

# Evaporative cooling over the Tibetan Plateau induced by vegetation growth

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In the Arctic, climate warming enhances vegetation activity by extending the length of the growing season and intensifying maximum rates of productivity. In turn, increased vegetation productivity reduces albedo, which causes a positive feedback on temperature. Over the Tibetan Plateau (TP), regional vegetation greening has also been observed in response to recent warming. Here, we show that in contrast to arctic regions, increased growing season vegetation activity over the TP may have attenuated surface warming. This negative feedback on growing season vegetation temperature is attributed to enhanced evapotranspiration (ET). The extra energy available at the surface, which results from lower albedo, is efficiently dissipated by evaporative cooling. The net effect is a decrease in daily maximum temperature and the diurnal temperature range, which is supported by statistical analyses of in situ observations and by decomposition of the surface energy budget. A daytime cooling effect from increased vegetation activity is also modeled from a set of regional weather research and forecasting (WRF) mesoscale model simulations, but with a magnitude smaller than observed, likely because the WRF model simulates a weaker ET enhancement. Our results suggest that actions to restore native grasslands in degraded areas, roughly one-third of the plateau, will both facilitate a sustainable ecological development in this region and have local climate cobenefits. More accurate simulations of the biophysical coupling between the land surface and the atmosphere are needed to help understand regional climate change over the TP, and possible larger scale feedbacks between climate in the TP and the Asian monsoon system.

climate change | feedback | evapotranspiration | vegetation | Tibetan Plateau

The Tibetan Plateau (TP) plays a key role in the Asian summer monsoon, a weather system affecting more than half of the world's population. The TP has experienced a pronounced warming over recent decades (1), with a warming rate of about twice the global average for the period 1960–2009 (2, 3), yet with heterogeneous patterns. Both observations and model studies show that recent climate change has had an impact on the structure and ecological functioning of TP grasslands (4–7). One robust observation is that temperature has increased more slowly during the day than during the night, thereby reducing the diurnal temperature range by about 0.23 °C per decade over the period 1961–2003 (8). Understanding the mechanisms driving the spatiotemporal patterns of temperature change over the TP is critical for the development of adaptation strategies to protect its vulnerable grassland ecosystems and for better understanding the coupling between regional changes over the TP and the larger Asian monsoon system (9).

Changes in vegetation albedo, emissivity, and evapotranspiration (ET) altogether exert feedbacks on climate (10–14). In the Arctic, it has been shown that a temperature-driven increase of vegetation productivity can produce a positive feedback to warming through reduced albedo, which increases the amount of solar radiation absorbed by the surface (11, 14, 15). However, such a positive albedo feedback may be partially offset by increased cooling from higher ET (16–18). The balance between these two biophysical mechanisms of opposite sign in the surface energy budget likely determines how vegetation changes affect local climate, but little observational evidence exists to demonstrate vegetation feedbacks on climate at regional or continental scales (19, 20). For the TP, it is as yet unknown whether the vegetation changes may have contributed to local temperature variations. The goal of this study is to investigate how changes in vegetation greenness exert influences on local temperature. To that end, we have used satellite-measured vegetation greenness, the Normalized Difference Vegetation Index (NDVI), as a proxy of vegetation activity (photosynthesis and vegetation coverage), in combination with in situ air temperature observations and three independent gridded ET estimates, one based on the Penman–Monteith equation and Moderate-resolution Imaging

## Significance

Understanding land-surface biophysical feedbacks to the atmosphere is needed if we are to simulate regional climate accurately. In the Arctic, previous studies have shown that enhanced vegetation growth decreases albedo and amplifies warming. In contrast, on the Tibetan Plateau, a statistical model based on in situ observations and decomposition of the surface energy budget suggests that increased vegetation activity may attenuate daytime warming by enhancing evapotranspiration (ET), a cooling process. A regional climate model also simulates daytime cooling when prescribed with increased vegetation activity, but with a magnitude smaller than observed, likely because this model simulates weaker ET enhancement in response to increased vegetation growth.

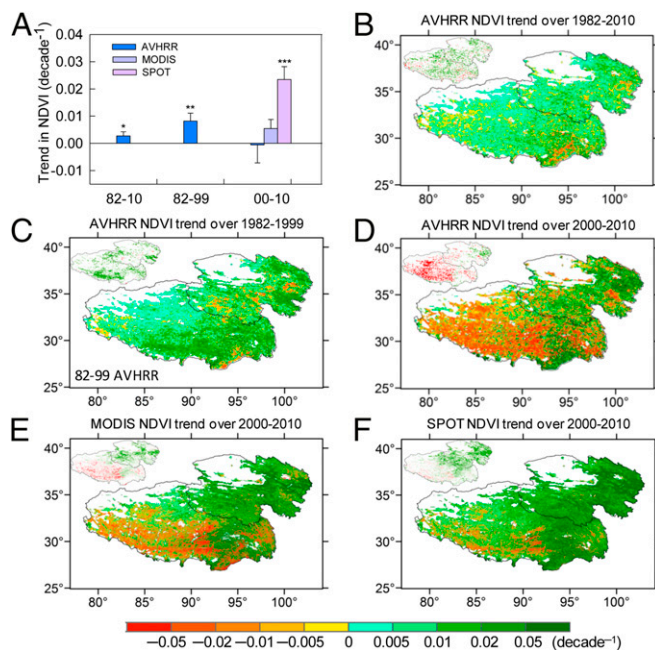
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**Fig. 1.** Changes in the growing season (May–September) NDVI across the TP over the past three decades. (A) Trend in the growing season NDVI at a regional scale over 1982–2010, 1982–1999, and 2000–2010. The pixels with growing season NDVI lower than 0.10 are not considered. \*\*\* $P < 0.01$ ; \*\* $P < 0.05$ ; \* $P < 0.10$ . Trends with no asterisk are not significant ( $P > 0.10$ ). (B–F) Spatial distribution of the growing season NDVI trend for the different datasets and periods. (Insets) Pixels with significantly ( $P < 0.05$ ) negative (red) or positive (green) trends are shown in each map.

Spectroradiometer (MODIS) land products ( $ET_M$ ), the second based on the Priestley and Taylor equation [Global Land Surface Evaporation: the Amsterdam Methodology (GLEAM);  $ET_G$ ], and the third from a machine-learning algorithm that interpolates flux-tower ET measurements in time and space ( $ET_I$ ). A sensitivity analysis of the ET cooling effect based on intrinsic biophysical mechanisms and simulations from the Weather Research and Forecasting (WRF) regional climate model (*Methods*) are used as well.

## Results

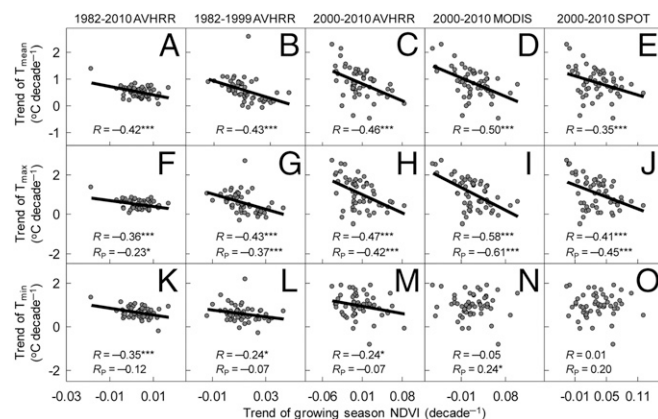
**Relationships Between Greening and Temperature Trends.** We first characterize changes in the growing season (May to September) NDVI using three different satellite-derived NDVI datasets: one from an Advanced Very High Resolution Radiometer (AVHRR; 1982–2010) and two others from a MODIS (2000–2010) and Système Pour l’Observation de la Terre (SPOT)-VEGETATION (2000–2010) (*Methods*). The AVHRR NDVI data show a positive trend (i.e., greening) during the entire period 1982–2010 (Fig. 1A and B). Consistent with an earlier study (21), the greening trend of the AVHRR NDVI over the TP mainly occurred during the 1980s and 1990s (Fig. 1A and C). During their period of overlap in the 2000s, the three NDVI datasets (Fig. 1A) exhibit similar spatial patterns of the trends (Fig. 1D–F) but different mean trends when averaged over the entire TP area (Fig. 1A). All three datasets show a systematic decrease in the growing season NDVI over the past decade in the southwest of the plateau. This decrease is associated with a delayed vegetation green-up date (22). In contrast, greening persisted over the northeast of the TP.

We hypothesize that through mechanisms of land surface feedback, spatial differences in temporal trend of the NDVI ( $NDVI_{trend}$ ) across the TP region (Fig. 1B–F) affect regional patterns of surface temperature trend. To test this hypothesis, we first investigated the spatial relationship between observed

$NDVI_{trend}$  and the temporal trend ( $T_{mean,trend}$ ) of growing season average of daily mean temperature ( $T_{mean}$ ) from 55 meteorological stations. Because vegetation growth over the TP is limited by low temperature (Fig. S1), in the absence of feedbacks, one would expect a positive spatial correlation between  $T_{mean,trend}$  and  $NDVI_{trend}$ . However, when  $NDVI_{trend}$  is regressed against the meteorological station  $T_{mean,trend}$ , it is found that the correlation is negative ( $P < 0.01$ ). This relationship remains robust regardless of the choice of NDVI dataset (Fig. 2A–E), suggesting that increasing vegetation activity may exert a negative forcing (cooling) on local temperature trends.

Because changes in vegetation activity have asymmetrical effects on the diurnal cycle of surface air temperature (13), we examine the statistical relationships between  $NDVI_{trend}$  and  $T_{max,trend}$  which is the trend in daytime maximum temperature ( $T_{max}$ ) and  $T_{min,trend}$  which is the trend in nighttime minimum temperature ( $T_{min}$ ).  $NDVI_{trend}$  is found to have a stronger negative spatial correlation with  $T_{max,trend}$  rather than with  $T_{min,trend}$  (Fig. 2F–O).  $NDVI_{trend}$  from MODIS and SPOT is not significantly (Fig. 2N and O) correlated with  $T_{min,trend}$  across the 55 meteorological stations. Further,  $NDVI_{trend}$  does not show significantly negative correlations with  $T_{min,trend}$  when the confounding effects of  $T_{max}$  are statistically removed (Fig. 2K–O). In contrast, accounting for the confounding effect of  $T_{min}$  on  $T_{max}$  does not affect the significantly negative correlation between  $NDVI_{trend}$  and  $T_{max,trend}$  (Fig. 2F–J), suggesting that increasing vegetation activity may exert a cooling effect on local temperature trends, primarily in the daytime.

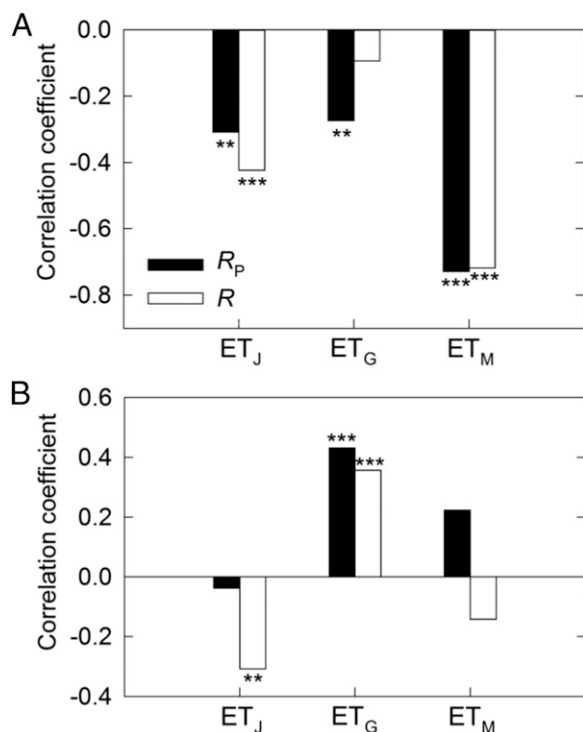
The spatially negative correlation between  $NDVI_{trend}$  and  $T_{max,trend}$  across the TP is expected to be stronger in summer when vegetation is more active and radiation is more intense. We did find a stronger negative correlation between  $T_{max,trend}$  and  $NDVI_{trend}$  for summer (July and August) than for spring (May and June) or for fall (September) (Fig. S2). In contrast,  $T_{min,trend}$  consistently shows no significantly negative correlation with  $NDVI_{trend}$  for all cases. Because of this nonsymmetrical effect of greening on  $T_{max}$  and  $T_{min}$ , the trend in diurnal temperature range is negatively correlated with  $NDVI_{trend}$  ( $P < 0.01$ ; Fig. S3B–E), except for the period 1982–2010, during which the correlation is only marginally significant ( $P = 0.07$ ; Fig. S3A).



**Fig. 2.** Spatial relationship of the growing season NDVI trend with trend of  $T_{mean}$ ,  $T_{max}$ , and  $T_{min}$  across the 55 meteorological stations in the TP. In each of the panels (A–O), the period for calculating the temporal trends and NDVI dataset are given in the top of the figure. Each point is for one station.  $R$  is the correlation coefficient between the trend of the growing season NDVI and the trend of temperature.  $R_p$  indicates partial correlation coefficients of the trend of the growing season NDVI with the trend of  $T_{max}$  (or  $T_{min}$ ) through controlling  $T_{min}$  (or  $T_{max}$ ). \*\*\* $P < 0.01$ ; \* $P < 0.10$ . Correlations with no asterisk are not significant ( $P > 0.10$ ).

**Possible Mechanisms.** The significant negative correlation between  $\text{NDVI}_{\text{trend}}$  and  $T_{\text{max,trend}}$  suggests an ET-induced cooling effect in the daytime. ET is a key process that dissipates the energy absorbed by the vegetation and determines the diurnal cycle of near-surface air temperature. The cooling feedback due to increased ET in response to the positive trend of vegetation greenness is expected to reduce daytime ( $T_{\text{max}}$ ) rather than nighttime ( $T_{\text{min}}$ ) warming rates, and to have stronger impacts in the summer than in other seasons. This mechanism is consistent with evidence from the spatial patterns of observations. Next, we use statistical and numerical tools, as well as a sensitivity analysis, to investigate this mechanism further.

We first examine the spatial correlations between in situ  $T_{\text{max,trend}}$  and the temporal trend in ET ( $\text{ET}_{\text{trend}}$ ) from (i)  $\text{ET}_M$  products (MOD16A2-ET) for the period 2000–2010 (23), (ii)  $\text{ET}_G$  products over the period 2000–2010 (24), and (iii)  $\text{ET}_J$  products (25) over the period 1982–1999 (data descriptions are provided in *Methods*). For all three ET datasets, the spatial patterns of  $\text{ET}_{\text{trend}}$  are found to be negatively correlated with  $T_{\text{max,trend}}$  ( $P < 0.05$ ; Fig. 3A). In contrast, the patterns of  $T_{\text{min,trend}}$  are not significantly correlated with  $\text{ET}_{\text{trend}}$  (from partial correlation,  $P > 0.10$ ; Fig. 3B). In addition, the spatial pattern of  $\text{ET}_{\text{trend}}$  is significantly and positively correlated with  $\text{NDVI}_{\text{trend}}$  for the three satellite NDVI datasets ( $P < 0.10$  for GLEAM  $\text{ET}_{\text{trend}}$  and AVHRR  $\text{NDVI}_{\text{trend}}$  and  $P < 0.01$  for the other combinations of  $\text{ET}_{\text{trend}}$  and  $\text{NDVI}_{\text{trend}}$ ; Fig. S4). Moreover, the negative correlations between  $T_{\text{max,trend}}$  and  $\text{ET}_{\text{trend}}$  (or  $\text{NDVI}_{\text{trend}}$ ) still hold when we statistically account for the trends of both  $T_{\text{min}}$  and albedo (or absorbed solar radiation) (Figs. S5 and S6). These results suggest that greening increases ET, which, in turn, cools  $T_{\text{max}}$ .



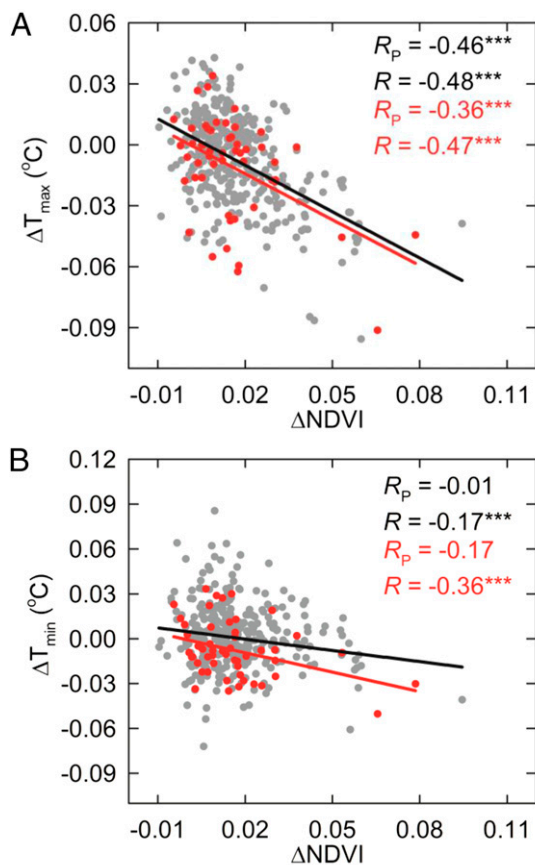
**Fig. 3.** Coefficient of the spatial correlation between growing season ET trend and  $T_{\text{max}}$  (A) and  $T_{\text{min}}$  (B) across the TP.  $R$  is the correlation coefficient.  $R_p$  is the partial correlation coefficient of the trend of growing season ET with the trend of  $T_{\text{max}}$  (or  $T_{\text{min}}$ ) removing the effects of  $T_{\text{min}}$  (or  $T_{\text{max}}$ ). ET was extracted from a dataset produced by a machine-learning algorithm using flux-tower measurements over 1982–1999 ( $\text{ET}_J$ ) and  $\text{ET}_M$  (MOD16A2-ET) and  $\text{ET}_G$  products over 2000–2010. \*\*\* $P < 0.01$ ; \*\* $P < 0.05$ . Correlations with no asterisk are not significant ( $P > 0.10$ ).

To quantify the effect of vegetation greenness on temperature, we perform a multiple linear regression analysis in which  $T_{\text{max,trend}}$  is set as the dependent variable and  $\text{NDVI}_{\text{trend}}$  and  $T_{\text{min,trend}}$  are set as independent variables. This procedure can eliminate the influence from the relationship between  $T_{\text{max,trend}}$  and  $T_{\text{min,trend}}$ , and it defines the linear regression slope of  $\text{NDVI}_{\text{trend}}$  to  $T_{\text{max,trend}}$  as the sensitivity of  $T_{\text{max,trend}}$  to  $\text{NDVI}_{\text{trend}}$ . Different values of the regression slope are found for different decades and different satellite datasets, but the sign of the slopes always indicates a lower  $T_{\text{max,trend}}$  where the NDVI has increased, which is consistent with the expectation that greening cools near-surface air temperature. These slopes range from  $-0.9 \pm 0.5$  °C to  $-1.3 \pm 0.2$  °C in response to an NDVI increase of 0.1 (Fig. S74). Note that the NDVI is dimensionless and that an increase of 0.1 is comparable to the greatest  $\text{NDVI}_{\text{trend}}$  in one decade, as shown in Fig. 2 C–E.

Next, we use the WRF, version 3.2 (WRF3.2) regional climate model (26) with the Noah land surface scheme (27) to simulate the magnitude of vegetation-to-temperature effects over the TP. Two simulations were performed: one without (S1) and one with (S2) prescribed day-to-day changes in growing season leaf area index (LAI) from AVHRR NDVI observations during the period 1982–2010 (*Methods*). The difference between S2 and S1 allows us to quantify the effect of greenness changes on surface air temperature. As shown in Fig. 4, the average S2–S1 difference of prescribed NDVI ( $\Delta\text{NDVI}$ ; used to define the LAI difference prescribed in WRF3.2) is spatially significantly ( $P < 0.01$ ) and negatively correlated with the S2–S1 difference of modeled  $T_{\text{max}}$  ( $\Delta T_{\text{max}}$ ). Unlike the observation-based statistical analysis, which cannot separate forcing and feedbacks, the WRF simulations can quantify the feedbacks. The spatial correlation between  $\Delta\text{NDVI}$  and simulated  $\Delta T_{\text{max}}$  is stronger than the spatial correlation between  $\Delta\text{NDVI}$  and the difference of modeled  $T_{\text{min}}$  ( $\Delta T_{\text{min}}$ ). Consistent with the observational analysis (Fig. S4), a significant and positive relationship is also found between  $\Delta\text{NDVI}$  and the S2–S1 ET difference ( $\Delta\text{ET}$ ), given by the Noah land surface model (27) in WRF3.2 ( $P < 0.01$ ; Fig. S84). In the WRF3.2 simulations,  $\Delta\text{ET}$  shows stronger spatial correlations with  $\Delta T_{\text{max}}$  than with  $\Delta T_{\text{min}}$  (Fig. S8 B and C), which supports the proposed mechanism of an evaporative cooling feedback whereby increased vegetation LAI reduces daytime air temperature over the TP region. However, the sensitivity of  $T_{\text{max}}$  to the prescribed LAI change (from the observed NDVI change) in WRF3.2 is much smaller than the sensitivity of  $T_{\text{max}}$  derived from the statistical analyses of long-term observations. The WRF3.2 simulations show that an increase in the NDVI by 0.1 results in a cooling of  $T_{\text{max}}$  by only  $0.07 \pm 0.01$  °C ( $P < 0.01$ ), which is merely 10% of the sensitivity of  $T_{\text{max}}$  to the NDVI diagnosed from observations (Fig. S74).

To investigate why the model estimates of the biophysical cooling effect are smaller than observations, we examined whether the Noah land surface model of WRF3.2 realistically simulates changes in albedo. We first compared the modeled albedo with MODIS white sky albedo in the short-wave band (28). The simulated sensitivity of albedo to the NDVI ( $-0.09 \pm 0.01$ ) in WRF3.2 is close to the sensitivity of MODIS albedo to NDVI ( $-0.11 \pm 0.01$ ) as obtained from a spatial data regression analysis (Fig. S9). This comparison indicates that the smaller cooling effect in WRF3.2 could not be attributed to the model albedo biases. We then compared the sensitivity of ET to the NDVI in both WRF3.2 simulations and in the observations. Linear spatial regression between the S2–S1  $\Delta\text{NDVI}$  and the S2–S1  $\Delta\text{ET}$  showed that a 0.1-unit increase in growing season NDVI is associated with an increase of ET by only  $0.07 \pm 0.01$  mm·d<sup>−1</sup> in WRF3.2 (Fig. S10). In contrast, the spatial ET sensitivity to the NDVI in the observations is  $0.49 \pm 0.13$  mm·d<sup>−1</sup>, and ranges from  $0.20 \pm 0.04$  to  $0.51 \pm 0.10$  mm·d<sup>−1</sup> among different ET and NDVI datasets (Fig. S10). Therefore, the weaker ET cooling feedback likely results from the lower ET sensitivity to greenness





**Fig. 4.** Spatial statistical relationships between the temperature difference from two simulations of the WRF3.2-Noah regional climate model (S2–S1) and the growing season NDVI difference ( $\Delta \text{NDVI}$ ) prescribed in these simulations. In simulation S1, the LAI of the land surface model Noah is prescribed from the climatological NDVI. In simulation S2, the variable LAI from the observed LAI is prescribed. The difference between S2 and S1 gives the modeled effect of an increased NDVI on the regional climate daytime temperature difference (A;  $\Delta T_{\max}$ ) and nighttime temperature difference (B;  $\Delta T_{\min}$ ).  $\Delta \text{NDVI}$ ,  $\Delta T_{\max}$ , and  $\Delta T_{\min}$  are estimated as the differences in the 29-y averaged values of the growing season NDVI,  $T_{\max}$ , and  $T_{\min}$  between the S2 and S1 simulations, respectively. The red circles indicate the spatial correlations using the grids where meteorological stations are located and the gray and red ones altogether indicate all the grids.  $R$  is the correlation coefficient between the trend of the growing season NDVI and the trends in  $T_{\max}$  or  $T_{\min}$ .  $R_p$  indicates partial correlation coefficients of the trend of the growing season NDVI with the trend in  $T_{\max}$  (or  $T_{\min}$ ) through controlling  $T_{\min}$  (or  $T_{\max}$ ).  $^{***}P < 0.01$ . Correlations with no asterisk are not significant ( $P > 0.10$ ).

in WRF3.2. We also found that the ET trend of WRF3.2 in S2 differs considerably from the observed ET trend (Fig. S11), indicating that the temporal ET trend is not correctly reproduced in the WRF S2 simulations. In WRF3.2, the sensitivity of simulated ET to the NDVI depends on the land surface model used (28), suggesting the need to improve the model parameterizations considering the specific biophysical characteristics of TP grassland vegetation (29). Compared with regions in the same latitude band, the TP is characterized by a combination of high radiation and low temperatures, as well as by complex soil water variability (30); these properties are difficult to reproduce in a land-surface model. WRF3.2 may also have systematic biases in modeling other ET-relevant processes, such as radiative transfer, boundary-layer dynamics, and cloud physics. Future climate simulations of the TP thus require more observations to improve the parameterization and calibration of ET.

Given model imperfections in simulating ET over the TP, we used a sensitivity analysis based on intrinsic biophysical mechanisms to estimate how much daytime surface temperature ( $T_s$ ) can change for an NDVI increase of 0.1. It is assumed that two adjacent blocks of grassland share the same background climate state and have no horizontal flow between them. The only difference is that one block has an NDVI value 0.1 greater than the other. This increase in the NDVI by 0.1 could enhance ET by  $\sim 0.5 \text{ mm-d}^{-1}$  and decrease albedo by 0.01 (Figs. S9 and S10). In accordance with a study by Lee et al. (18), the resulting difference in  $T_s$  is estimated as  $-0.76^\circ \text{C}$  (*Sensitivity Analysis Based on Intrinsic Biophysical Mechanisms*), which is within the range statistically estimated from observations (Fig. S7). The change of  $T_s$  can be further divided into two components, a warming of  $0.16^\circ \text{C}$  due to the decreased albedo and a cooling of  $0.92^\circ \text{C}$  due to the increased ET for an NDVI difference of 0.1 between a pair of grasslands under the same background climate (*Sensitivity Analysis Based on Intrinsic Biophysical Mechanisms*).

In addition to ET and albedo, other factors, such as changes in large-scale circulation and stratospheric ozone depletion, could modify surface energy budgets and the spatial patterns of the trend of air temperature over the TP. Our statistical analysis also suggests that the negative spatial correlation between  $T_{\max, \text{trend}}$  and  $\text{NDVI}_{\text{trend}}$  is unlikely to be caused by the changes in large-scale flows (*Impacts of Large-Scale Flows and Stratospheric Ozone Depletion* and Fig. S12). In addition, there is no evidence indicating that large-scale flows directly affect the spatial pattern of temperature trend within the TP (31). Stratospheric ozone depletion has been hypothesized to contribute a larger warming over the northern plateau during the past few decades (32) but cannot explain the negative  $T_{\max, \text{trend}}/\text{NDVI}_{\text{trend}}$  correlation (*Impacts of Large-Scale Flows and Stratospheric Ozone Depletion*). In addition, the insignificance of the  $T_{\min, \text{trend}}/\text{NDVI}_{\text{trend}}$  correlation could result from other factors because the nocturnal boundary layer is more sensitive to energy/turbulence changes (13).

## Discussion

The TP has a cold climate and is covered by cold grasslands that are similar to the cold grasslands of dry-tundra regions of the Arctic. However, in contrast to the Arctic, where increasing vegetation activity is estimated to warm local climate by reducing albedo (14, 15), the climate feedback of increased vegetation activity appears to be negative in the TP, due to the dominance of ET-induced cooling over albedo-induced warming in case of an increase of vegetation greenness. We believe that the predominant role of ET cooling is caused by the much higher level of solar radiation (29) found at the relatively low latitude of the TP compared with the level of solar radiation in the high-latitude Arctic. The temperature is low on the TP, and the temperature when TP vegetation photosynthesis reaches its maximum is also correspondingly low (33) (Fig. S13); cool growing season temperatures over the TP are thus probably not a strong limitation on ET. Under the high radiation, increased vegetation needs to transpire more water, thus sustaining cooling feedbacks during the growing season. Hence, the findings from high latitudes cannot be simply transferred to the TP.

Accurate simulation of land-surface processes in the TP, such as ET and sensible heat flux into the atmosphere, is essential for characterizing the land/climate coupling that strongly affects the Asian monsoon (9). It has been projected that vegetation productivity across the TP will continue to be enhanced under future climate warming (5, 34). Unlike the Arctic ecosystem, evaporative cooling with water supplied by melting soil-ice may continue over this region.

We are aware that a statistical correlation, no matter how strong, does not imply causality. Also, data uncertainties and model deficiencies prevent us from reaching a quantitative conclusion on the magnitude of the evaporative cooling feedback induced by

increased vegetation activity over the TP. The differences in observed ET and residual atmospheric effects on the NDVI would result in the difference in the magnitude of ET sensitivity to the NDVI. For instance, the machine-learning algorithm ( $ET_J$ ) used static climatic variables (25); the Priestley and Taylor equation-based  $ET_G$  (24) used satellite-observed precipitation that is reported biased over the TP (Fig. S6), which may lead to an unrealistic ET response to change in the NDVI; and the  $ET_M$  used biophysical parameters for certain biomes globally (23) that may not accurately account for the unique TP vegetation and environment. Empirical analyses of observational data cannot quantitatively separate the compound impacts of multiple factors on  $T_{max}$ , nor can they accurately determine the sensitivity of  $T_{max}$  to greening. Nevertheless, the significant correlations between  $T_{max,trend}$  and  $NDVI_{trend}$  and between  $T_{max,trend}$  and  $ET_{trend}$ , as well as the enhancing effect of greening on ET, did suggest that greening could have a cooling effect.

Further attribution needs both observational and modeling studies on all relevant physical mechanisms, which is challenging due to the scarcity of adequate observations and credible models over the TP. Consequently, the magnitude of the vegetation/climate feedbacks estimated here is still largely uncertain, as demonstrated by the difference between observations and the WRF model estimates. These differences highlight the need for further constraining land surface biogeophysical, hydrological, and other processes in climate models. Unfortunately, in the TP, the in situ data needed to characterize these processes are scarce and incomplete. Collecting new data should be a high priority: Measurements of all radiation components, sensible and latent heat fluxes, and ground heat storage are needed across a representative transect over the TP. Such data will allow us to quantify the feedbacks between the vegetation conditions and the surface heat fluxes. They will also help modelers to have a more realistic parameterization of surface processes in climate models. Experiments with these improved models should then result in better understanding of the role of the TP in the global climate system.

## Methods

**NDVI Data.** We used NDVI data derived from observations by three spaceborne sensors: the AVHRR onboard National Oceanic and Atmospheric Administration satellites (7, 9, 11, 14, 16–18), the MODIS onboard the National Aeronautics and Space Administration Earth Observing System's satellite Terra, and VEGETATION onboard the satellite SPOT. The AVHRR NDVI data covering the period 1982–2010 were produced at spatial and temporal resolutions of 8 km and 15 d by the Global Inventory Modeling and Mapping Studies group (35). The MODIS NDVI data for the period 2000–2010 are from Collection 5, MOD13A2, with a 16-d composite and 1-km spatial resolution. Unlike the AVHRR, the MODIS has onboard calibration and precise orbit control, higher radiometric precision, atmospheric and viewing geometry corrections with physics-based algorithms, and higher fidelity (36). The SPOT NDVI dataset for the period 2000–2010 was produced every 10 d at a spatial resolution of 1 km. Compared with the biweekly AVHRR and MODIS NDVIs, the temporal resolution of the SPOT NDVI is 10 d, which gives 36 composites for a 1-y cycle (37). Averages of monthly NDVI data during the growing season were used to infer vegetation growth.

**Climate Data.** Daily  $T_{max}$ ,  $T_{min}$ , and  $T_{mean}$ , as well as daily precipitation, for the period 1982–2010 were recorded at 55 meteorological stations with no missing data. These data were provided by China Meteorological Data Sharing System ([cdc.nmic.cn/home.do](http://cdc.nmic.cn/home.do)).

**ET Data.** ET was extracted from three ET datasets produced by using the MODIS satellite observations ( $ET_M$ ), the Priestley and Taylor equation driven by satellite data ( $ET_G$ ), and a machine-learning algorithm using flux-tower ET measurements ( $ET_J$ ). The theoretical model based on the Penman–Monteith equation (38) is driven by MODIS data and daily meteorological data to produce global ET ( $ET_M$ ) (23). The Priestley and Taylor equation is driven by a variety of satellite-sensor products to estimate daily transpiration globally at  $0.25^\circ \times 0.25^\circ$  ( $ET_G$ ) (24). The machine-learning algorithm is first trained

mainly by ET measurements at the observing flux-tower sites of FluxNet and is then driven by surface geophysical information from satellite remote sensing and meteorological data to produce global monthly ET at  $0.5^\circ \times 0.5^\circ$  ( $ET_J$ ) (25).

**WRF Model.** We also used WRF3.2 (26) to investigate the feedback of vegetation growth change on daytime temperature during the growing season. The model domain covers the TP, having  $90 \times 60$  grid points in each of the zonal and meridional directions, with a horizontal grid spacing of 50 km. There are 27 vertical layers between the model top at 70 hPa and the surface, and the time step of the model integration is 180 s. The R-2 reanalysis data (39) from the National Center for Environmental Prediction/DOE are used to obtain the initial and lateral boundary data. The monthly satellite-retrieved LAI (40) from the AVHRR NDVI was linearly interpolated to give daily values and used to prescribe the model's lower boundary every 24 h. The model physics include the Kain–Fritsch convective parameterization scheme (41, 42), the WRF single moment 3-class cloud microphysics scheme (43), the National Center for Atmospheric Research Community Atmosphere Model (CAM3) radiation scheme (44), the Yonsei University planetary boundary layer scheme (45), and the Noah land surface model (27). The Noah model was initialized using the vegetation categories from the USGS 24-category, 30-s dataset and soil texture derived from the US Department of Agriculture's 16-category State Soil Geographic Database. The initial soil moisture state and lower soil boundary temperatures come from the reanalysis data. We used four soil layers in the Noah land surface model; the thicknesses of the layers from top to bottom are 0.1, 0.3, 0.6, and 1.0 m, with a total soil depth of 2 m.

**Analyses.** To quantify the feedback of vegetation growth change on temperature during the growing season (May–September), we performed a multiple linear regression analysis in which  $T_{max,trend}$  (or  $T_{min,trend}$ ) for each climate station was set as the dependent variable and  $NDVI_{trend}$  and  $T_{min,trend}$  (or  $T_{max,trend}$ ) were set as independent variables. This procedure removes the confounding effect of the temperature correlation between daytime and nighttime, and defines the coefficient of  $NDVI_{trend}$  as the net effect. The corresponding NDVI value for each meteorological station was derived by averaging the NDVI over a window of  $3 \times 3$  AVHRR NDVI pixels (or equivalent areas of MODIS and SPOT NDVIs) with the data from the meteorological station in the central pixel.

We performed two simulations using the WRF model with the Noah land surface scheme: one without (S1) and one with (S2) forced day-to-day changes in the LAI derived from the AVHRR NDVI during the period 1982–2010 (40). The integration period was 5 mo (May to September), starting each May 1 for 29 y. In the S1 simulation, throughout the entire period 1982–2010, the vegetation was prescribed with the LAI of 1982, whereas in the S2 simulation, vegetation growth varied with the satellite-derived LAI data from 1982 to 2010. The net effect of interactions between vegetation growth and temperature on  $T_{max}$  was derived from a multiple linear spatial regression analysis in which the growing season  $T_{max}$  difference between the S2 and S1 simulations ( $\Delta T_{max}$ ) for each grid over the plateau was set as the dependent variable and  $\Delta T_{min}$  and  $\Delta NDVI$  were set as independent variables. The effect of ET on  $T_{max}$  ( $T_{min}$ ) was investigated by using spatial partial correlation between  $\Delta ET$  and  $\Delta T_{max}$  ( $\Delta T_{min}$ ) and setting  $\Delta T_{min}$  ( $\Delta T_{max}$ ) as the controlling variable. The sensitivity of  $T_{max}$  ( $T_{min}$ ) to the NDVI was determined using spatial regression in which  $\Delta T_{max}$  ( $\Delta T_{min}$ ) was set as the dependent variable and  $\Delta NDVI$  and  $\Delta T_{min}$  ( $\Delta T_{max}$ ) were set as the independent variables. The effect of vegetation on ET was determined using the spatial regression between  $\Delta NDVI$  and  $\Delta ET$ .

We also used a sensitivity analysis based on intrinsic biophysical mechanisms (18) to estimate how much  $T_s$  would change for an NDVI increase of 0.1. It is assumed that two adjacent blocks of grassland share the same background climate state and have no horizontal flow between them. The only difference is that one block has an NDVI value 0.1 greater than the other. The equations of the intrinsic biophysical mechanisms are then used to calculate the response of  $T_s$  to a difference in the NDVI, based on the observed ET and albedo response to the NDVI (*Sensitivity Analysis Based on Intrinsic Biophysical Mechanisms*).

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