate with moderate intensities along this depth range (SI Figure S4) demonstrate the capability of this SRS imaging method for depth studies. The BSA and dextran foulants were mainly distributed on the membrane surface within 10 $\mu$m, and the BSA foulant exhibited a slightly deeper penetration depth than the dextran foulant. These results suggest that SRS imaging could successfully reveal the 3D spatial distribution of foulants on membranes in a label-free manner.

**SRS Imaging Coupled with MCR-ALS Analysis of Membrane Fouling Caused by BSA and Dextran Mixture.** To explore the ability of SRS imaging for discriminating membrane foulants with different chemical structures, we imaged the membrane fouling caused by a BSA and dextran mixture at the end of the filtration period (100 min). Since the Raman bands of BSA and dextran were close, only two peaks appeared in the SRS spectral profiles of the fouled membrane (Figure 5a). The Raman peak at 2969 cm$^{-1}$ was attributed to the PVDF substrate, whereas the peak centered at 2905 cm$^{-1}$ was an overlap of BSA and dextran foulants. Lorentz peak fitting results showed three major peaks at 2900, 2910, and 2970 cm$^{-1}$, corresponding to the C–H Raman bands of dextran, BSA, and the PVDF substrate, respectively. Thus, the identification of foulants was impossible simply based on the direct spectra information. To resolve the overlapping peaks and identify specific chemicals and extract useful structural information, MCR-ALS was applied to analyze the hyperspectral SRS imaging data. The SRS spectral profiles of the pure PVDF, BSA, and dextran were used as the input spectra. After decomposing the original imaging data, three output SRS spectral profiles of the components were generated (Figure 5b), which perfectly matched the SRS spectral profiles of the pure PVDF, BSA, and dextran. These results suggest that the spectral information on different foulants and the

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**Figure 4.** Cross-section view of the foulants on membrane. Foulants along $xz$ plane during (a) BSA filtration and (b) dextran filtration, and the corresponding 3D reconstructed SRS imaging of BSA (c) and dextran (d) foulants. Size: $120 \times 120 \times 20 \mu$m.

**Figure 5.** Hyperspectral SRS imaging and MCR analysis of the BSA/dextran mixture fouled membrane. (a) SRS spectrum of the fouled membrane and Lorentz peak fitting; (b) Decomposed spectral profiles of components by MCR analysis; (c) Reconstructed concentration images of PVDF (gray), BSA (red), dextran (green), and the overlay image; and (d) Intensity ratio change at 2905/2969 cm$^{-1}$ during filtration at various BSA/dextran ratios.
membrane substrate could be successfully differentiated by coupling hyperspectral SRS imaging with MCR-ALS analysis. The concentration maps of these three components are shown in Figure 5c. The agglomeration of membrane substrate was more considerable and the aggregates of dextran foulants were enlarged when compared with those obtained after the individual filtration of BSA or dextran. These results indicate more severe membrane fouling caused by the filtration of the BSA and dextran mixture than those by their individual filtration. The cross-section views of the BSA and dextran foulants on the membrane along z-z-plane and the corresponding 3D reconstructed images of the foulants and membrane substrate are shown in SI Figure S5. The foulants were primarily distributed on the membrane surface to a 10 μm depth, similar to that observed during the individual filtration of BSA or dextran. The 3D image of the PVDF substrate exhibited no signal at the top of the surface, implying that a thin cake layer (approximately 2 μm thick) was formed after the filtration of the BSA and dextran mixture.

**Influence of BSA/Dextran Mass Ratio on Membrane Fouling.** As shown above, the composition of the feed solution had a substantial effect on membrane fouling. To confirm this finding, membrane filtration experiments were conducted at different BSA/dextran mass ratios. Under each ratio, the hyperspectral SRS images of the membranes were collected at three time points, that is, 30, 60, and 100 min. The SRS intensity ratio of the foulants and membrane substrate, which were located at 2905 and 2969 cm⁻¹, respectively, was used to characterize the fouling degree. A higher intensity ratio indicates more foulants formed on the membrane. As shown in Figure 5d, the intensity ratio increased with the filtration time, demonstrating the occurrence of fouling during filtration. On the other hand, the intensity ratio of the BSA/dextran mixture filtration was higher than those of the individual BSA or dextran filtrations at all time points, and reached the highest when the BSA/dextran mass ratio was 1:1. This might be because BSA and dextran in the mixture could interact with each other and thereby synergistically resulted in more severe membrane fouling.

The above results clearly demonstrate that SRS microscopic imaging can provide very useful information on the evolution of membrane fouling induced by proteins and polysaccharides. Because of an improved spectral resolution, this technique was capable of resolving the chemical structures of protein/polysaccharide foulants from the membrane substrate and locating these foulants inside or on the membrane. The chemical imaging results showed that proteins and polysaccharides tended to adsorb inside the membrane within a 10 μm depth from the membrane surface, occupy pore surfaces and cause pore constrictions in their individual filtration. Furthermore, proteins caused more severe membrane fouling than polysaccharides. A mixture of these two species synergistically induced more serious membrane fouling, in which the fouling extent was governed by the mass ratio. Over time, a thin cake layer was formed on the membrane. In general, for the individual protein or polysaccharide filtration, the main fouling mechanism was attributed to pore constriction, whereas pore constriction and cake layer formation were considered as the mechanism responsible for the protein/polysaccharide mixture-induced membrane fouling.

To validate the SRS imaging technique with a different and well-established technique, we also used a fluorescence confocal microscopy to characterize membrane fouling. Fluorescent phycocyanin was used as the protein foulant, and dextran was labeled with FITC as the polysaccharide foulant. After filtration, the membrane was imaged using a fluorescence confocal microscopy (FV1000, Olympus Co., Japan), and the results are shown in SI Figure S6. Clearly, both protein and polysaccharide foulants were mainly distributed on the membrane surface within 10 μm (SI Figure S6a, b), and the protein aggregates were much larger than the polysaccharide counterpart (SI Figure S6c). These results are in consistent with our findings using the SRS imaging method. Since the laser wavelengths (488 nm for polysaccharide and 635 nm for protein) in confocal fluorescence microscopy were shorter than that in the SRS microscopy, the fluorescence confocal microscopy showed a higher sensitivity and better spatial resolution than the SRS microscopy. It should be noted, however, that fluorescence confocal microscopy technique must use fluorescent compounds, and the fouling extent could be influenced by labeling proteins or dextrans. In addition, fluorescence confocal microscopy fails to describe the change of membrane substrates, which could be readily probed by SRS microscopy.

The development of novel analytical tools for the characterization of membrane fouling evolution is critical for the development of membrane technologies. In most cases, chemical information on the foulants and their distribution cannot be simultaneously obtained during membrane fouling by conventional approaches (e.g., IR, SEM, and OCT). While confocal fluorescence microscopy may achieve this goal, fluorescent probes are required and affecting the fouling process. In the present work, an ultrafast, highly sensitive, noninvasive and label-free vibrational imaging platform with a sufficient spectral and spatial resolution was established for membrane fouling evolution characterization. Compared with the previously reported analytical methods, this novel approach is able to simultaneously reveal the chemical structure of foulants and their temporal/spatial distributions without labeling (SI Table S2).

**Implications of This Work.** Overall, the present SRS microscopic imaging method successfully discriminated the spectral contributions of BSA and dextran foulants from membrane substrate, and enabled the diagnosis of fouling origin. Furthermore, this method is capable of probing the pore change of membrane substrate and recognizing the fouling tendencies of protein and polysaccharide foulants based on their 3D spatial distribution. Currently, the membrane fouling is measured offline after filtration. By designing the filtration system that solves the membrane immobilization problem in filtration process with referring to other microscopes such as confocal laser scanning microscopy, real-time monitoring of membrane fouling is feasible using such an SRS imaging platform. By selecting the appropriate SRS spectral window, this approach will also have a potential for the vibrational imaging of other membrane fouling processes induced by inorganic and organic contaminants and even microorganisms. Thus, this method will also be useful in designing antifouling membranes and developing antifouling strategies.

**ASSOCIATED CONTENT**

**Supporting Information**

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.est.7b02775.

Averages of SRS intensity at 2969 cm⁻¹ before and after membrane fouling (Table S1), Comparison between our
SRS imaging approach with previous methods for characterizing membrane fouling process (Table S2), Schematic illustration of membrane filtration system (Figure S1), Calibration of Raman shifts in the spectral focusing system (Figure S2), Spatial resolution estimation of the imaging system (Figure S3), 3D reconstructed SRS imaging of PVDF membrane substrate (Figure S4), Cross-section view of foulants on the membrane during BSA/dextran mixture (1:1) filtration and the corresponding 3D reconstructed SRS images (Figure S5), Cross-section view of protein and polysaccharide foulants on the membrane along xy plane and the overlay image along xy plane using fluorescence confocal microscopy (Figure S6) (PDF)

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Notes
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